

## Lecture 6

# Stable Matchings

Reminder about starting recording

# Stable Matching Problem

# Stable Matching Problem





# Stable Matching Problem

$w_1 > w_2 > w_3$



$m_3 > m_2 > m_1$

$w_2 > w_1 > w_3$



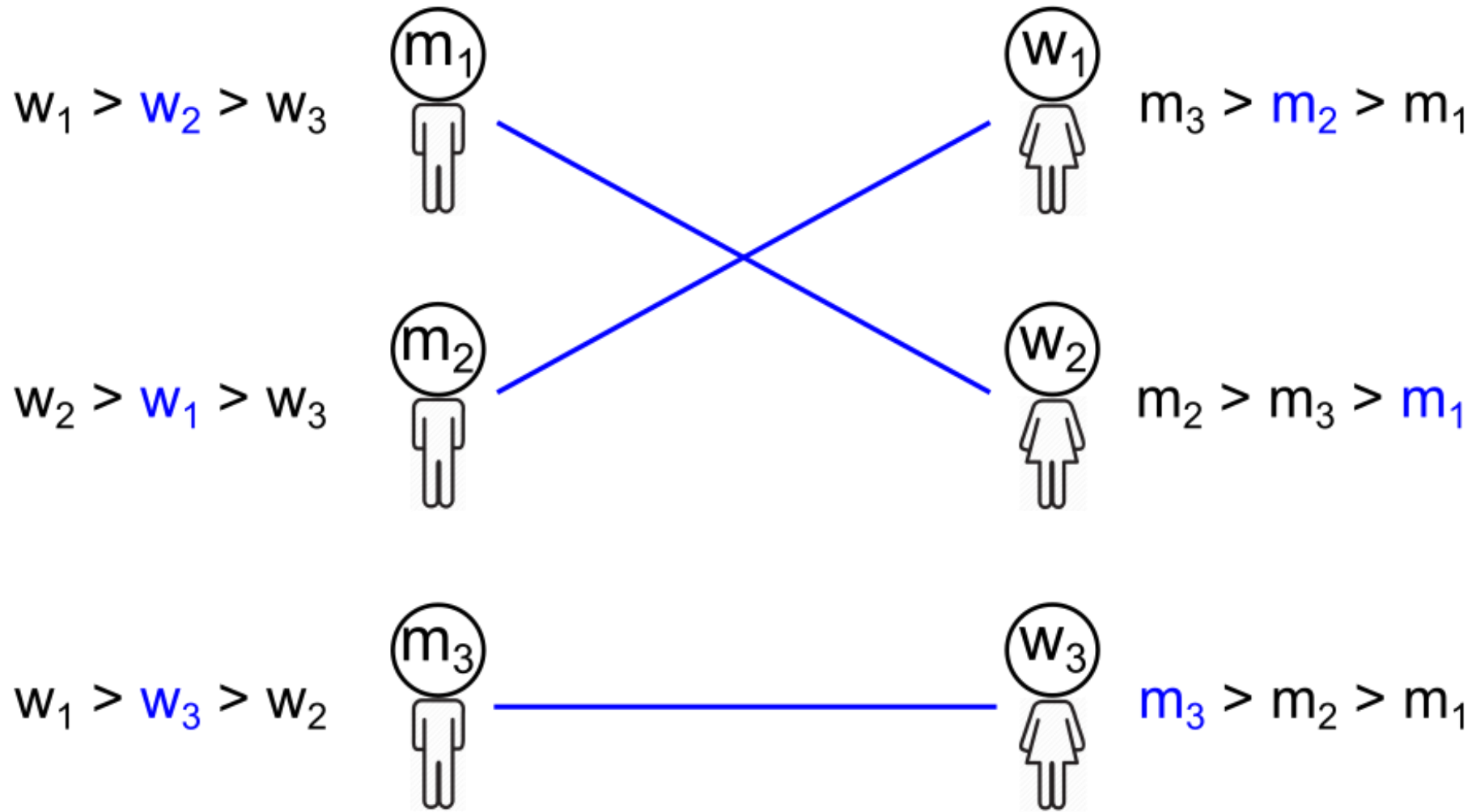
$m_2 > m_3 > m_1$

$w_1 > w_3 > w_2$

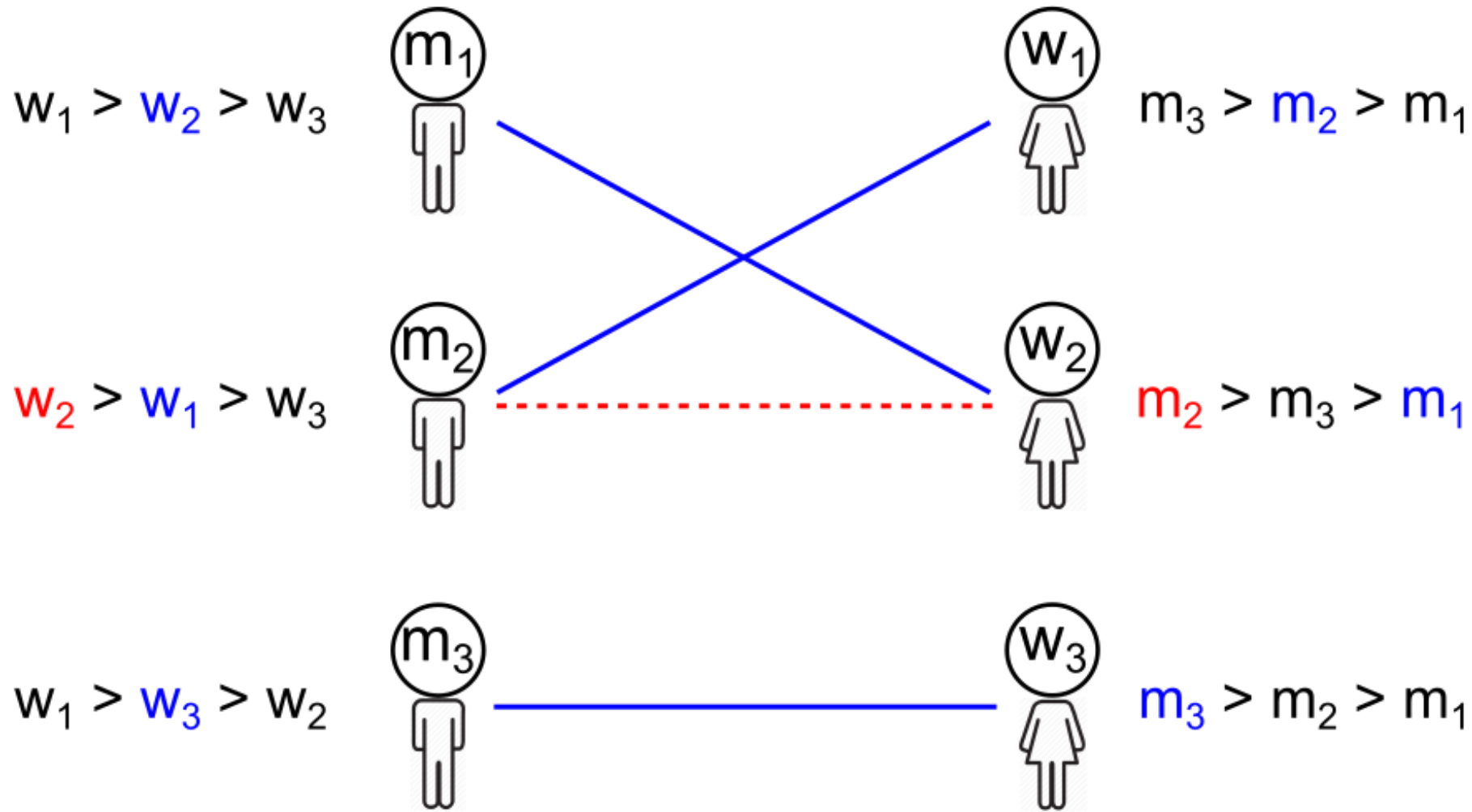


$m_3 > m_2 > m_1$

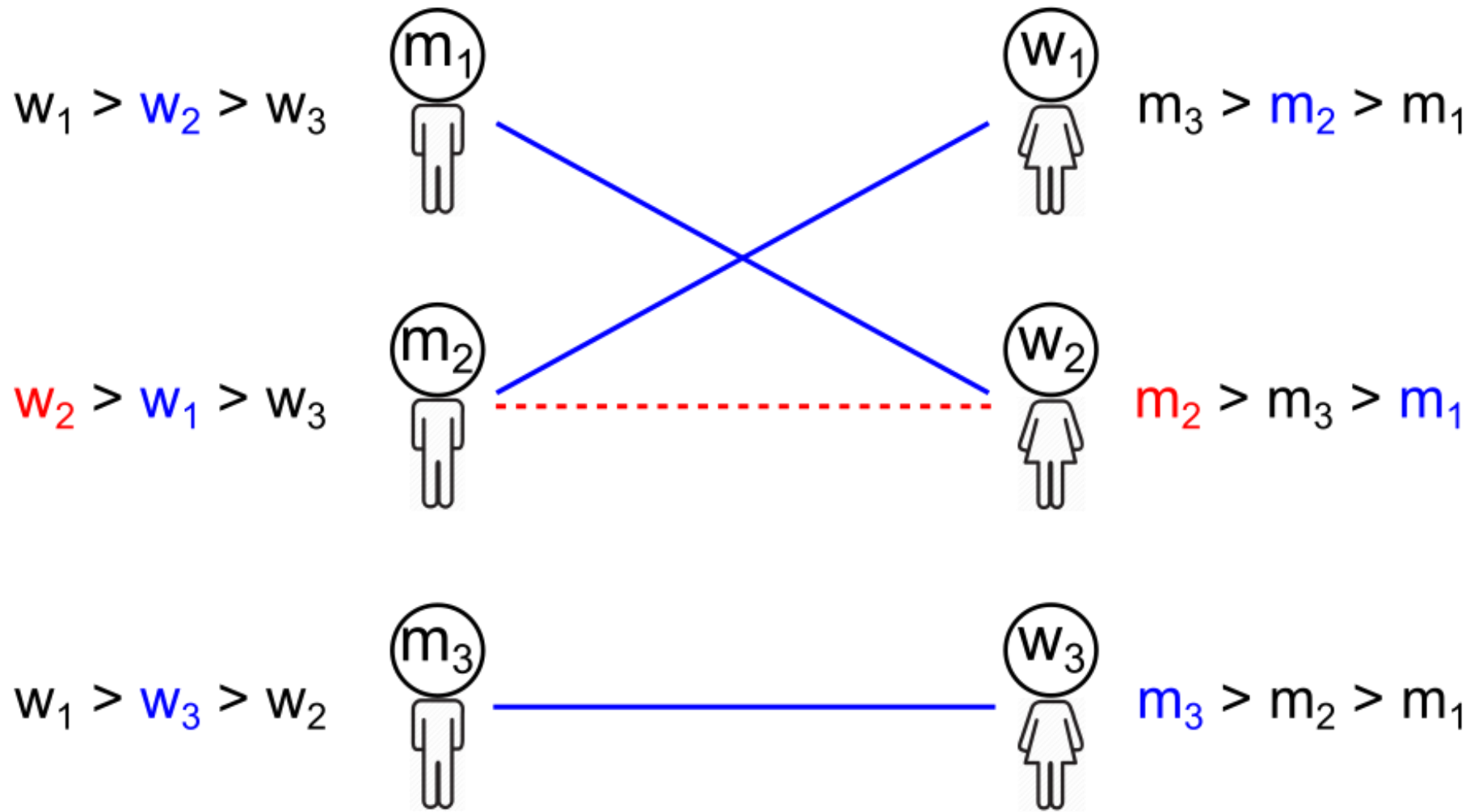
# Stable Matching Problem



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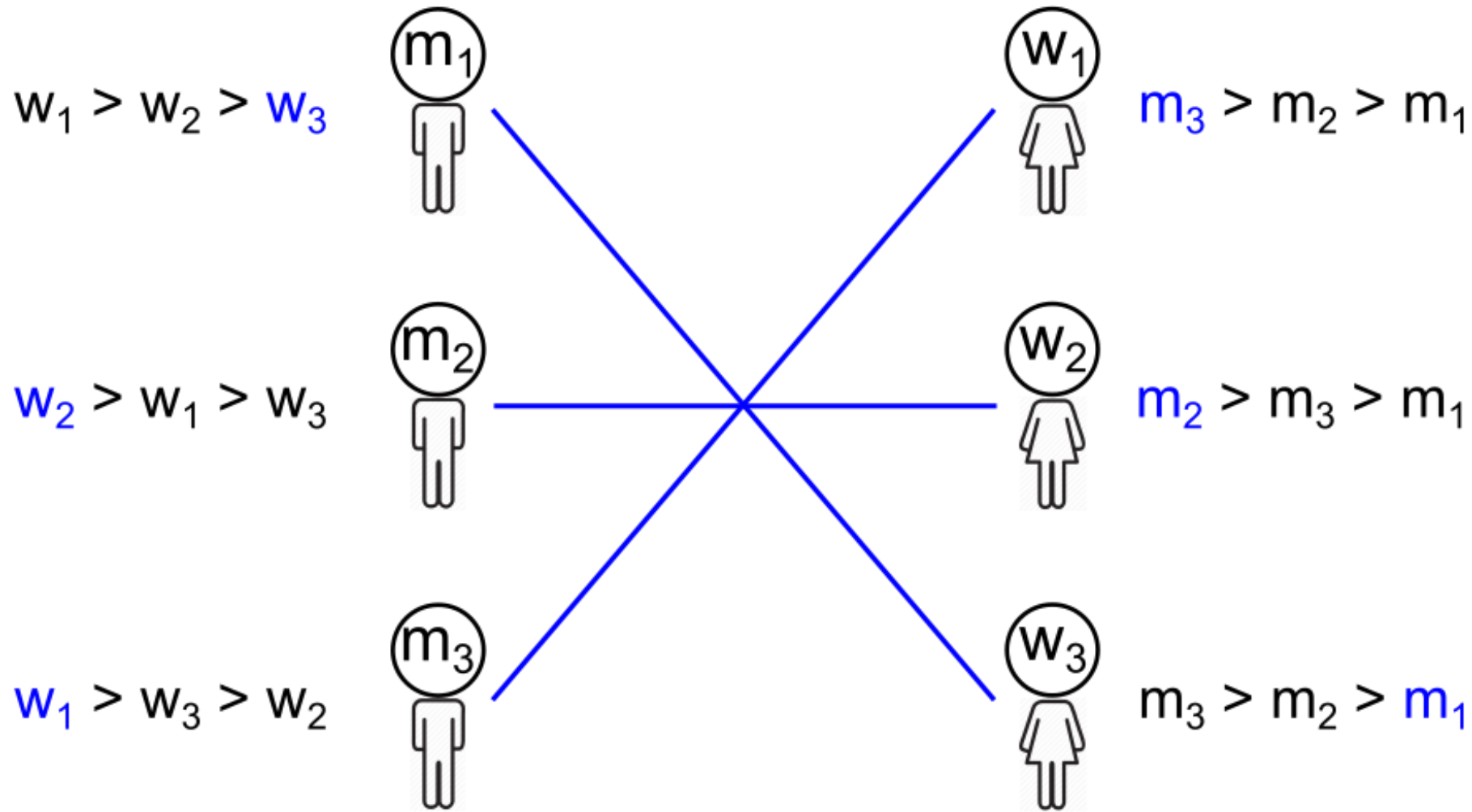


# Stable Matching Problem



A matching is **stable** if there is no **blocking pair**.

# Stable Matching Problem



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## COLLEGE ADMISSIONS AND THE STABILITY OF MARRIAGE

D. GALE\* AND L. S. SHAPLEY, Brown University and the RAND Corporation

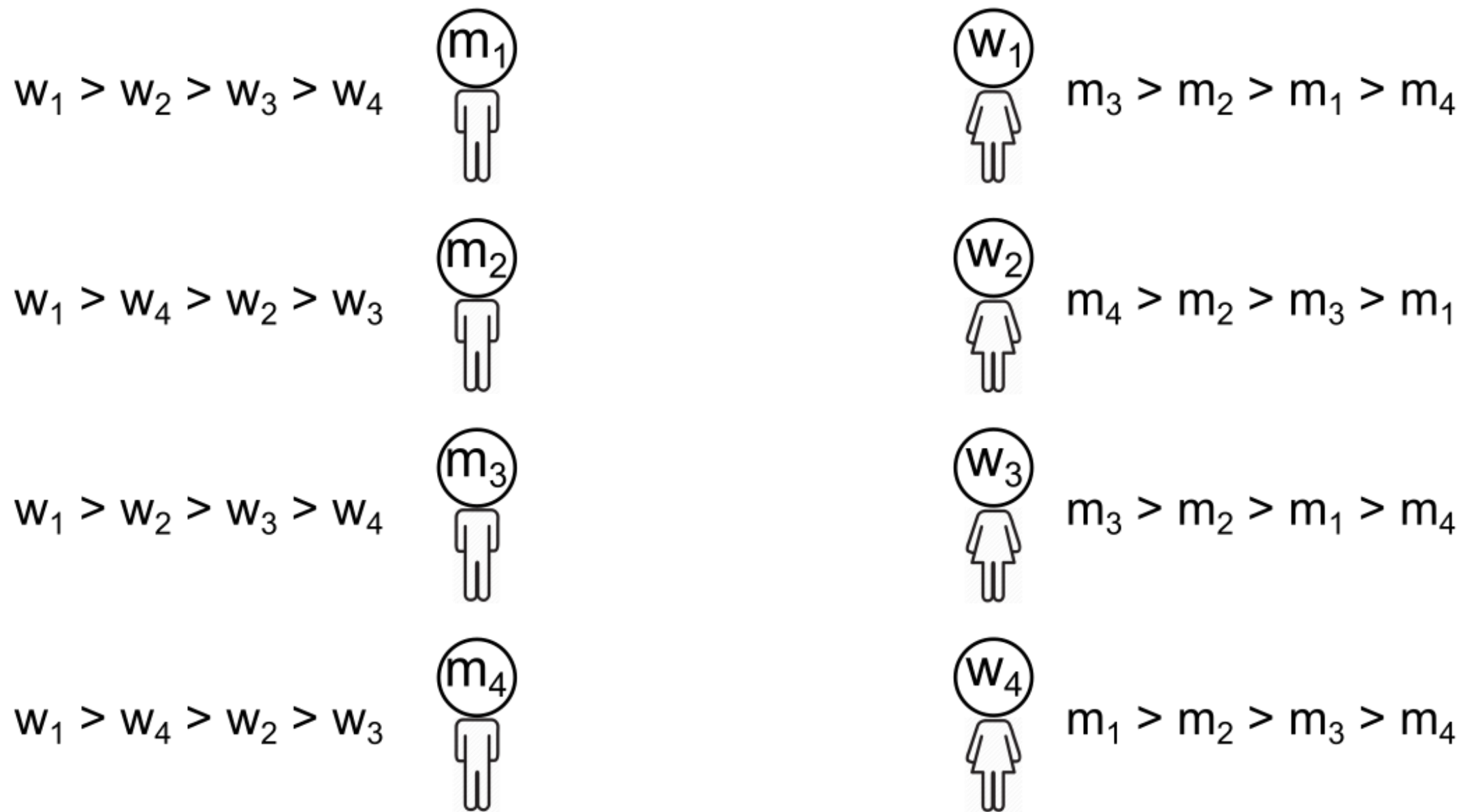


Source: *The American Mathematical Monthly*, Jan., 1962, Vol. 69, No. 1 (Jan., 1962), pp. 9-15

Given any preference profile, a stable matching for that profile always exists and can be computed in polynomial time.

# Deferred-Acceptance Algorithm

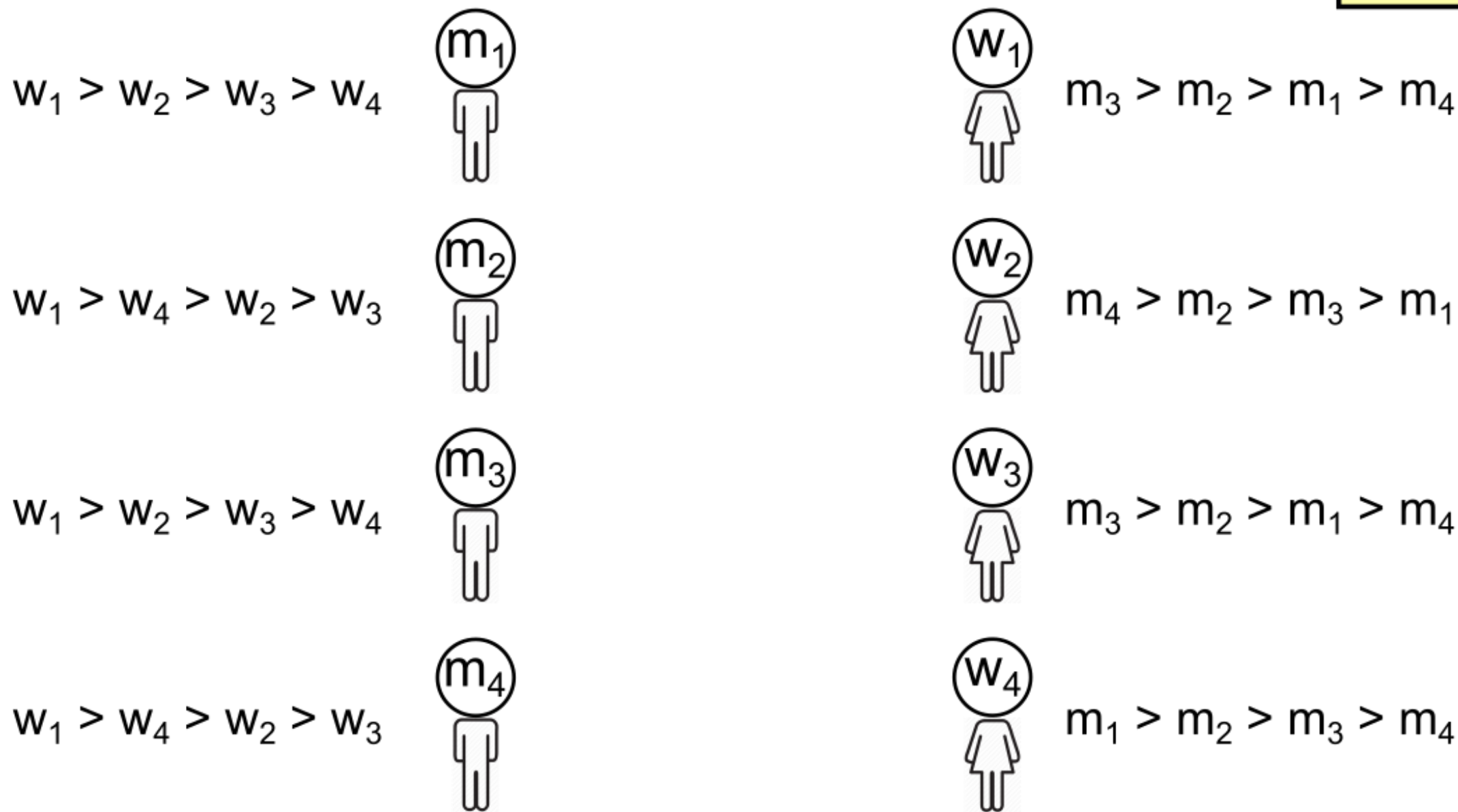
# Deferred-Acceptance Algorithm





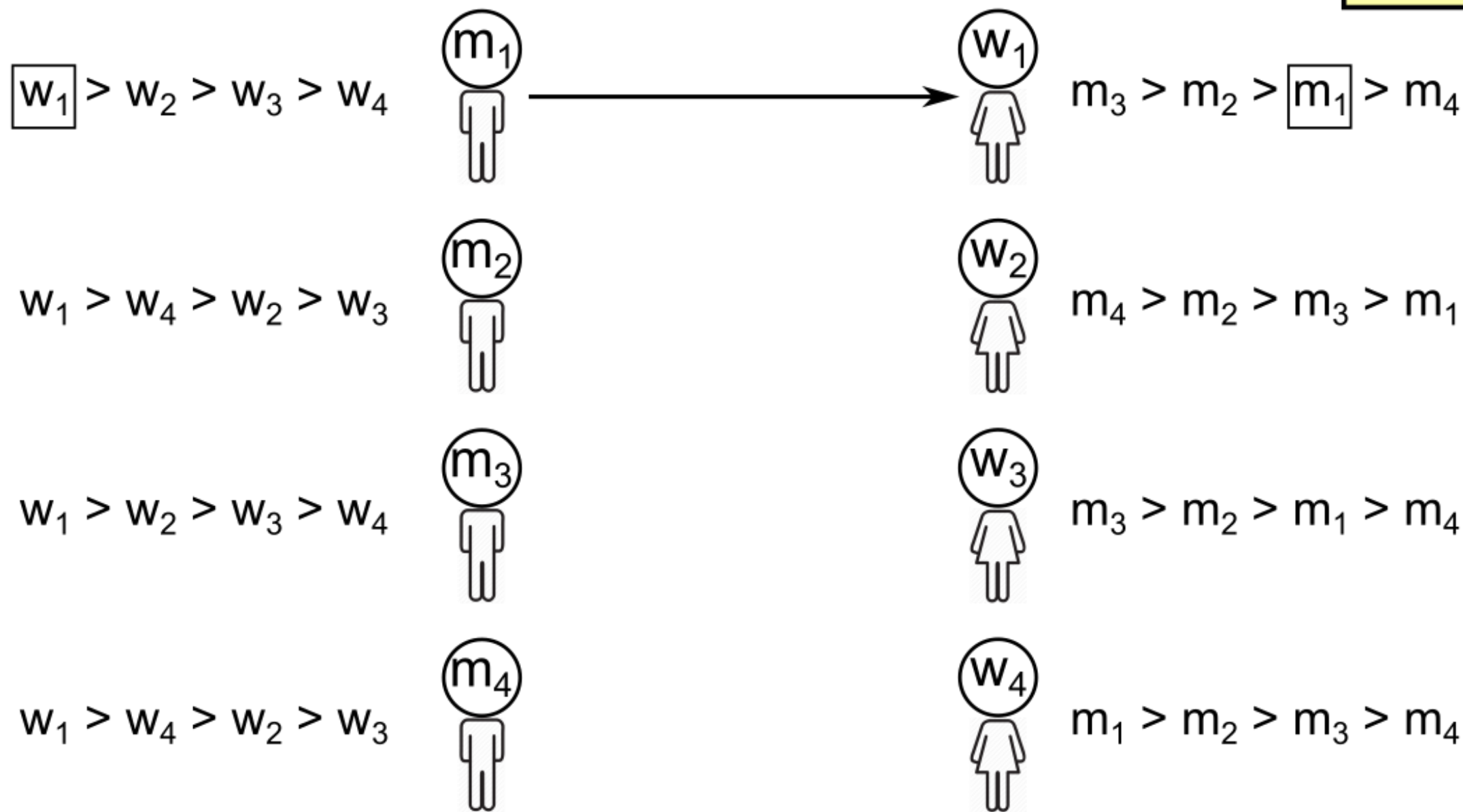
# Deferred-Acceptance Algorithm

Round 1



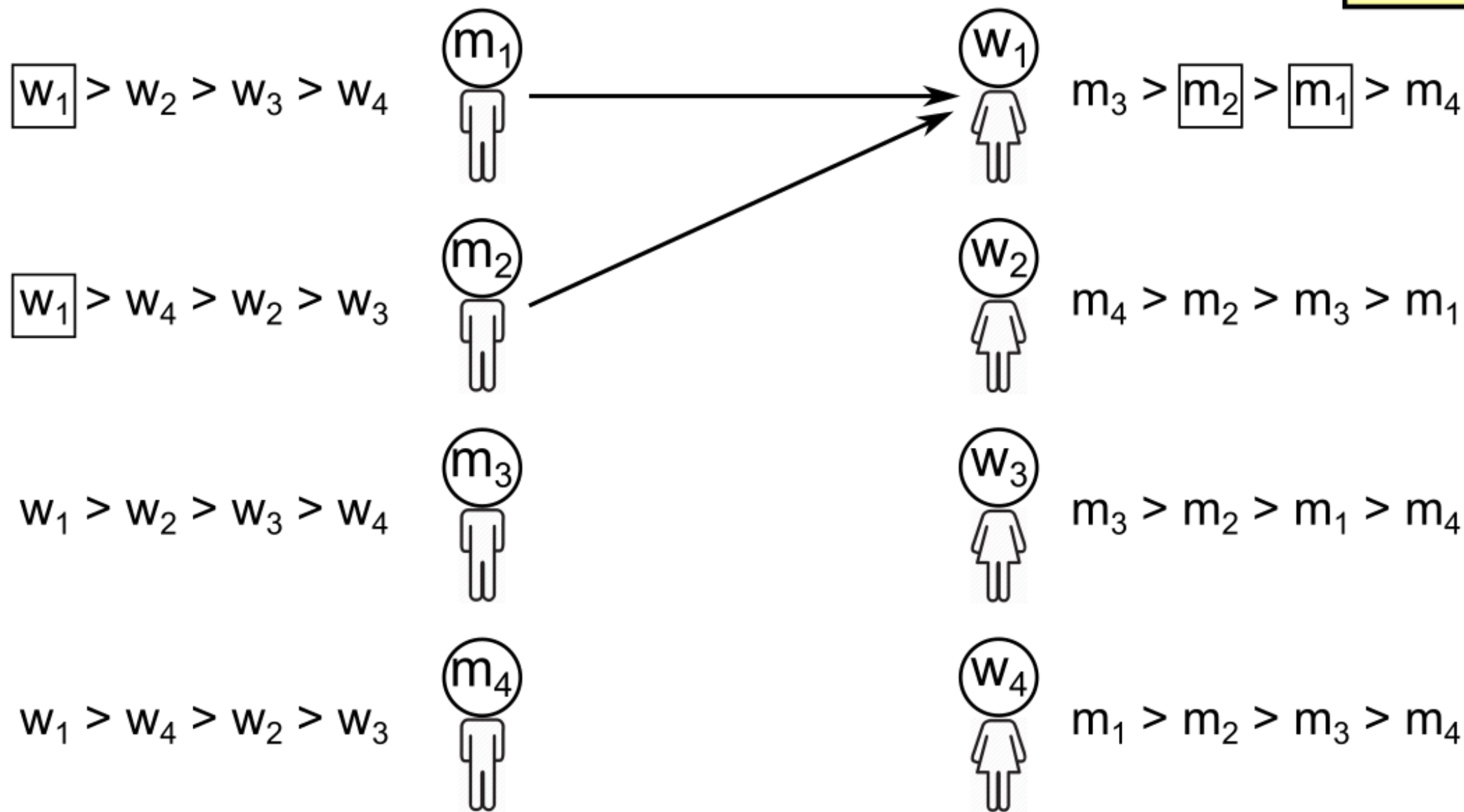
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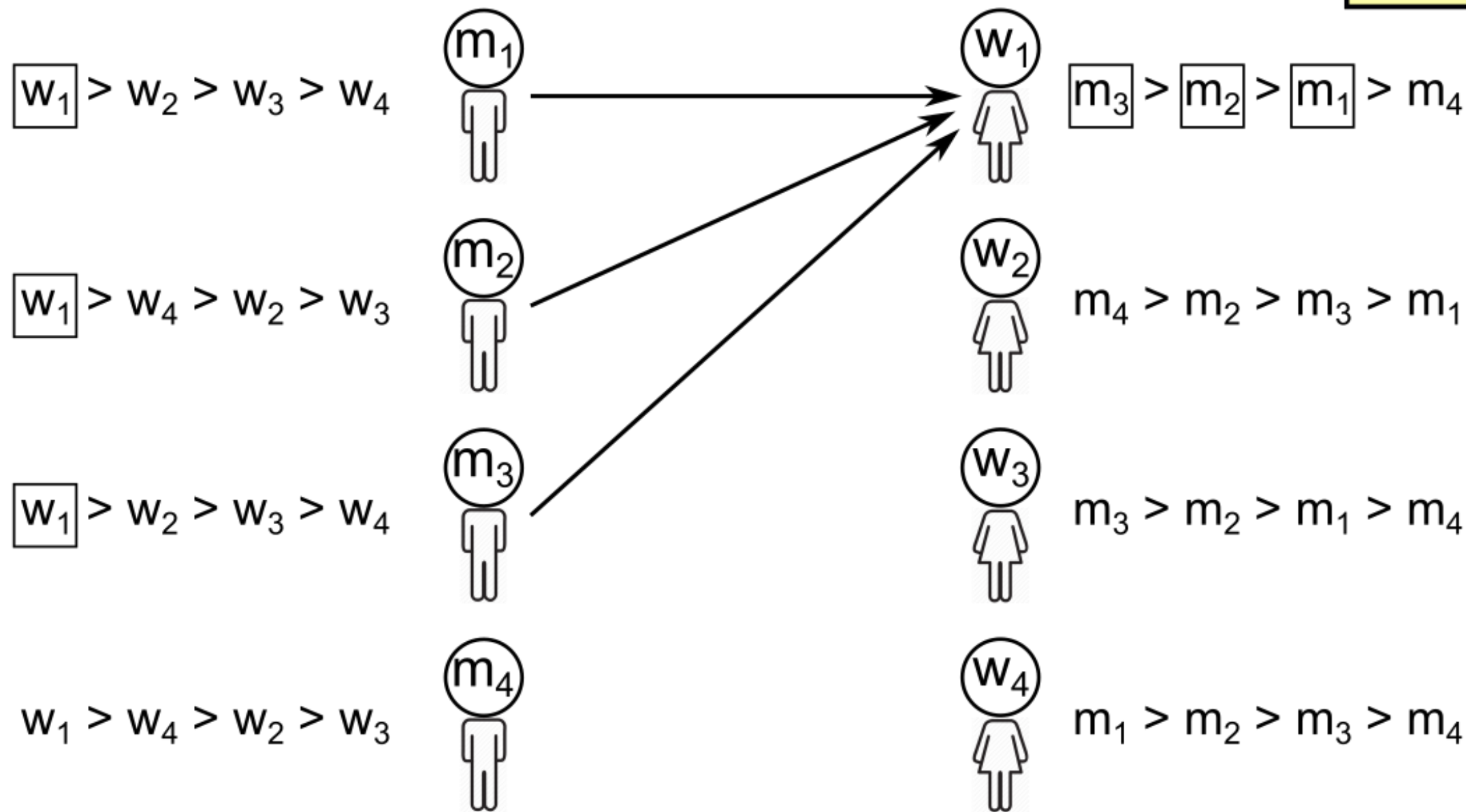
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Round 1



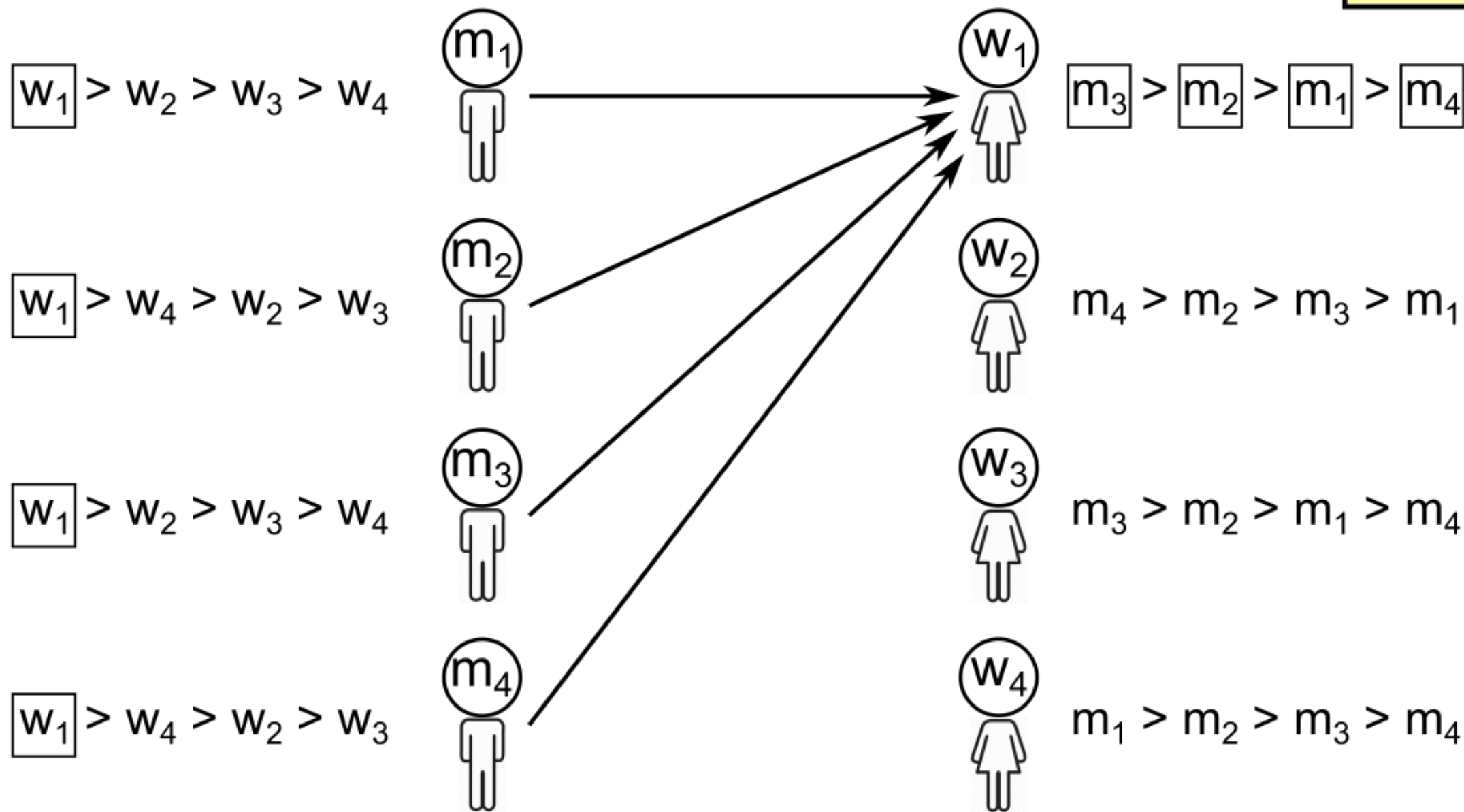
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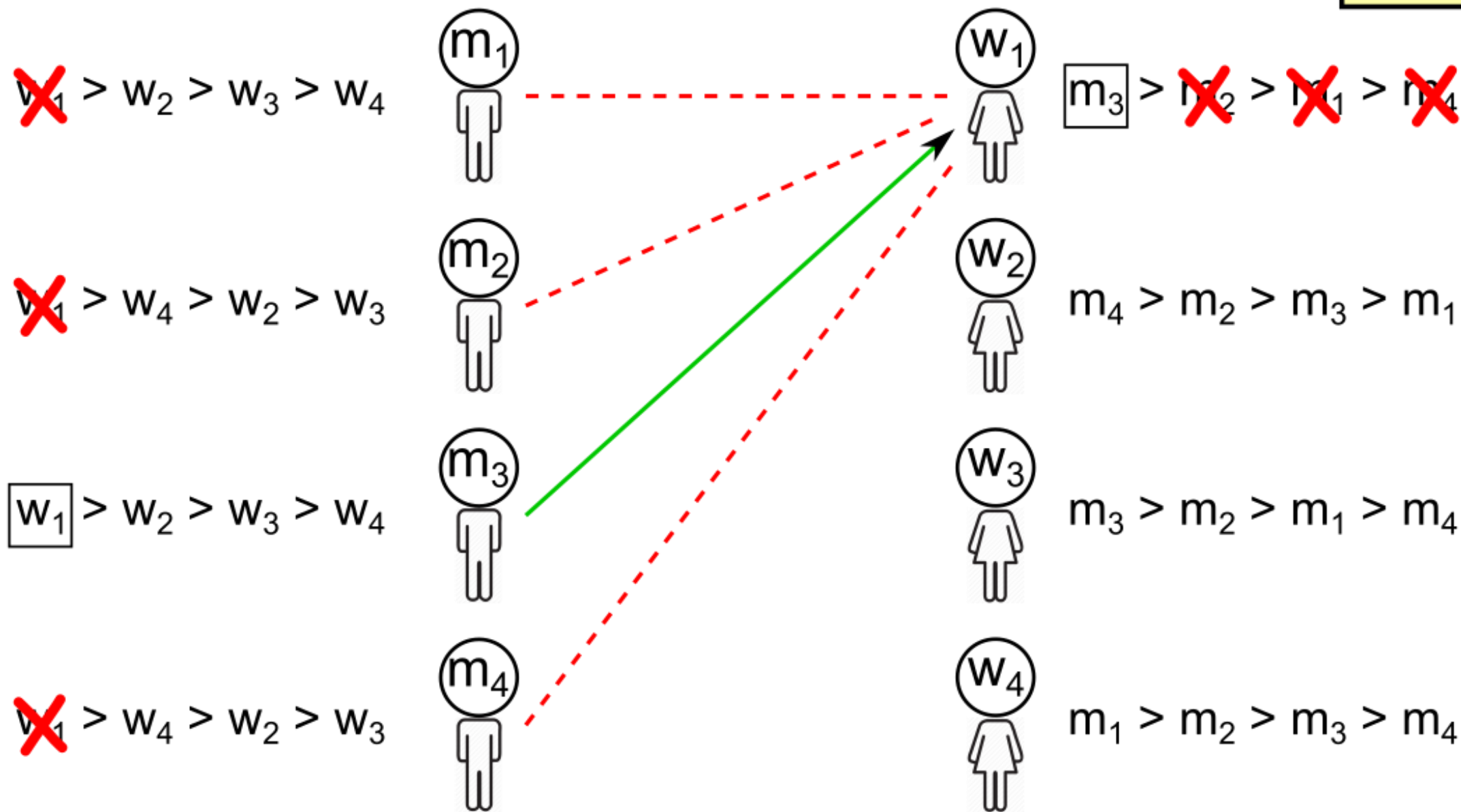
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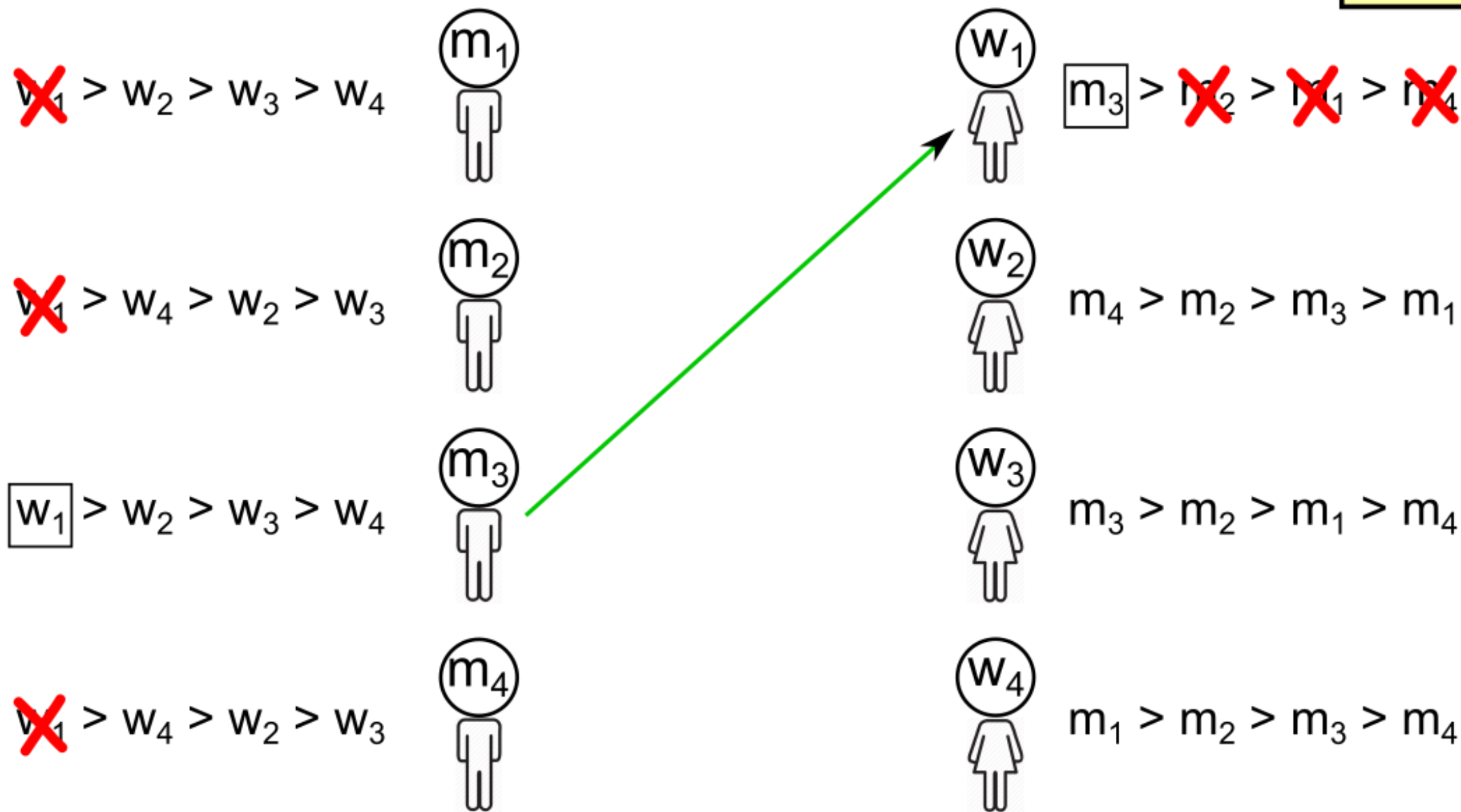
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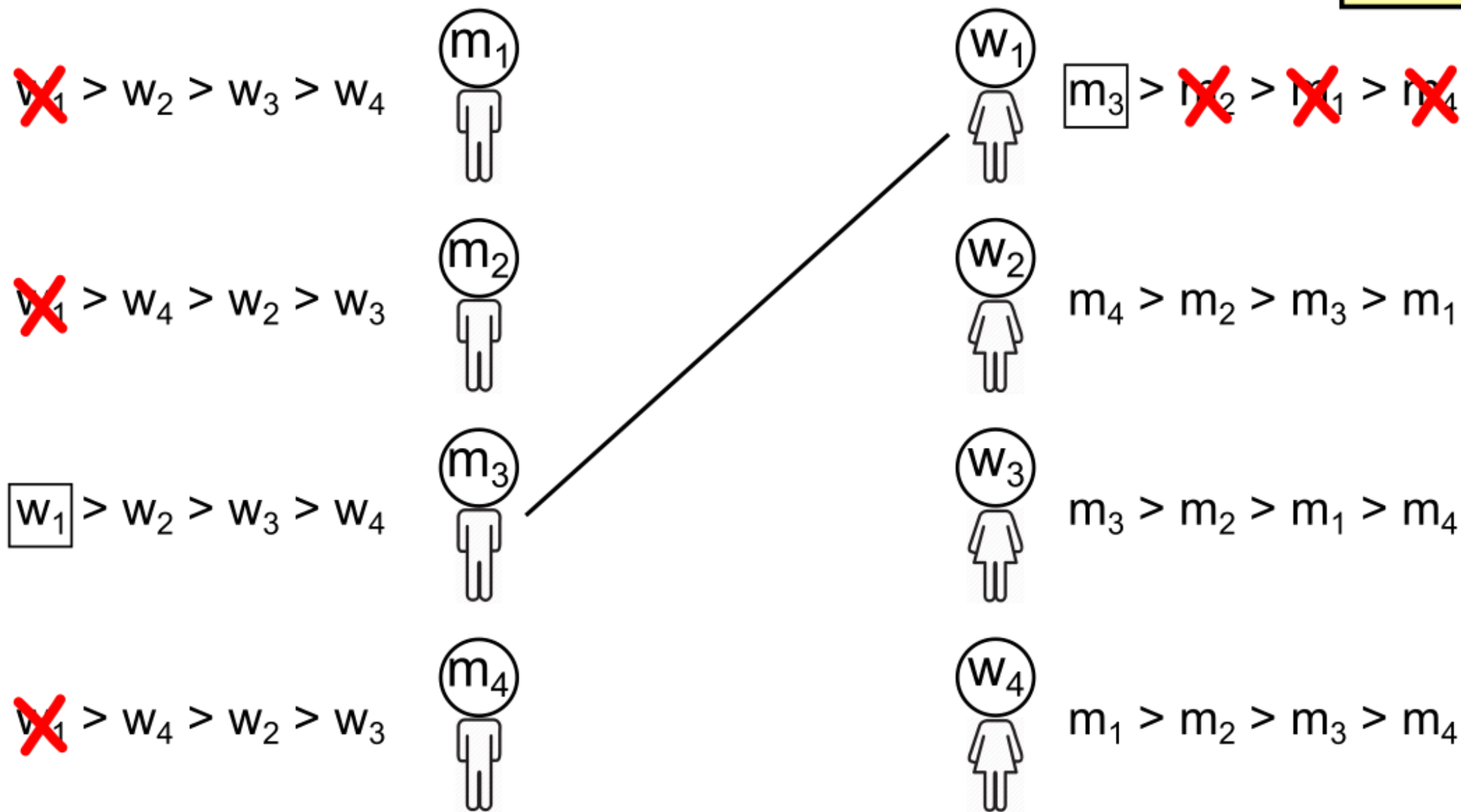
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# Deferred-Acceptance Algorithm

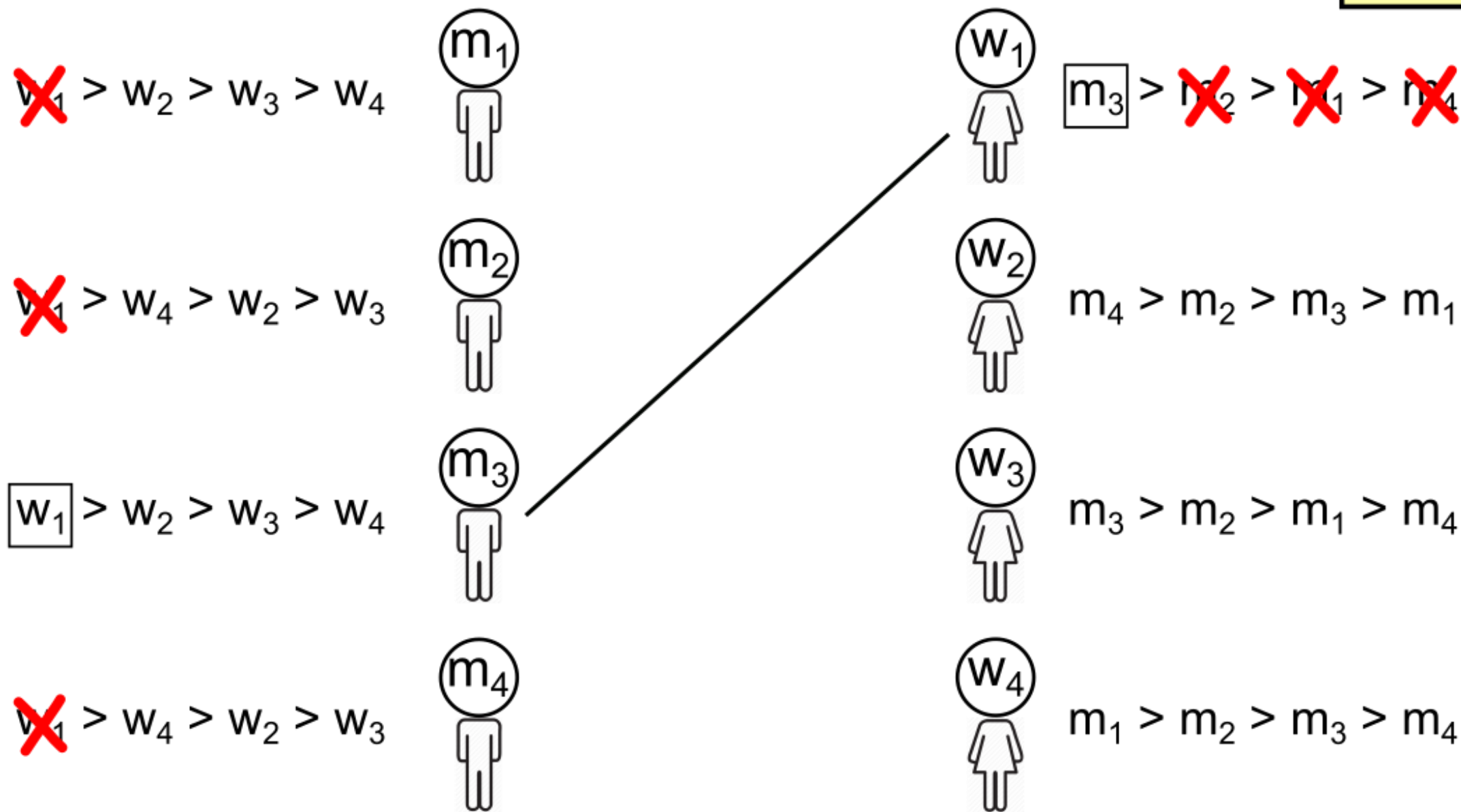
Round 1





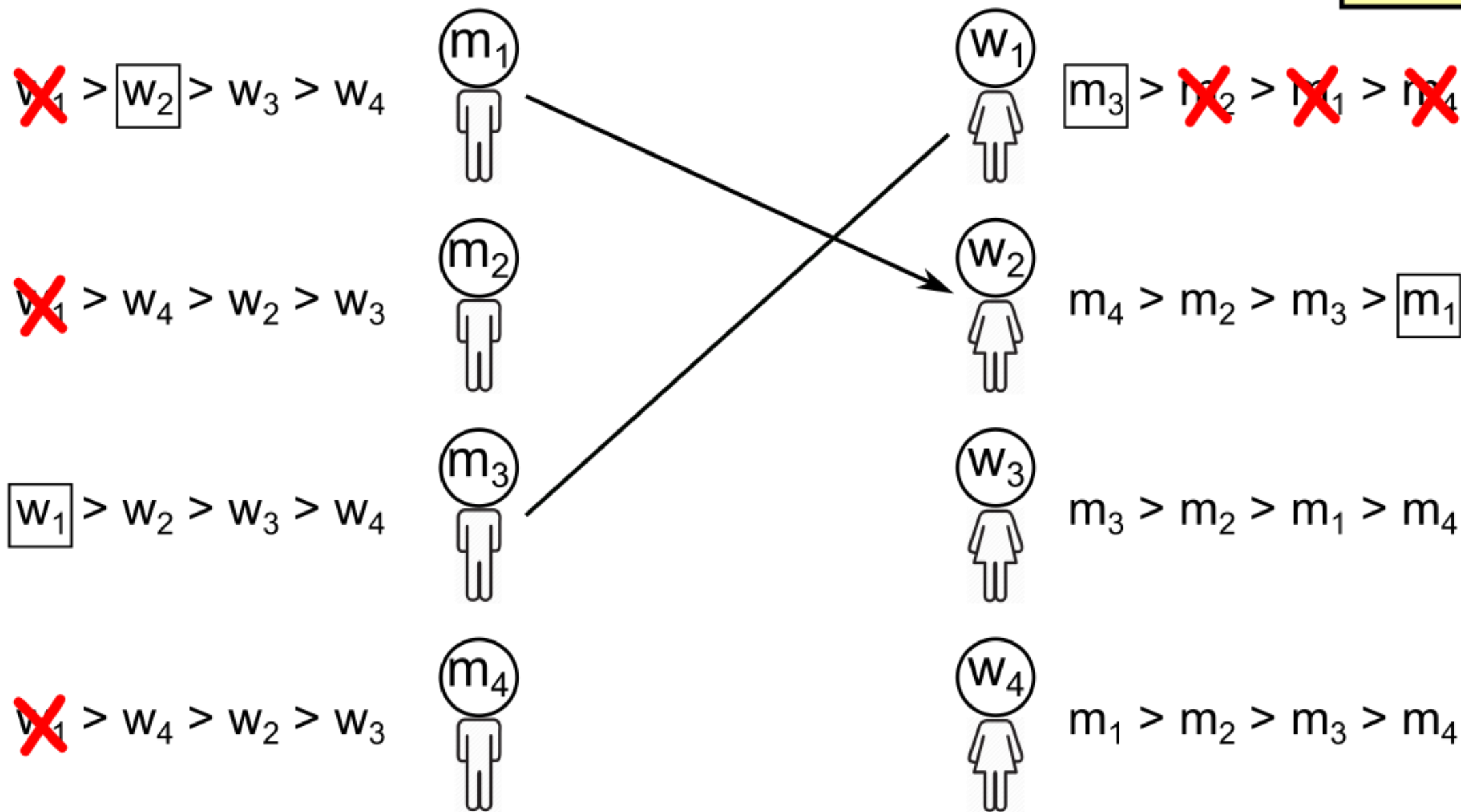
# Deferred-Acceptance Algorithm

Round 2



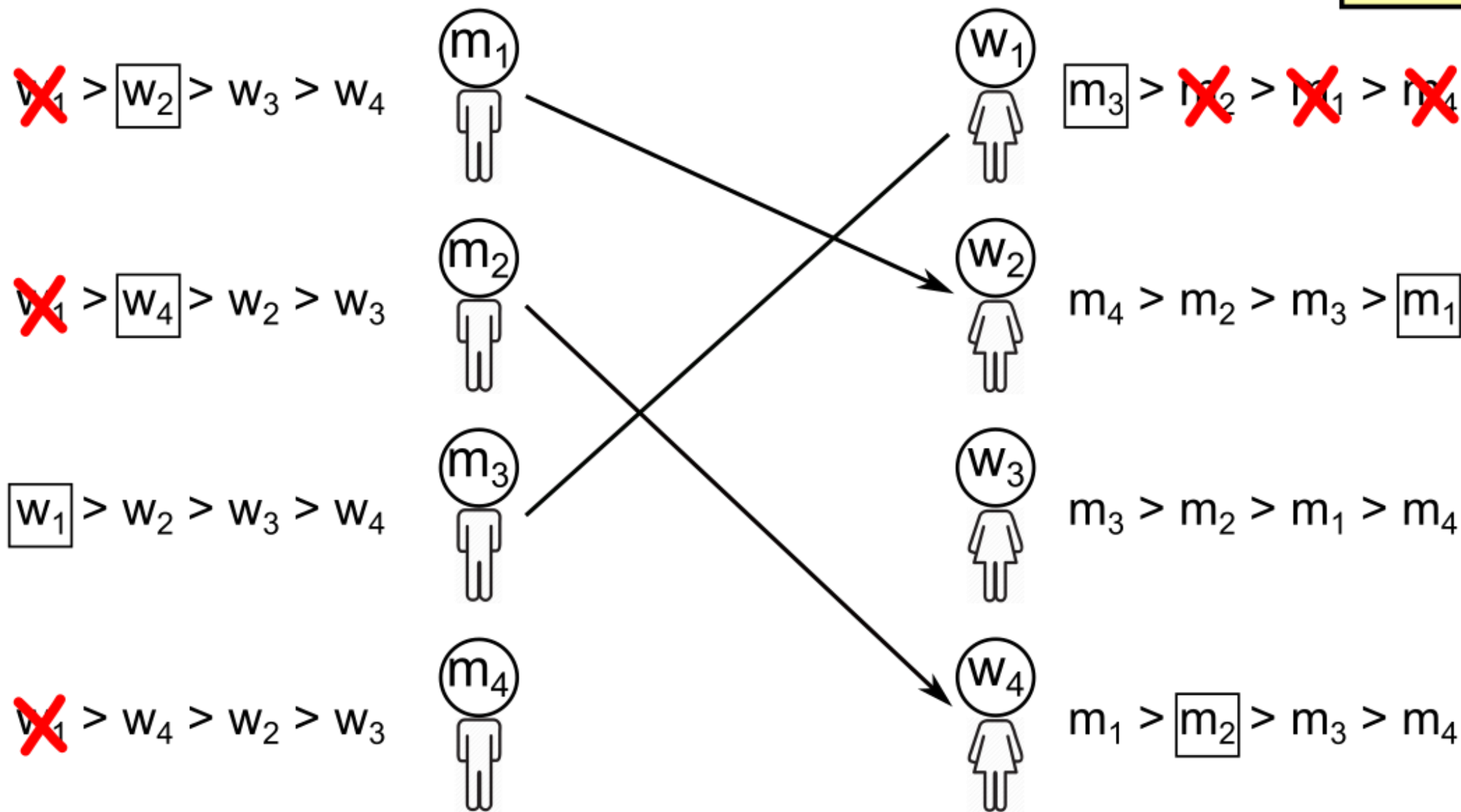
# Deferred-Acceptance Algorithm

Round 2



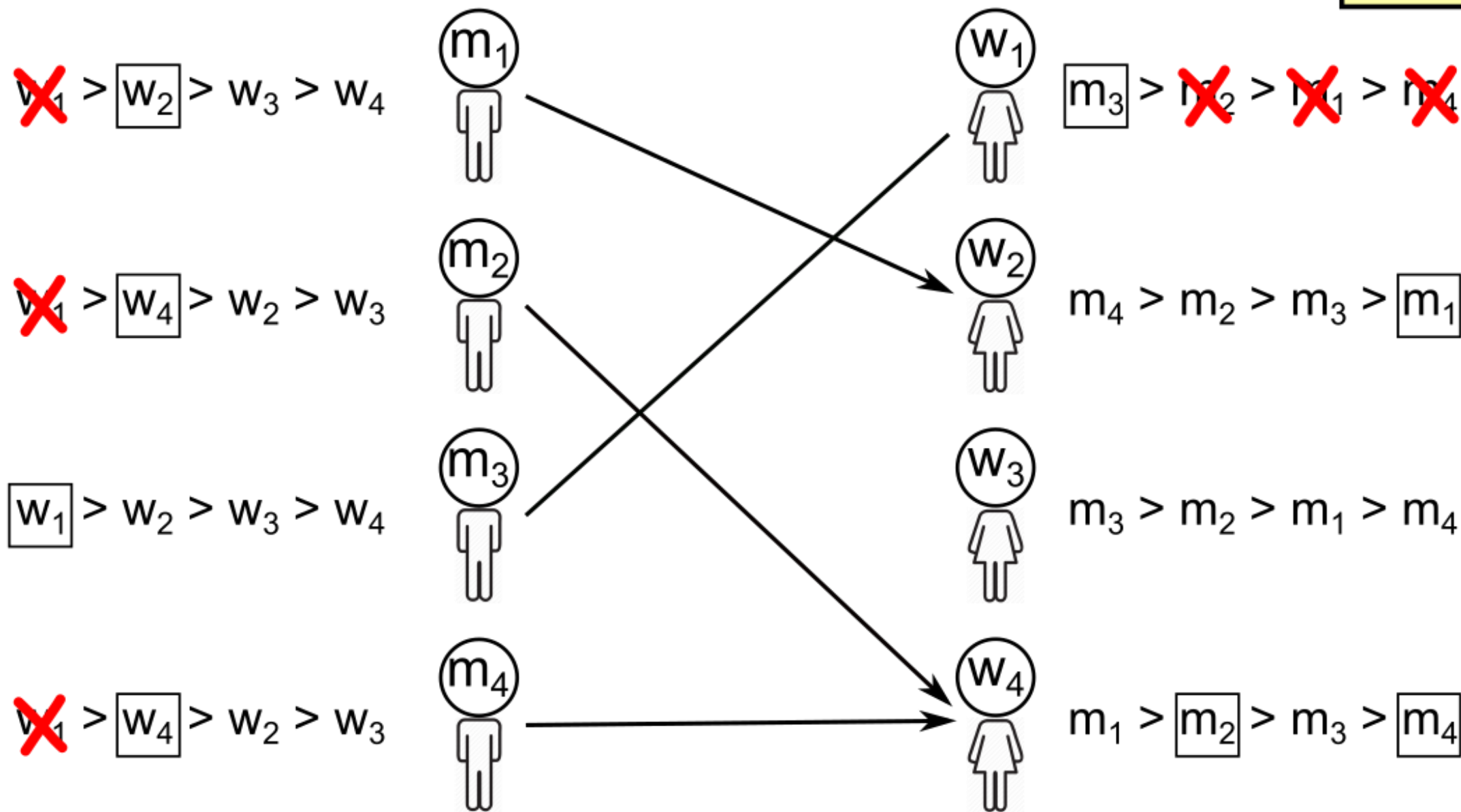
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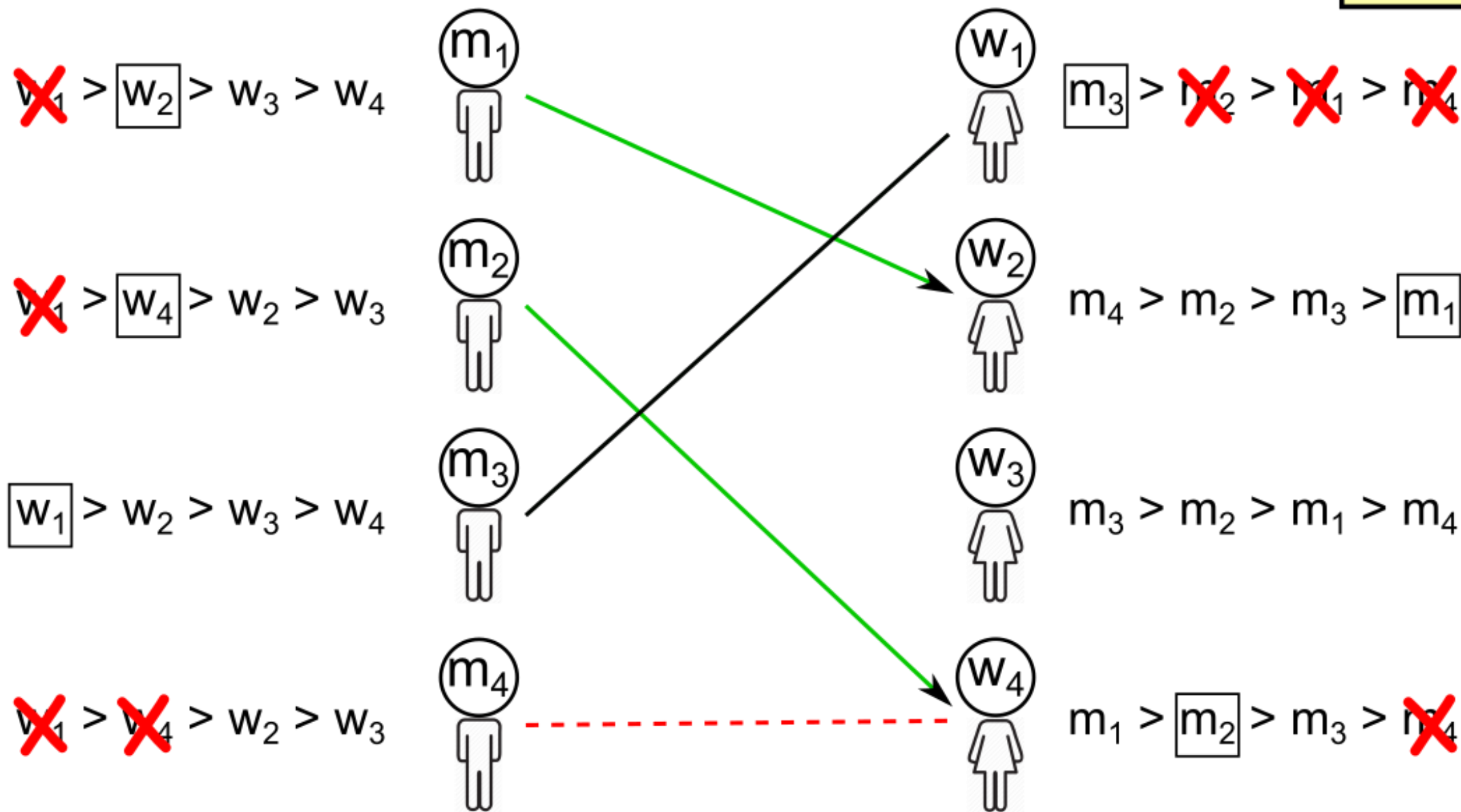
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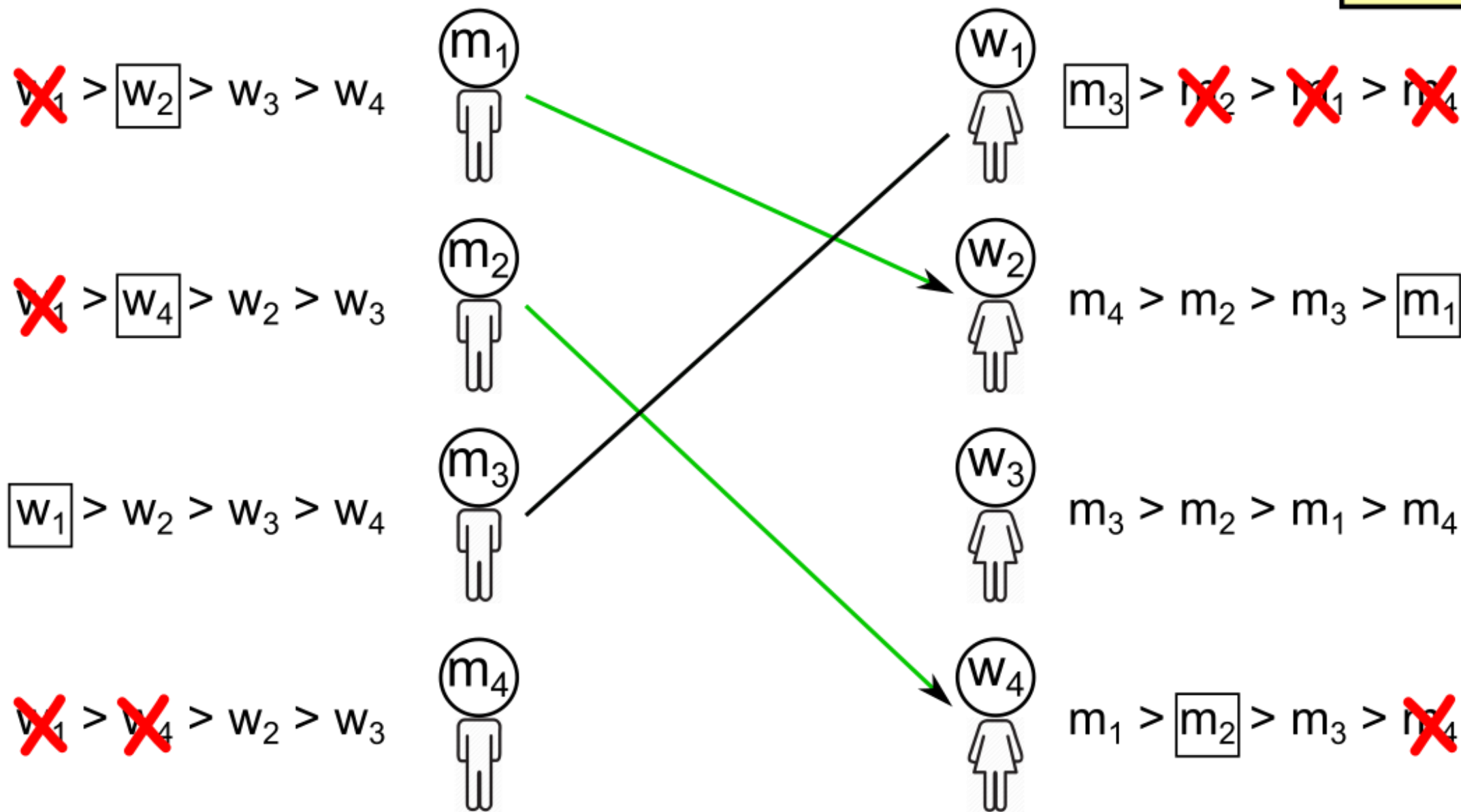
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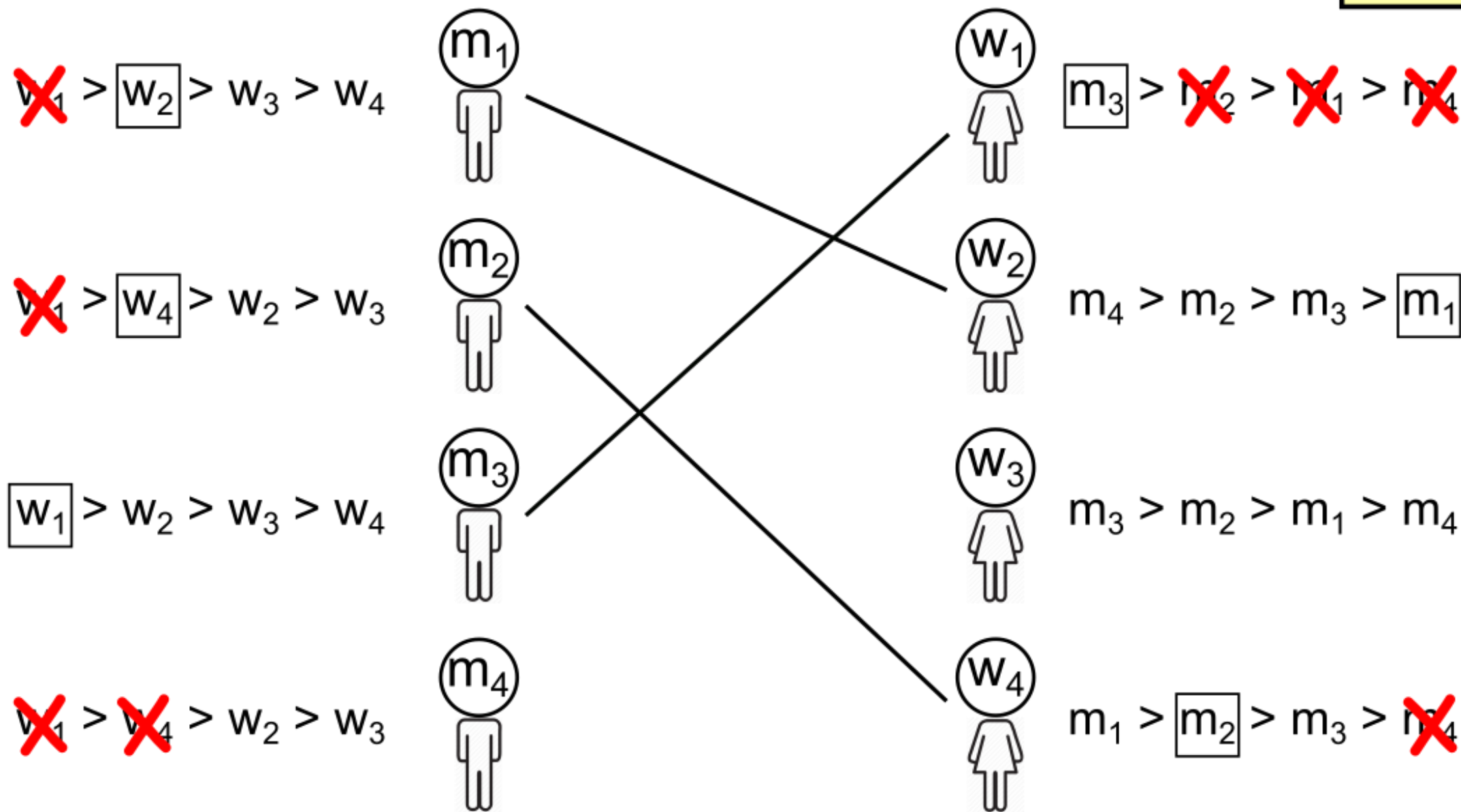
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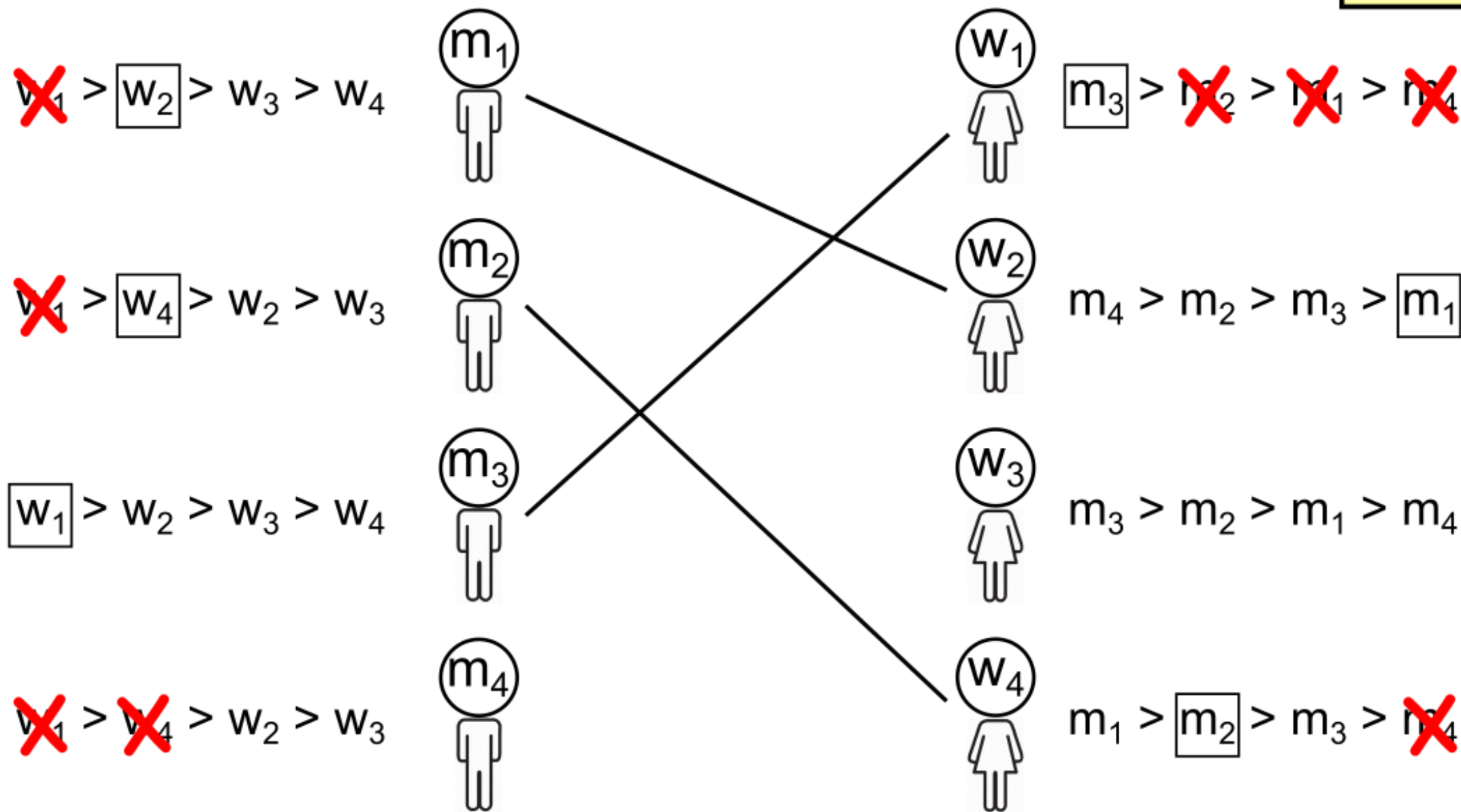
Round 2





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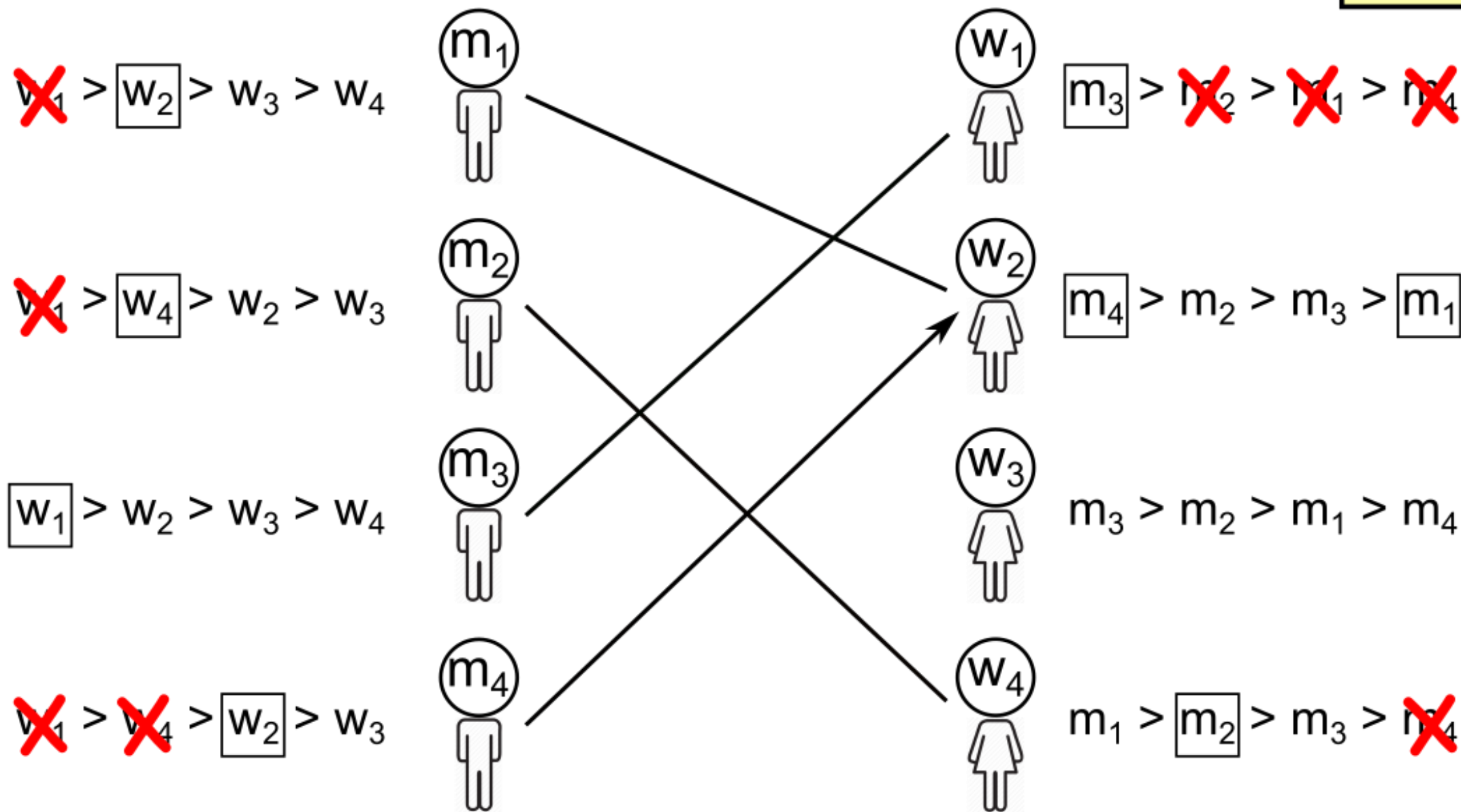
Round 3





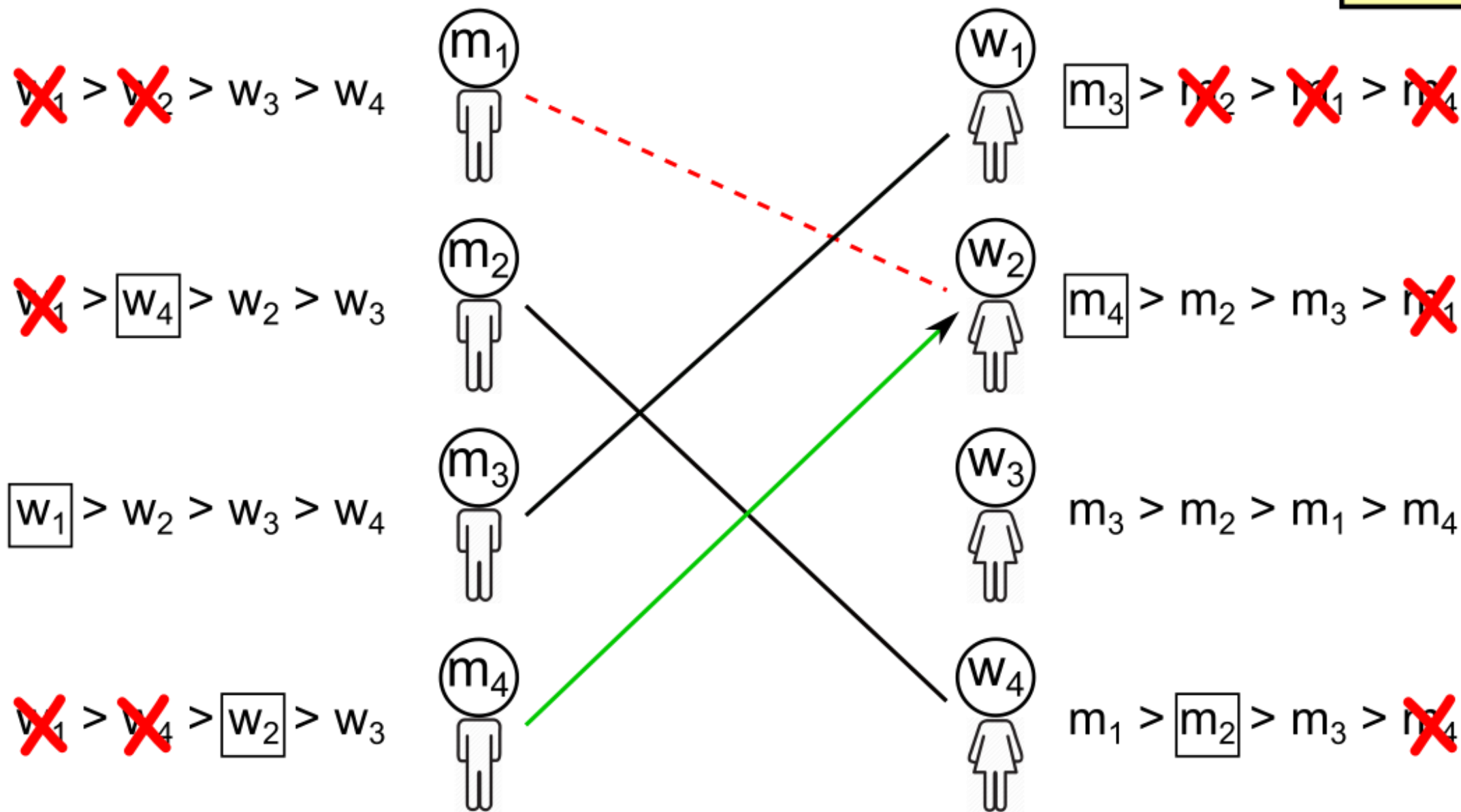
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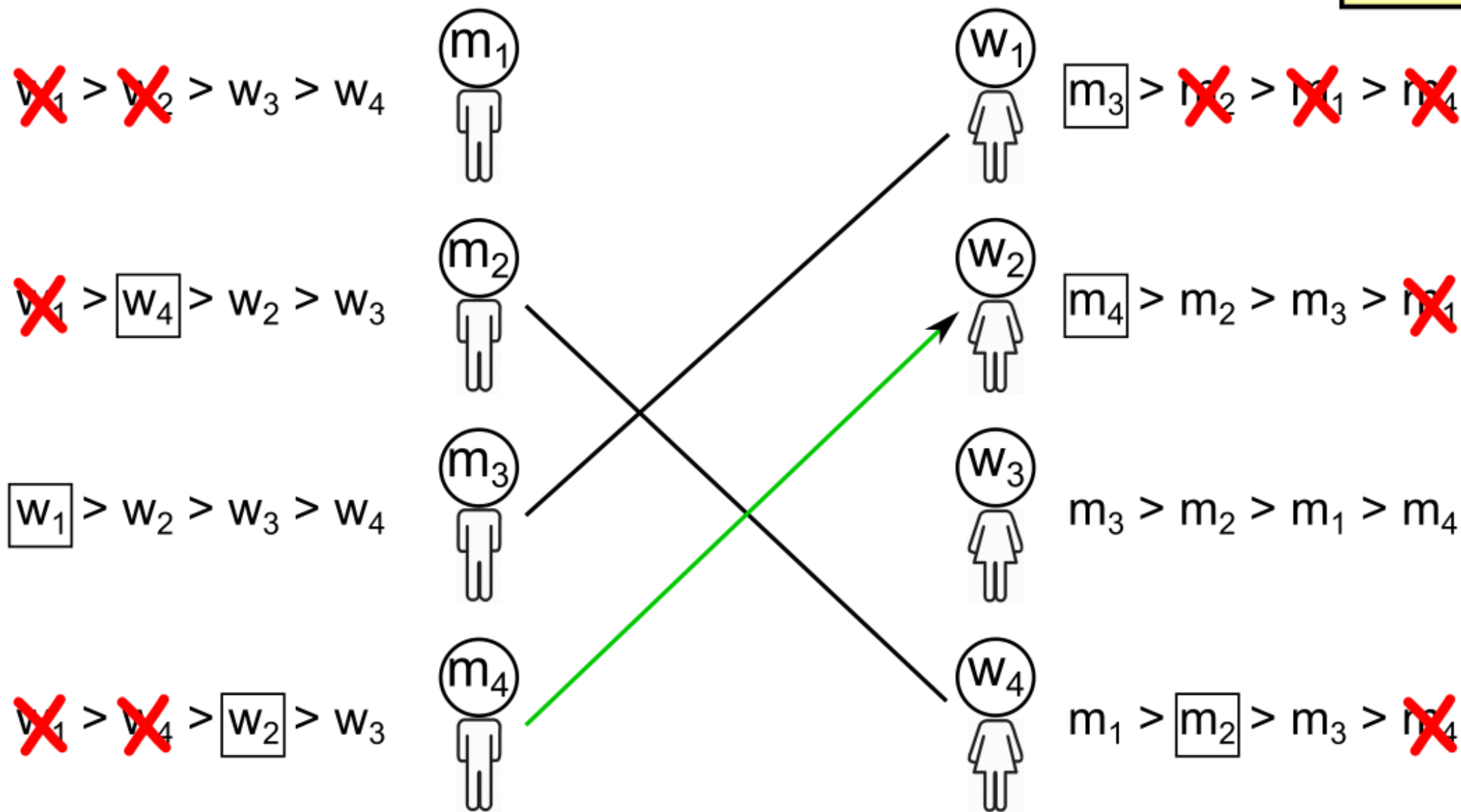
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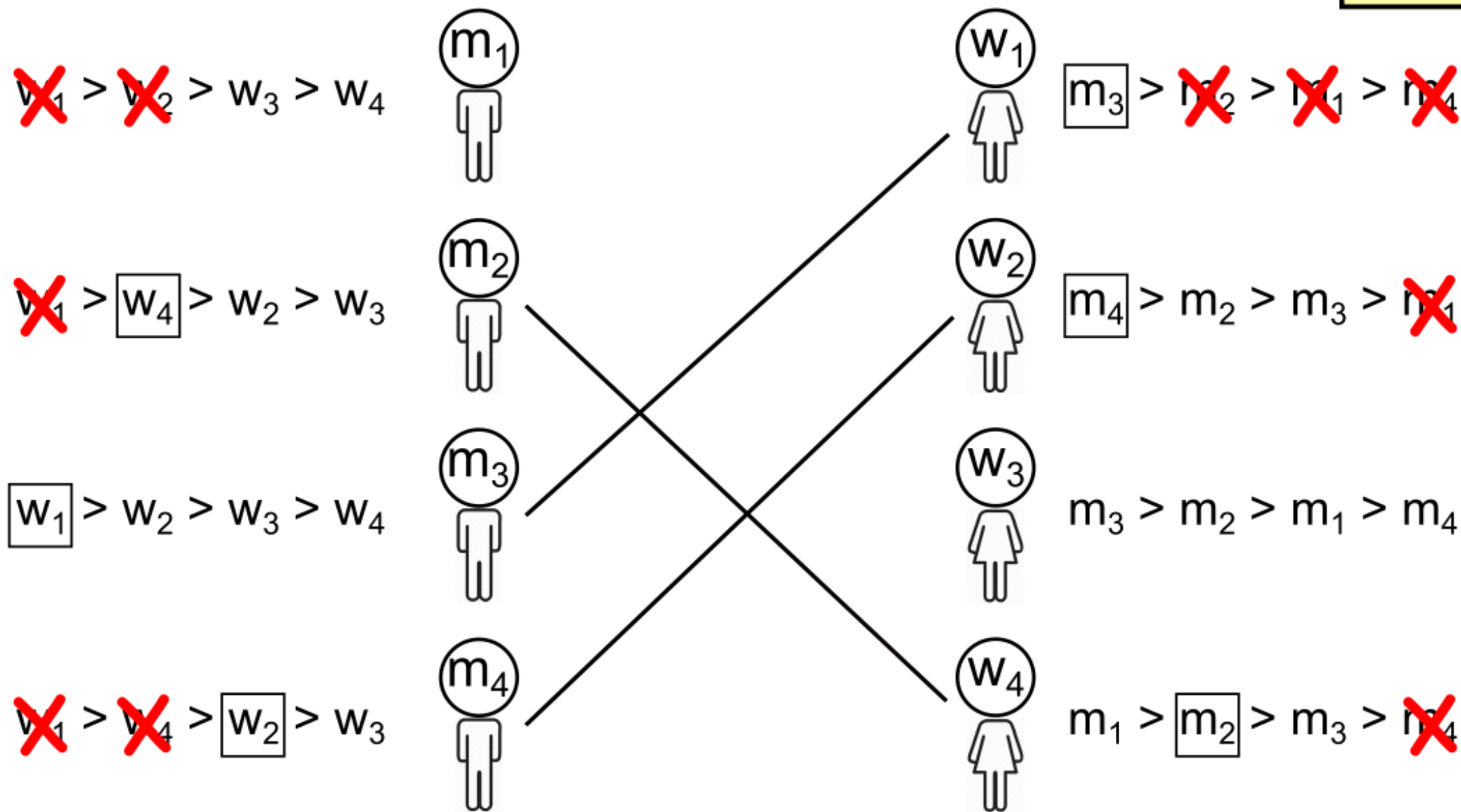
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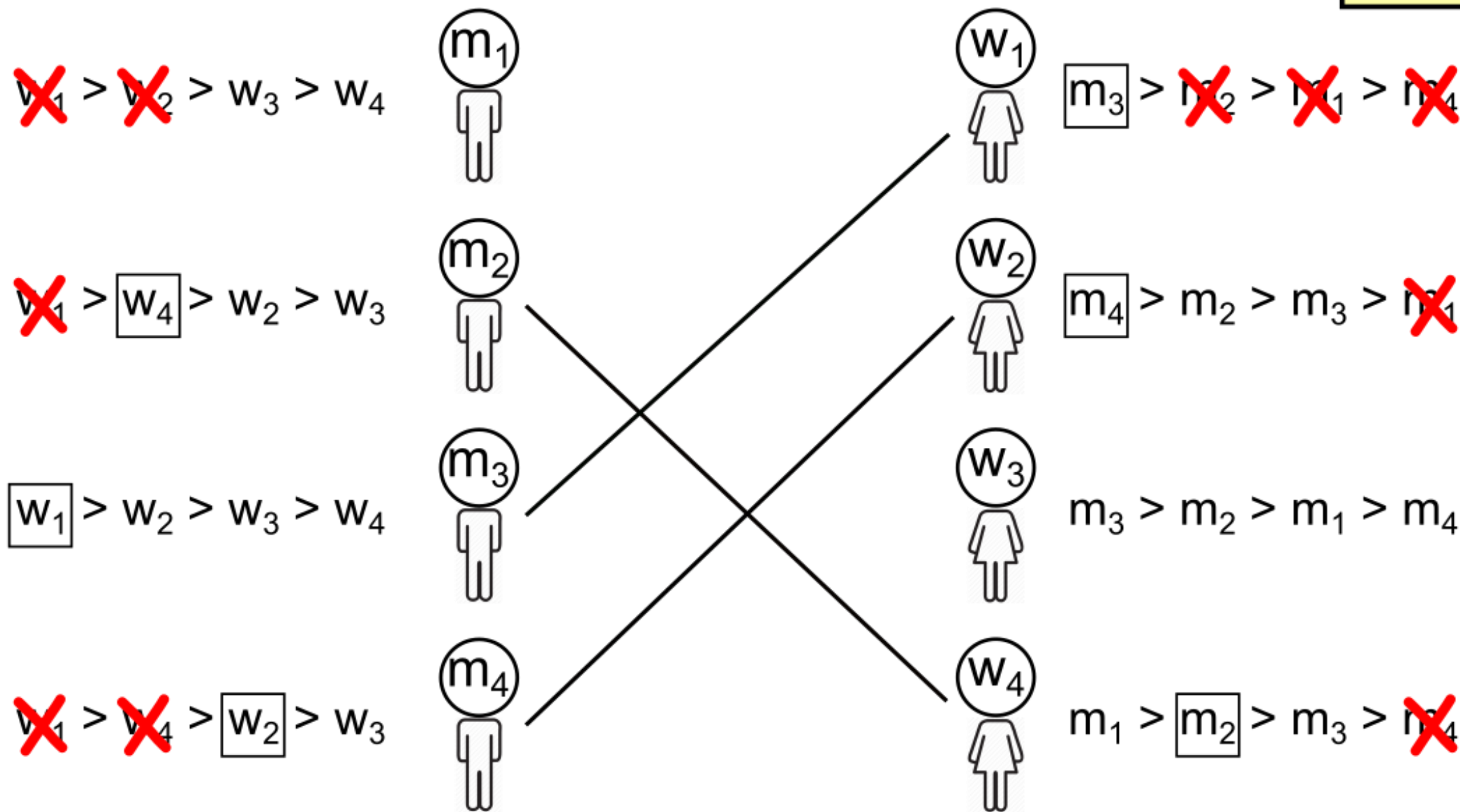
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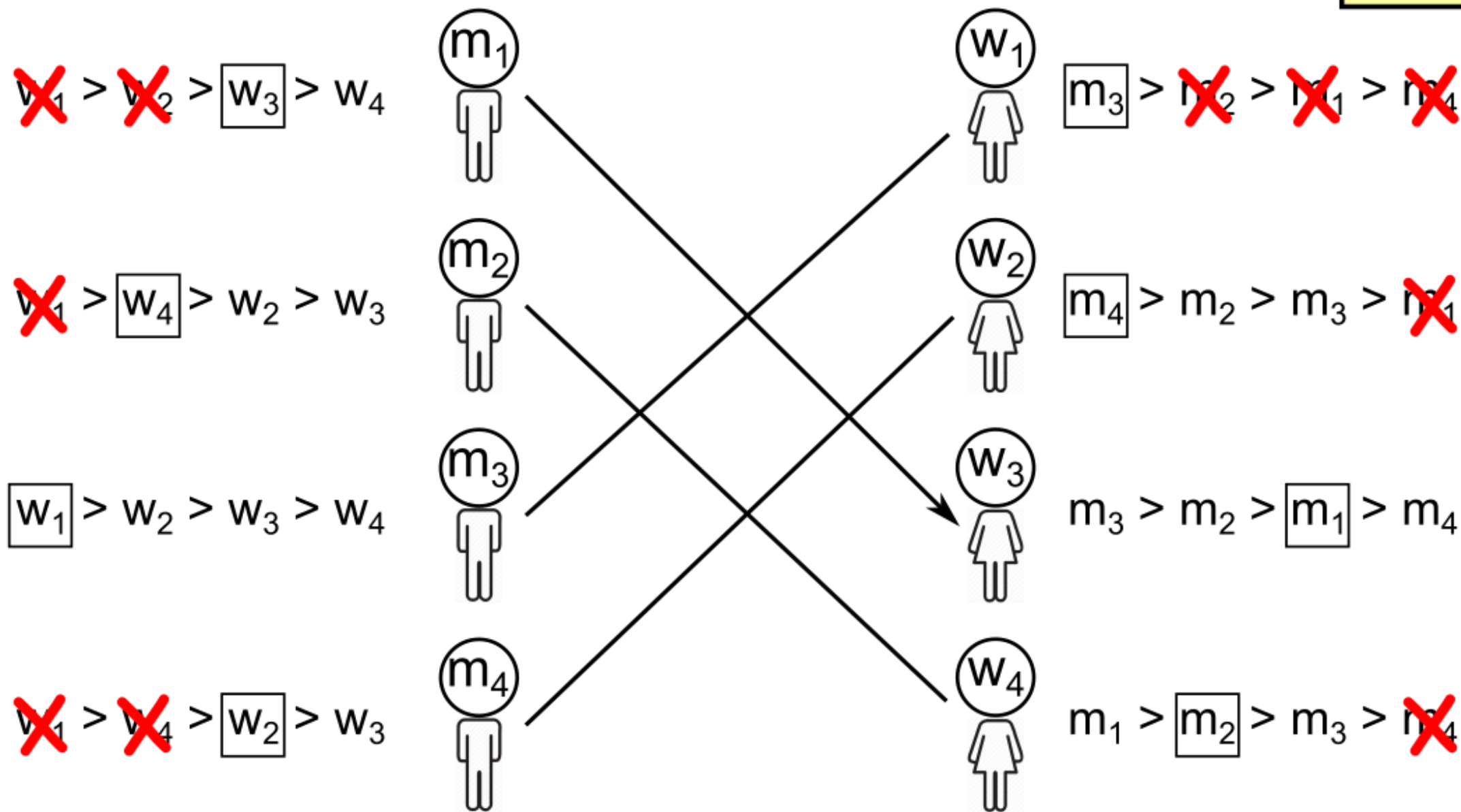
# Deferred-Acceptance Algorithm

Round 4



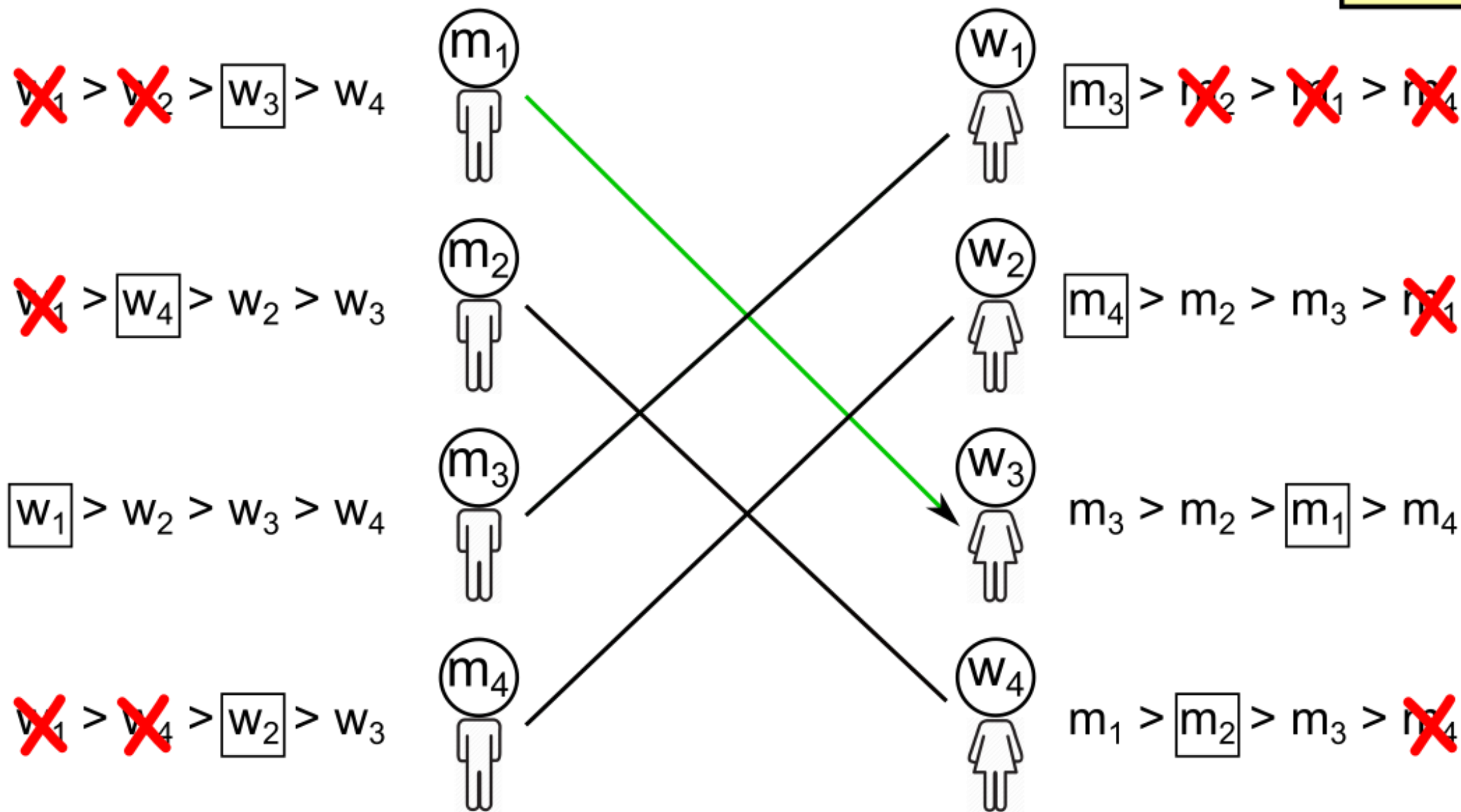
# Deferred-Acceptance Algorithm

Round 4



# Deferred-Acceptance Algorithm

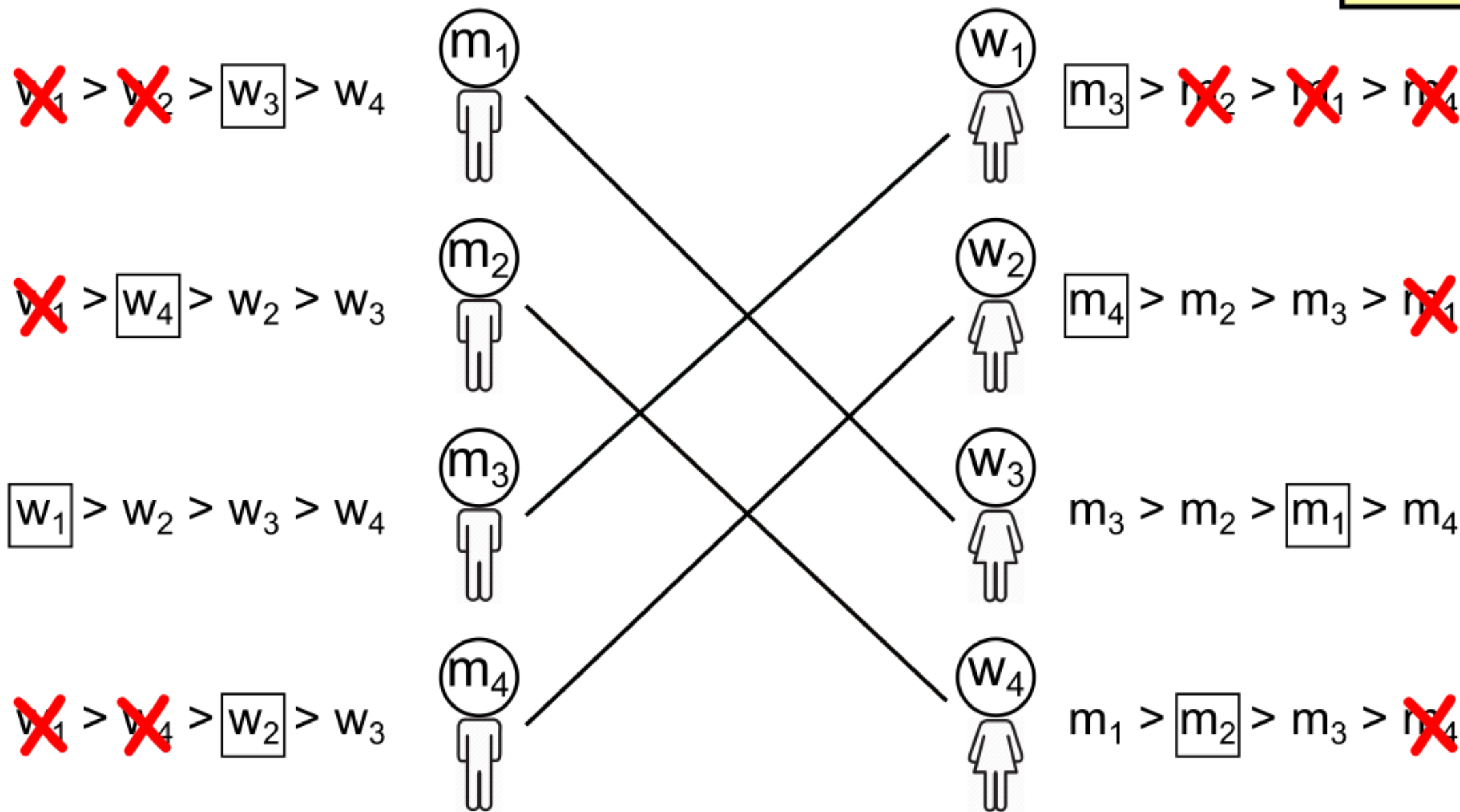
Round 4





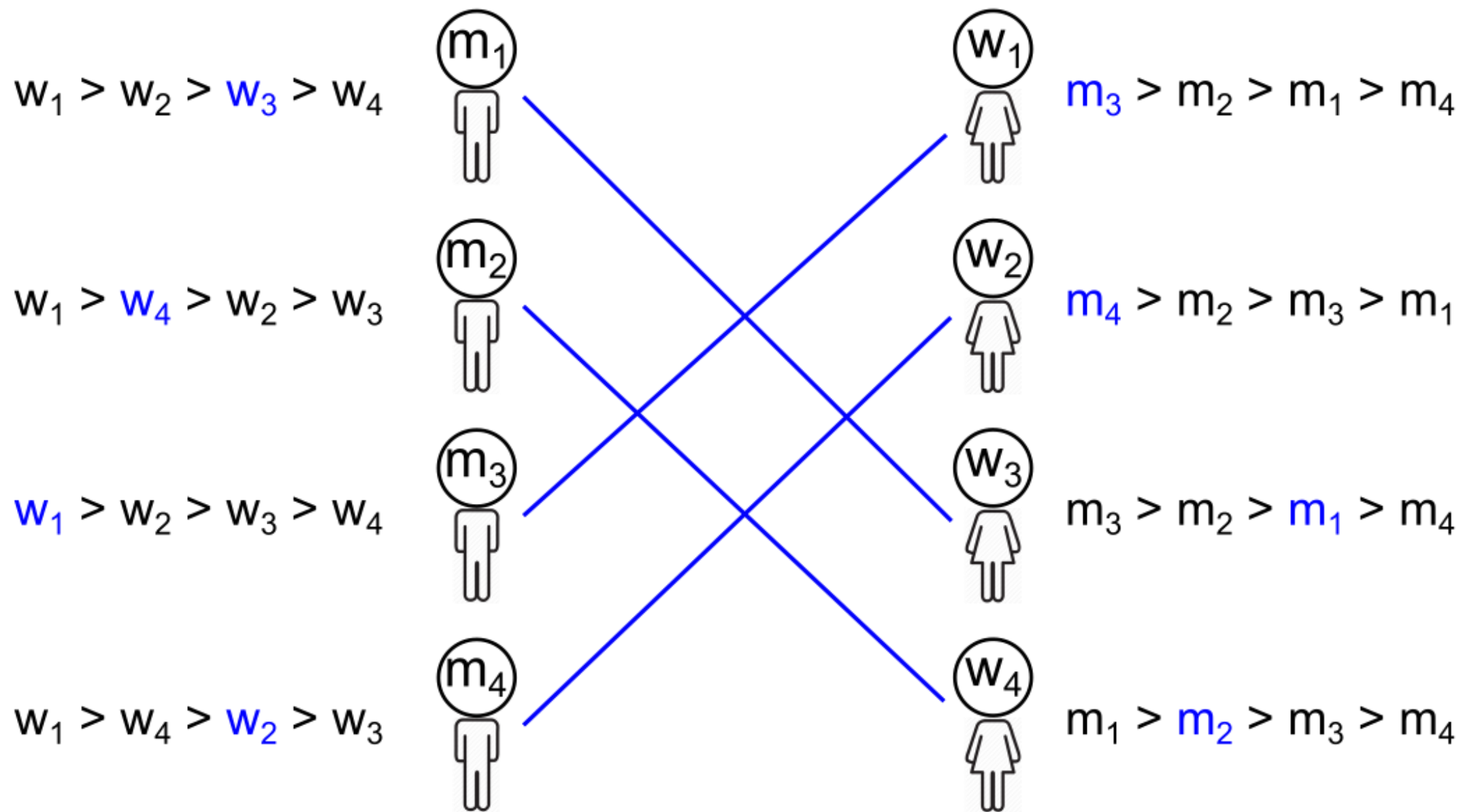
# Deferred-Acceptance Algorithm

Round 4





# Deferred-Acceptance Algorithm





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Deferred-acceptance algorithm terminates in **polynomial time**.



2

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Man  $m$  must have proposed to (and been rejected by) woman  $w$ ,  
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Once tentatively matched, a woman never becomes unmatched.

3

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Women only "trade up" during the DA algorithm.



**HOSPITAL**











# Applications of Matching Models under Preferences

Péter Biró

## 18.1 Introduction

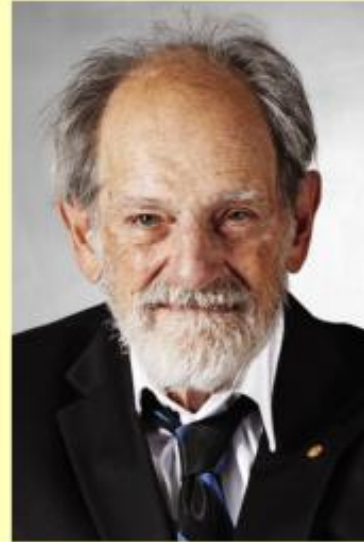
Matching problems under preferences have been studied widely in mathematics, computer science and economics, starting with the seminal paper by Gale and Shapley (1962). A comprehensive survey on this topic was published also in Chapter 14 of the Handbook of Computational Social Choice (Klaus et al., 2016), and for the interested reader we recommend consulting the following four comprehensive books on the computational (Gusfield and Irving, 1989; Manlove, 2013) and game-theoretical, market design aspects (Roth and Sotomayor, 1990; Roth, 2015) of this topic. In this chapter our goal is to give a general overview of the related applications.



# Sveriges Riksbank Prize in Economic Sciences in Memory of Alfred Nobel 2012



Alvin E. Roth



Lloyd S. Shapley

*"for the theory of stable allocations  
and the practice of market design."*

# Structure of the Set of Stable Matchings

$w_4 > w_1 > w_2 > w_3$



$w_3 > w_2 > w_4 > w_1$



$w_1 > w_2 > w_3 > w_4$



$w_2 > w_1 > w_4 > w_3$



$m_2 > m_1 > m_4 > m_3$



$m_1 > m_2 > m_3 > m_4$



$m_3 > m_1 > m_2 > m_4$



$m_4 > m_2 > m_1 > m_3$

$w_4 > w_1 > w_2 > w_3$



2  
3  
4  
1



$m_2 > m_1 > m_4 > m_3$

$w_3 > w_2 > w_4 > w_1$



$m_1 > m_2 > m_3 > m_4$

$w_1 > w_2 > w_3 > w_4$

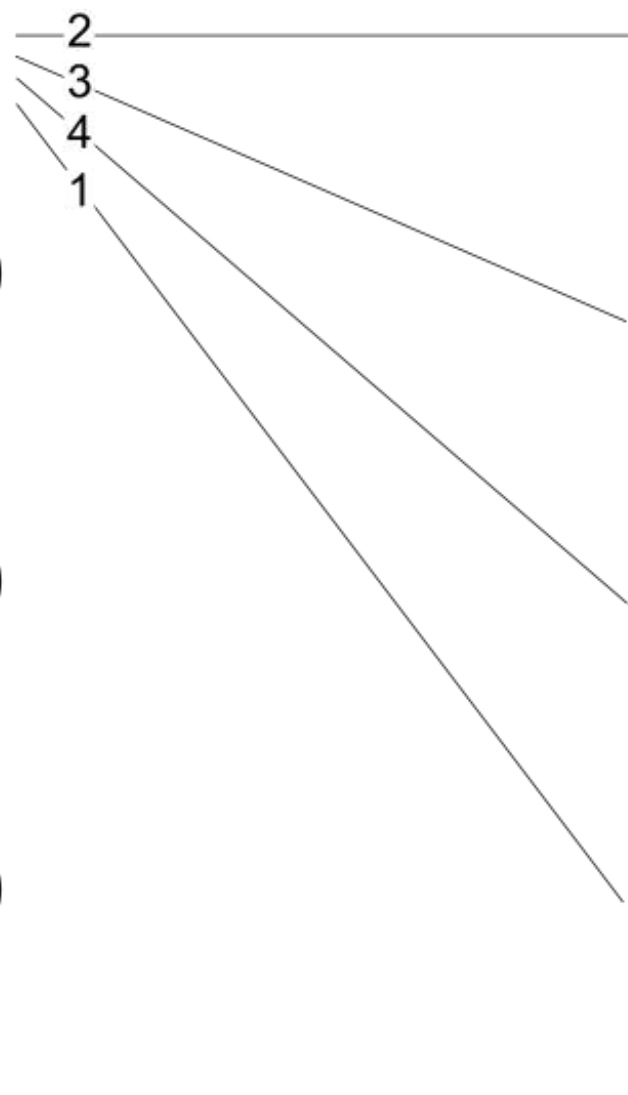


$m_3 > m_1 > m_2 > m_4$

$w_2 > w_1 > w_4 > w_3$



$m_4 > m_2 > m_1 > m_3$

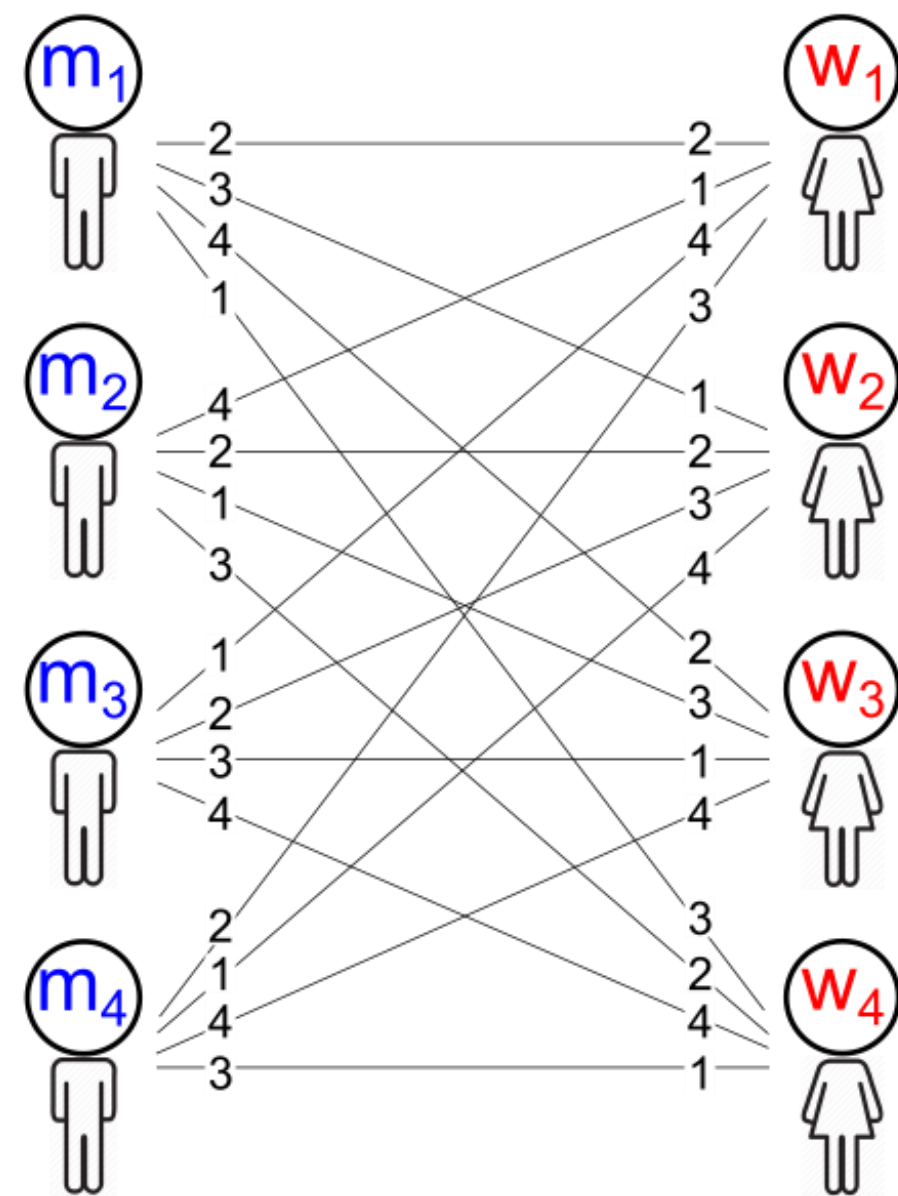


$w_4 > w_1 > w_2 > w_3$

$w_3 > w_2 > w_4 > w_1$

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$w_2 > w_1 > w_4 > w_3$

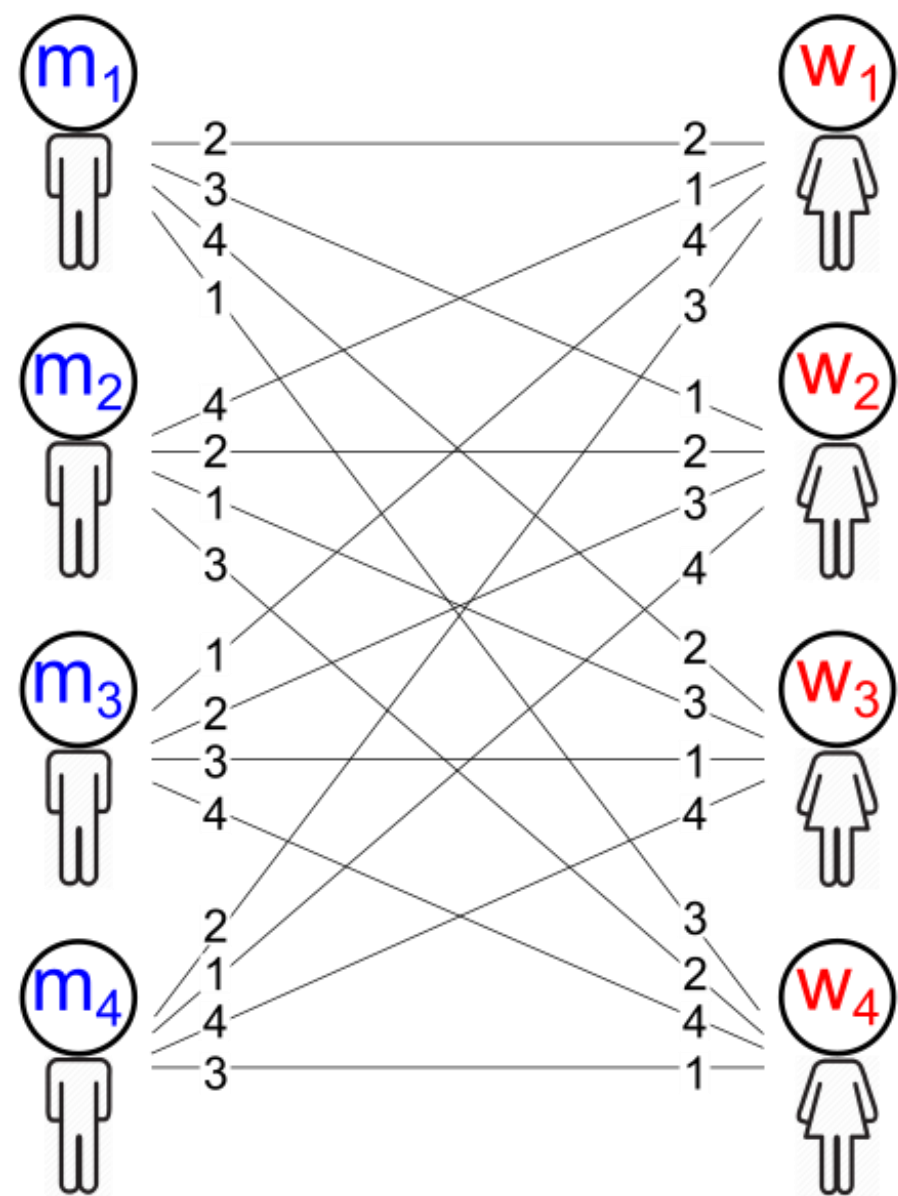


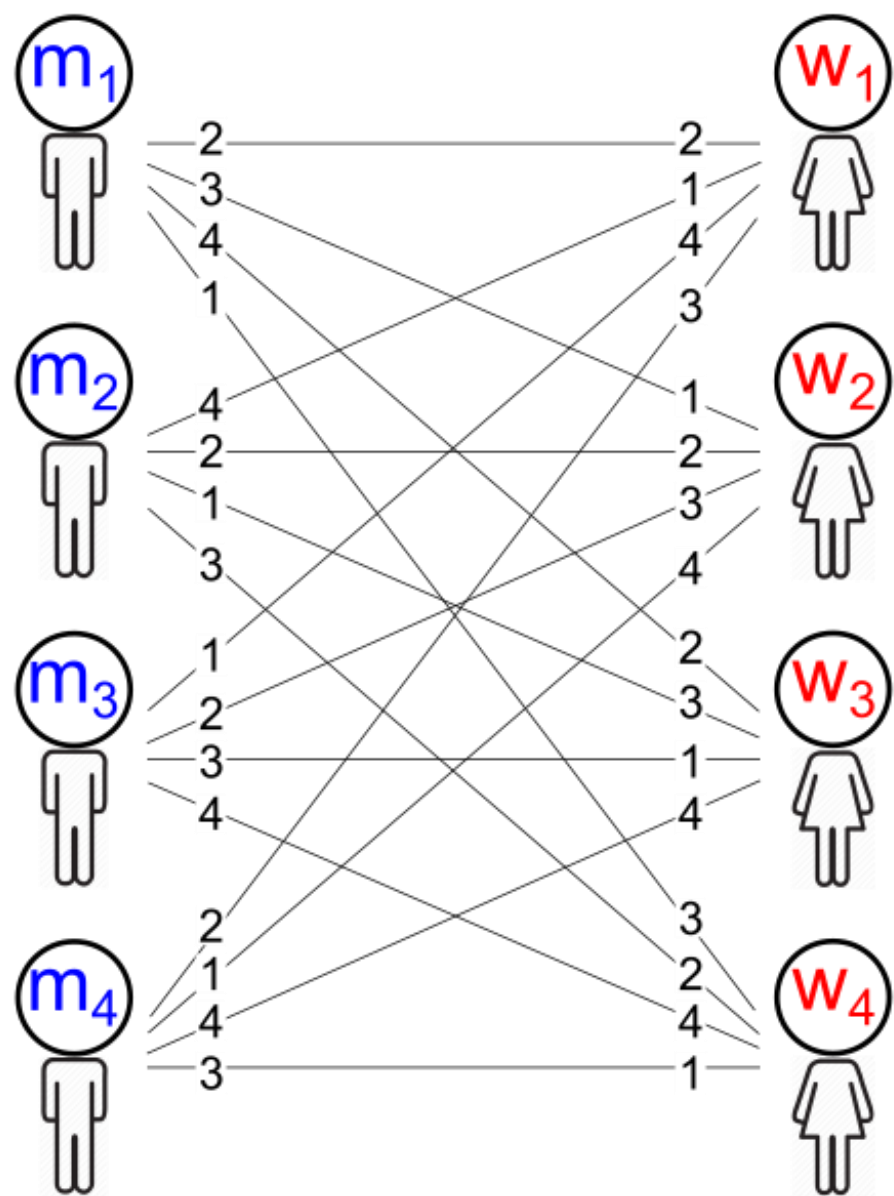
$m_2 > m_1 > m_4 > m_3$

$m_1 > m_2 > m_3 > m_4$

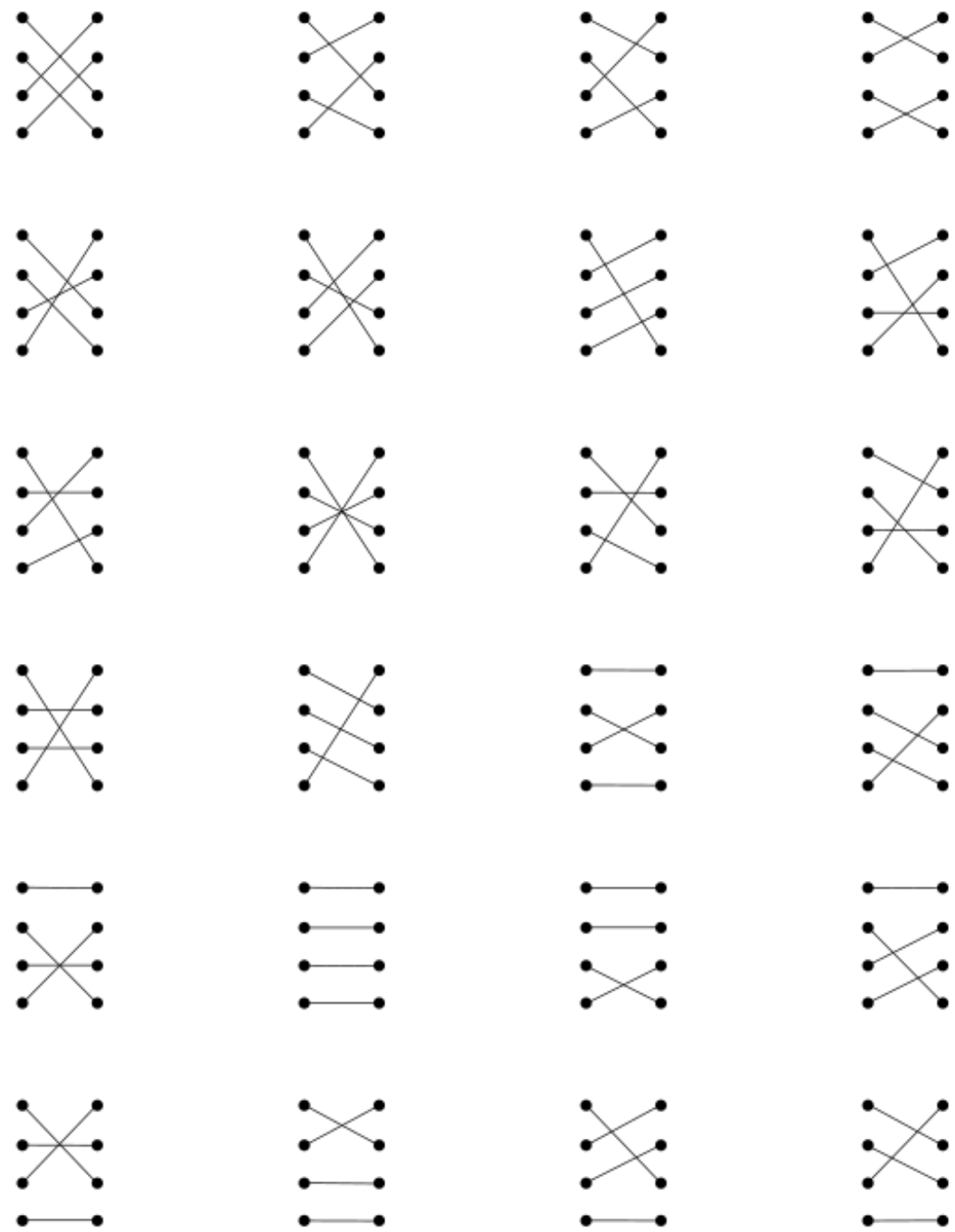
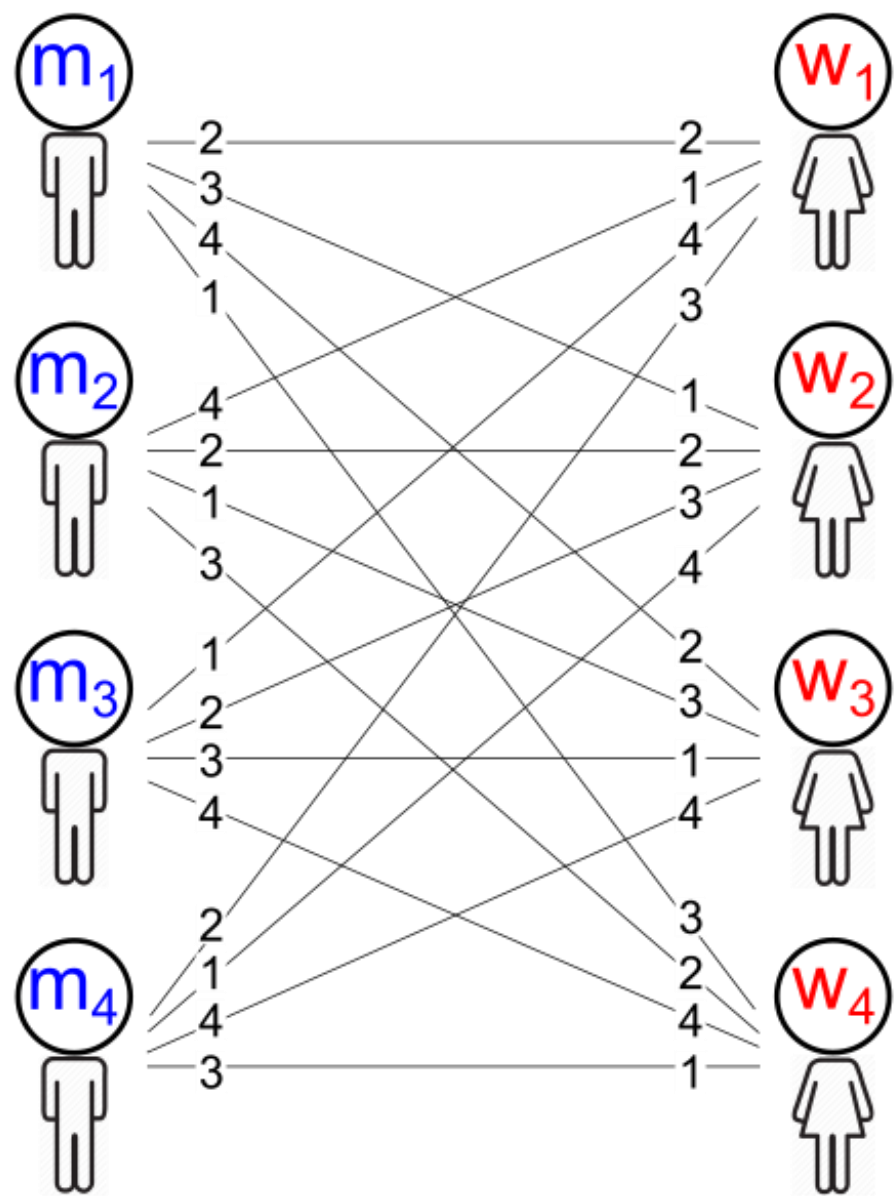
$m_3 > m_1 > m_2 > m_4$

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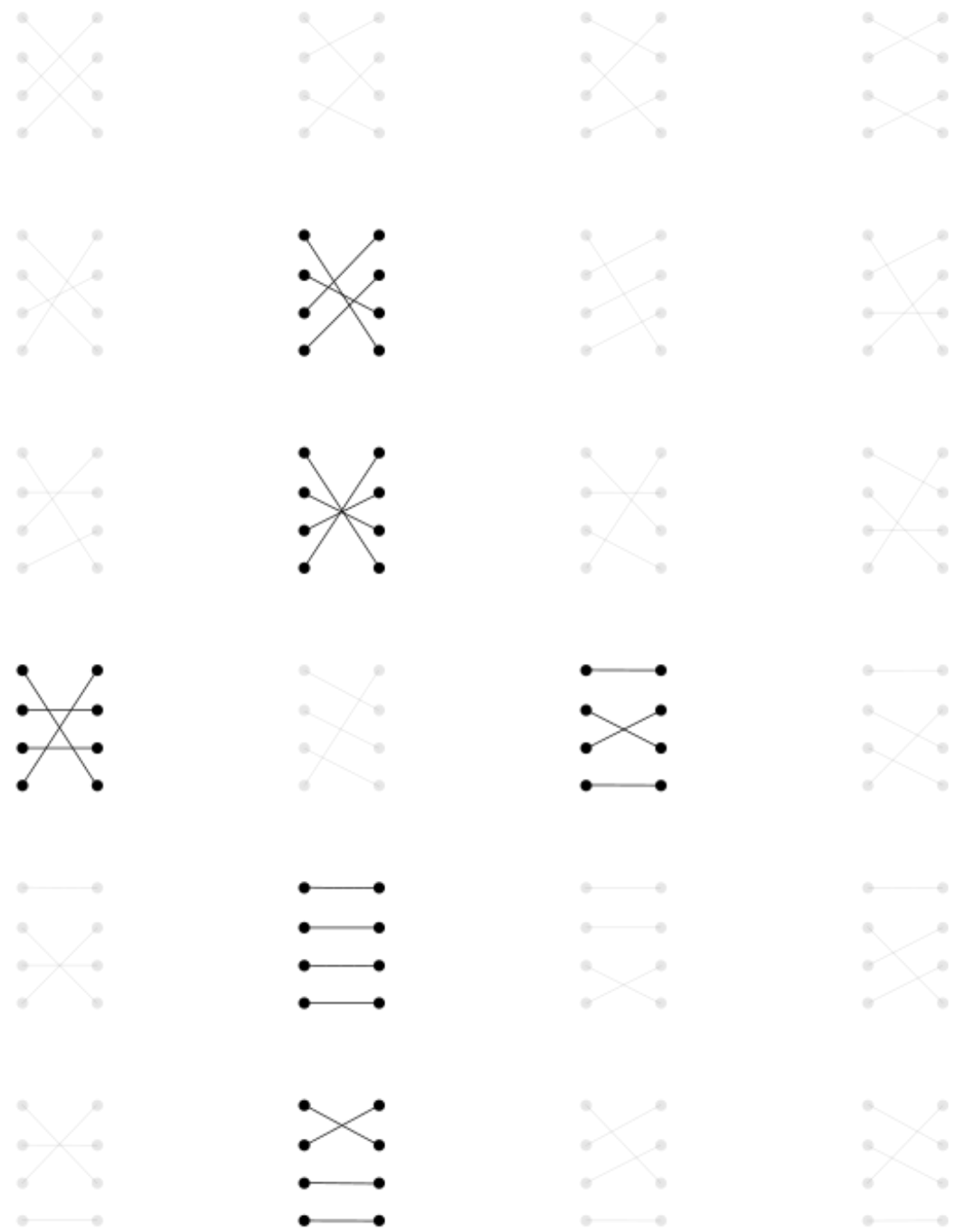
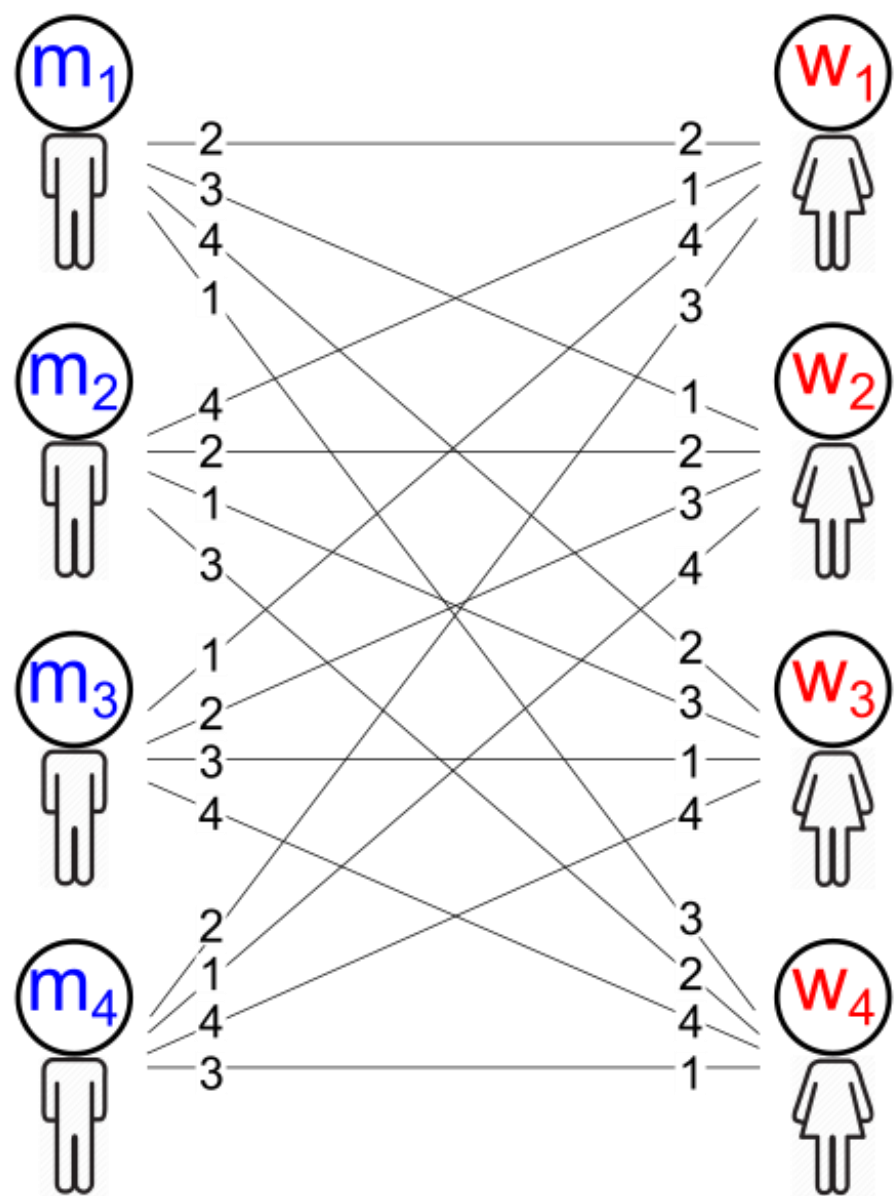


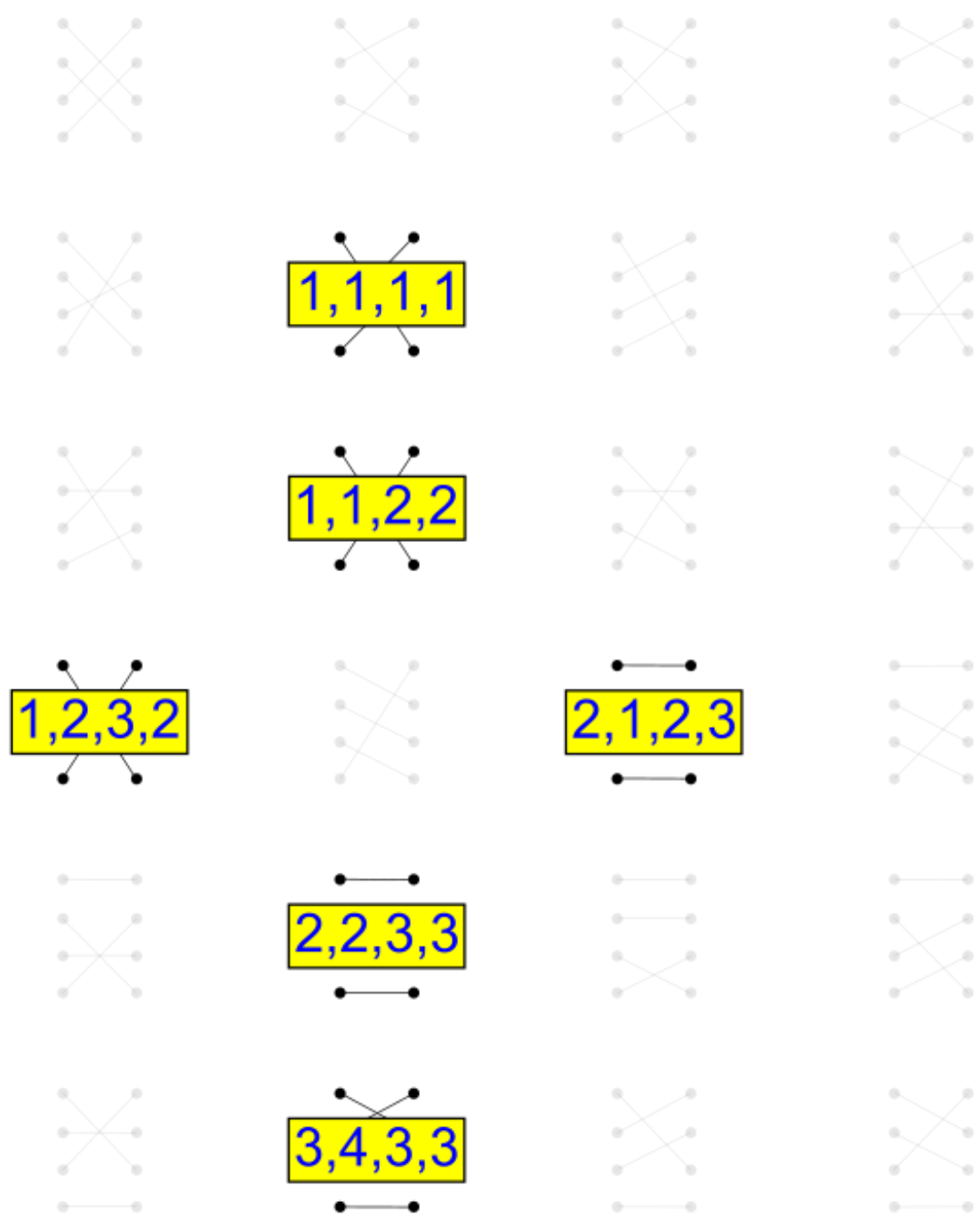
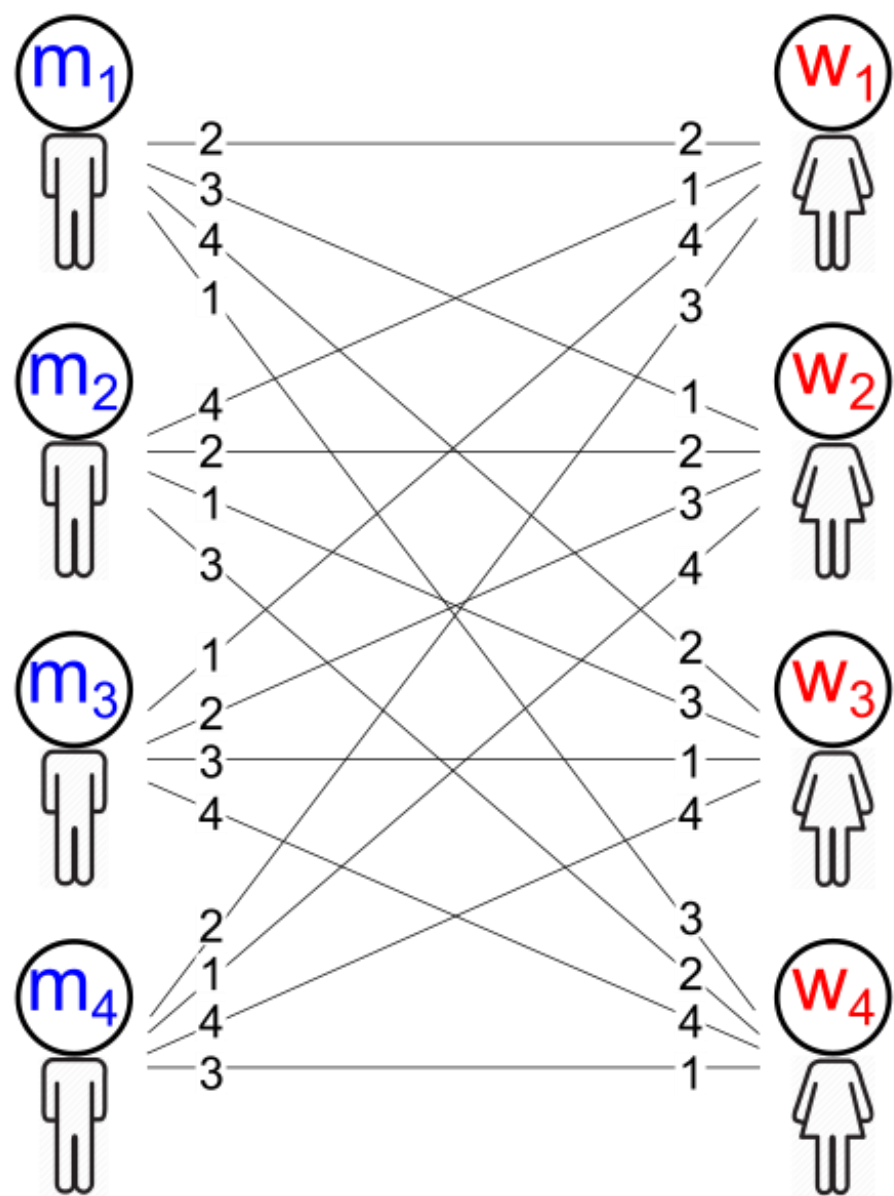


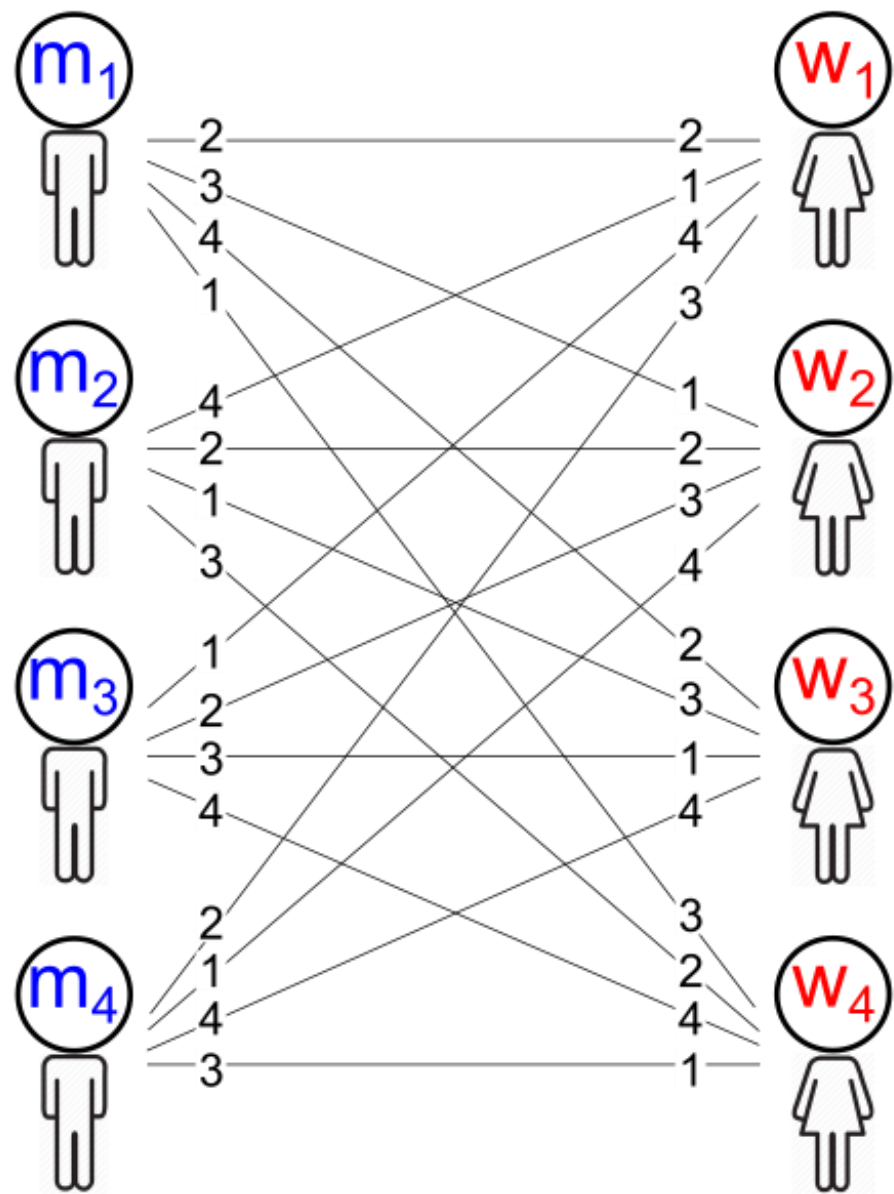












1,1,1,1

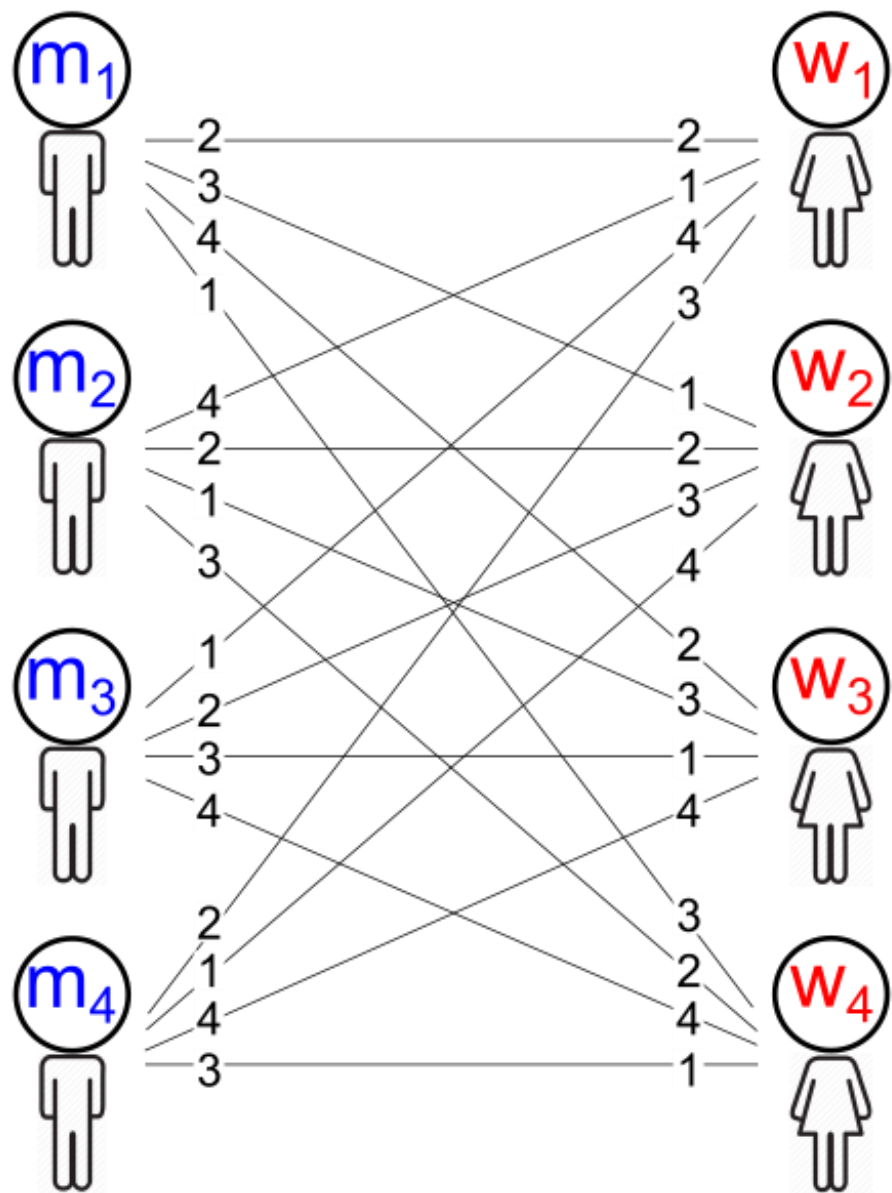
1,1,2,2

1,2,3,2

2,1,2,3

2,2,3,3

3,4,3,3



Men-optimal

1,1,1,1

1,1,2,2

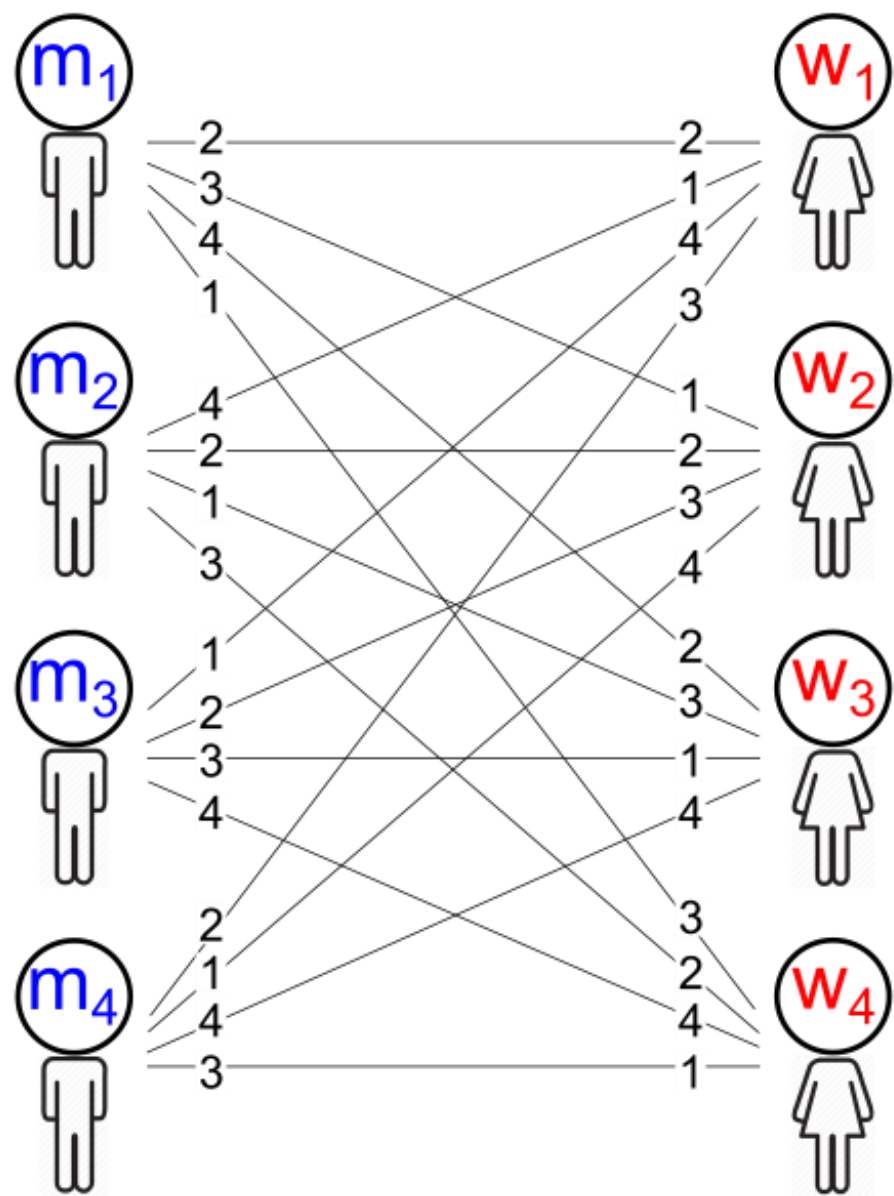
1,2,3,2

2,1,2,3

2,2,3,3

Men-pessimal

3,4,3,3



Men-optimal

1,1,1,1

1,1,2,2

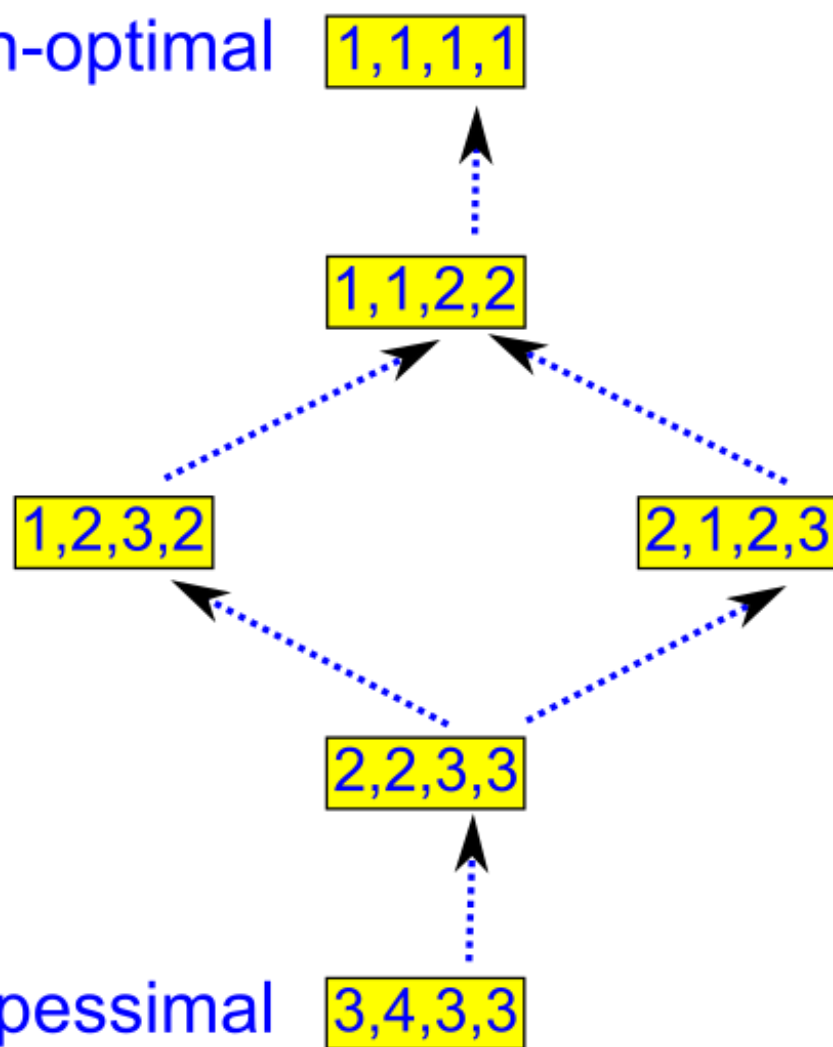
1,2,3,2

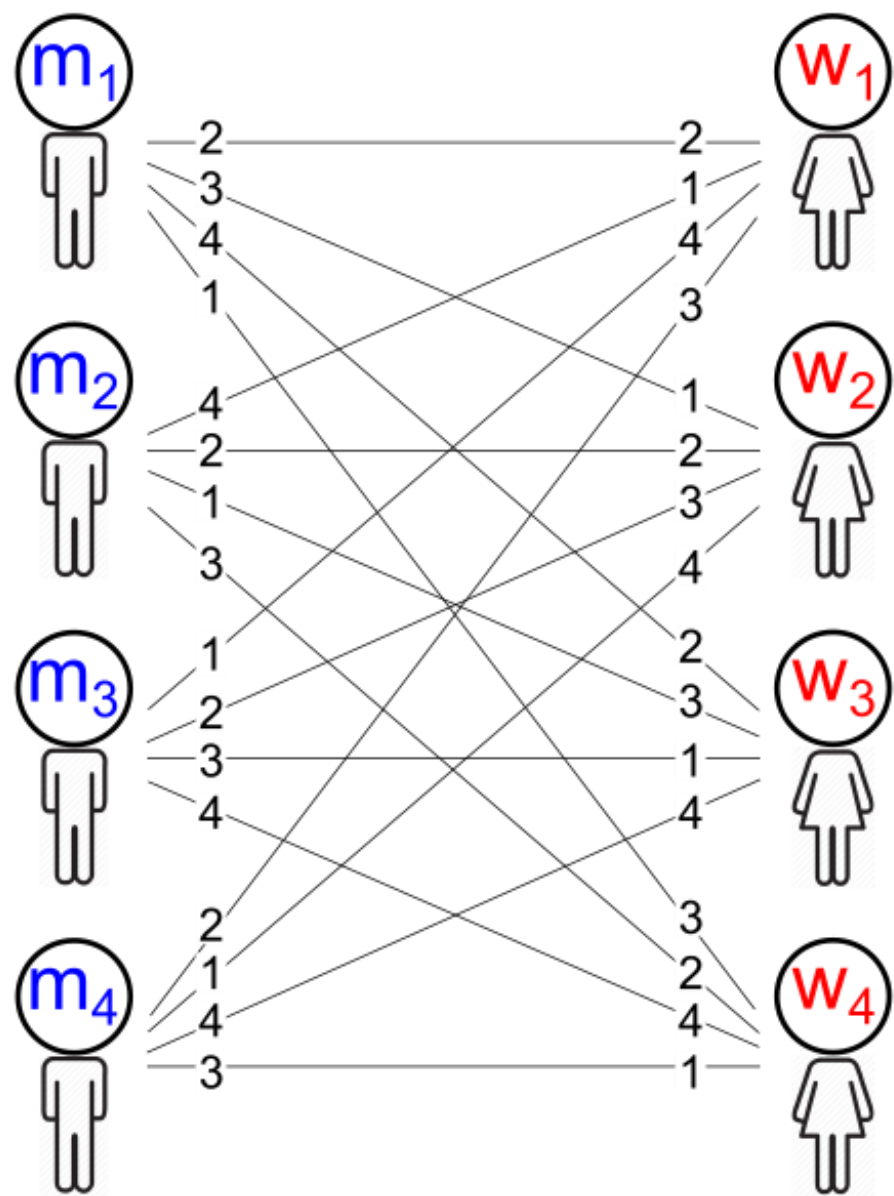
2,1,2,3

2,2,3,3

Men-pessimal

3,4,3,3





Men-optimal

1,1,1,1 | 4,4,3,3

1,1,2,2 | 3,3,3,3

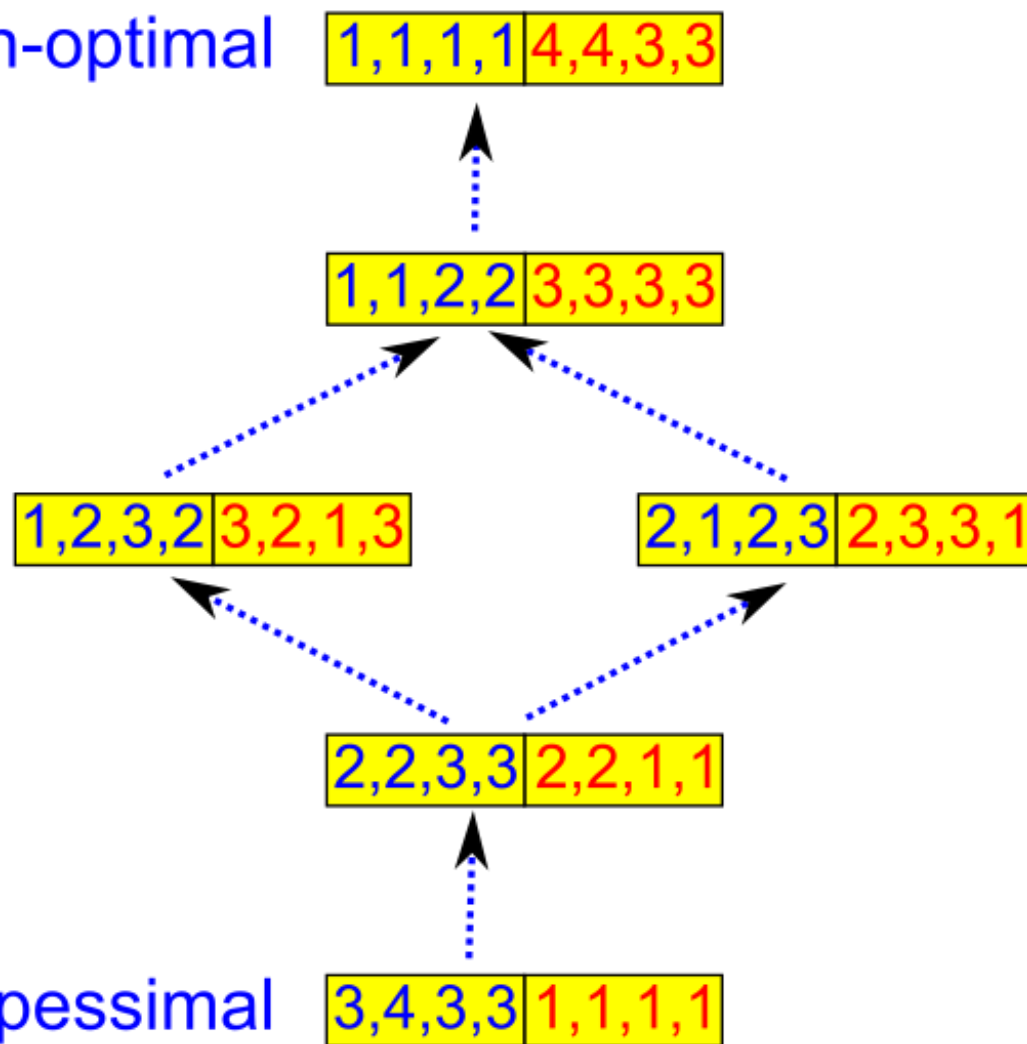
1,2,3,2 | 3,2,1,3

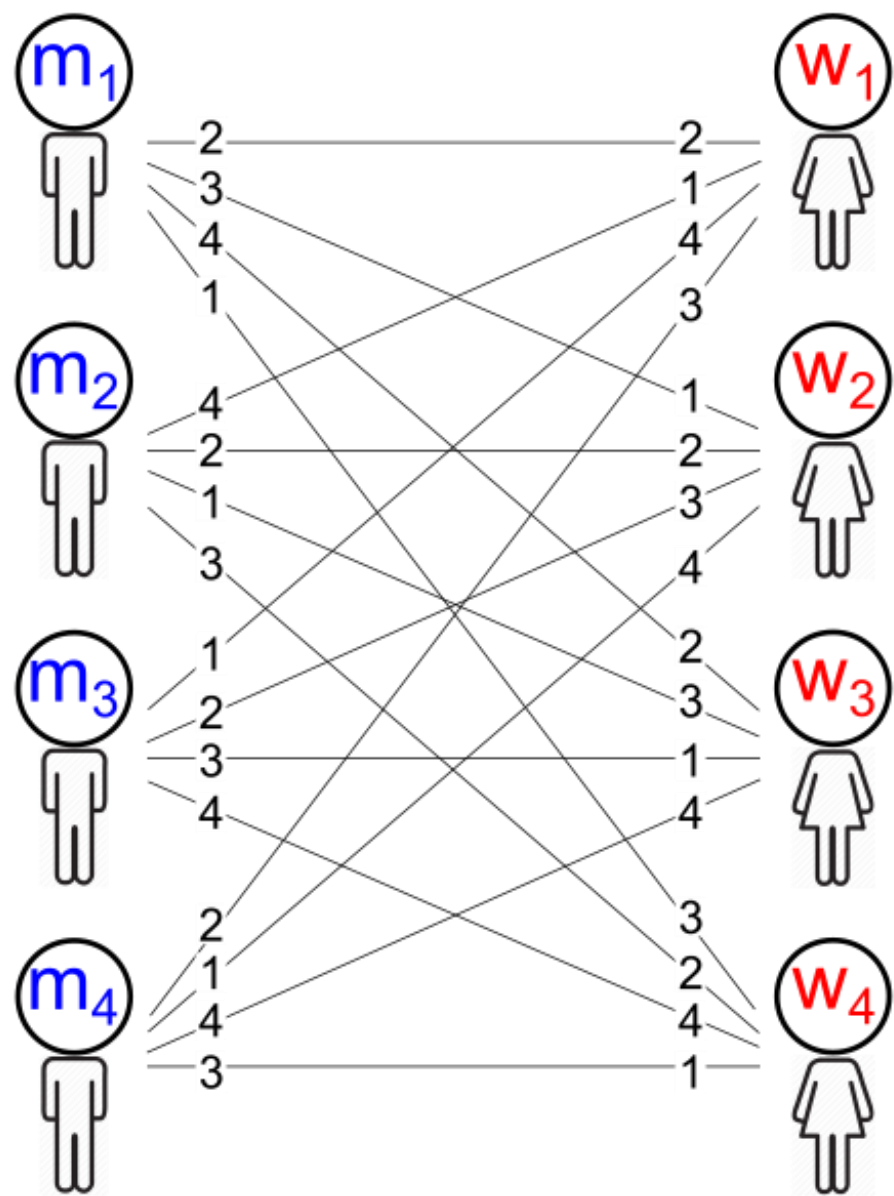
2,1,2,3 | 2,3,3,1

2,2,3,3 | 2,2,1,1

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3,4,3,3 | 1,1,1,1





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1,1,1,1 | 4,4,3,3

1,1,2,2 | 3,3,3,3

1,2,3,2 | 3,2,1,3

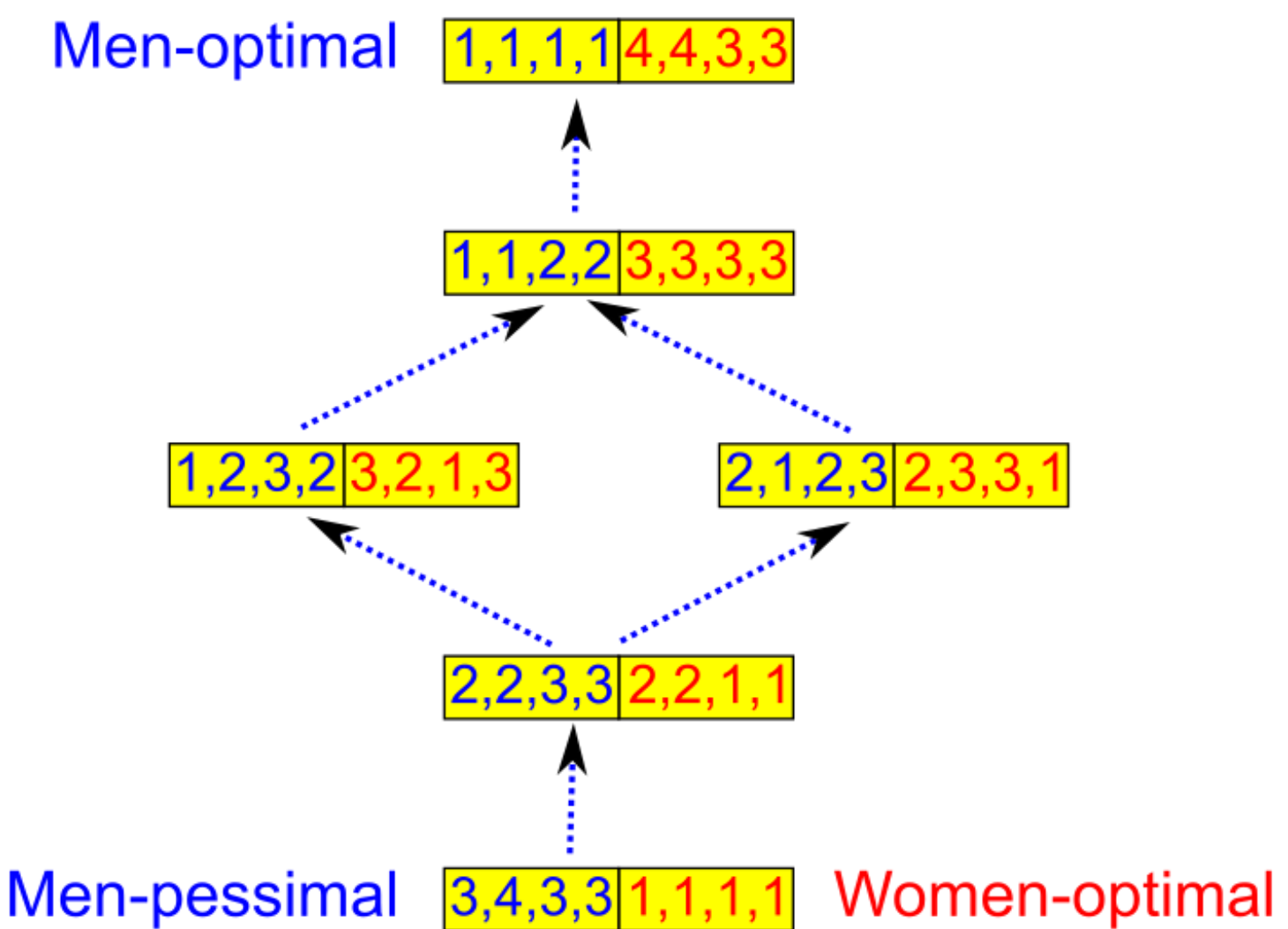
2,1,2,3 | 2,3,3,1

2,2,3,3 | 2,2,1,1

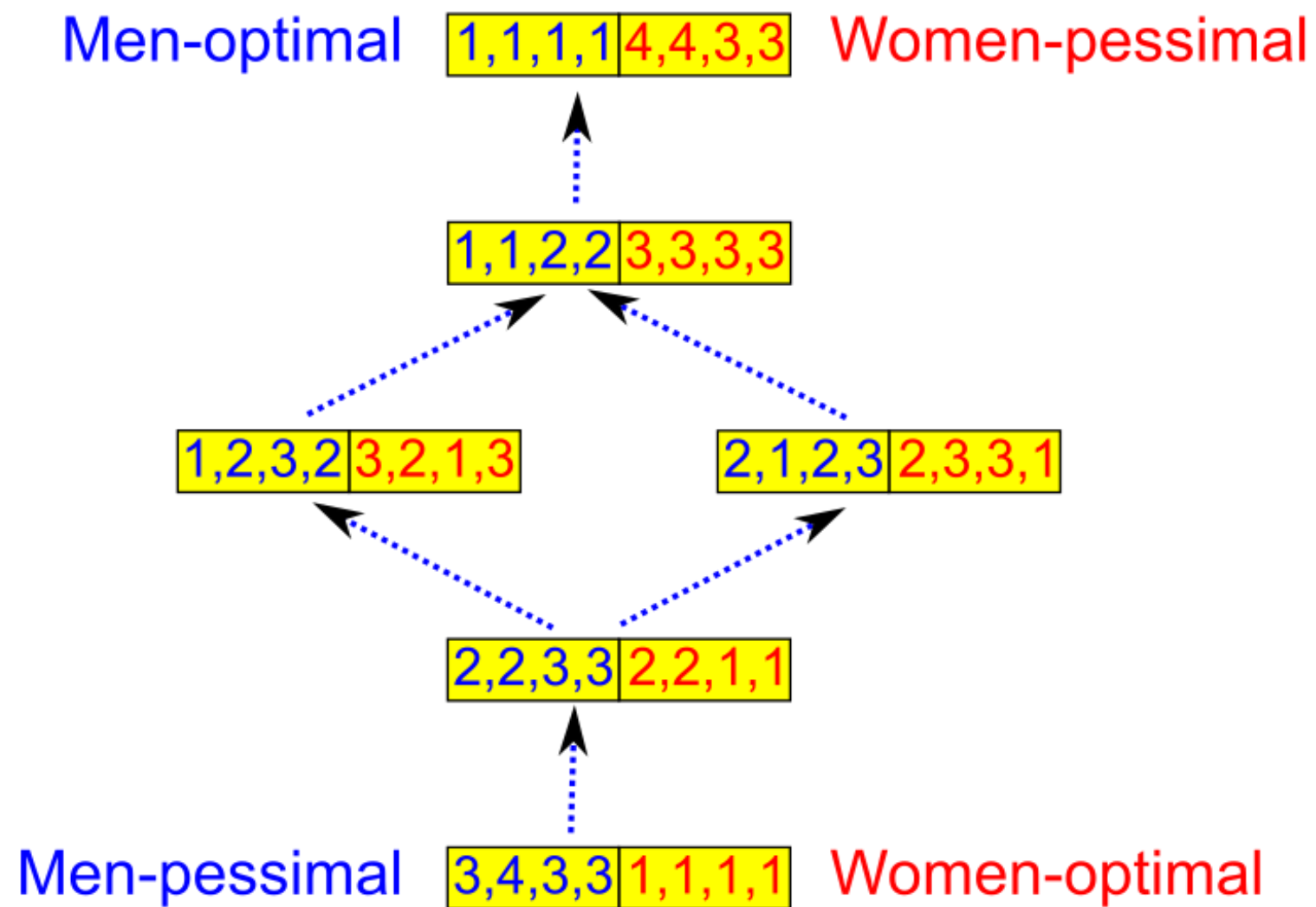
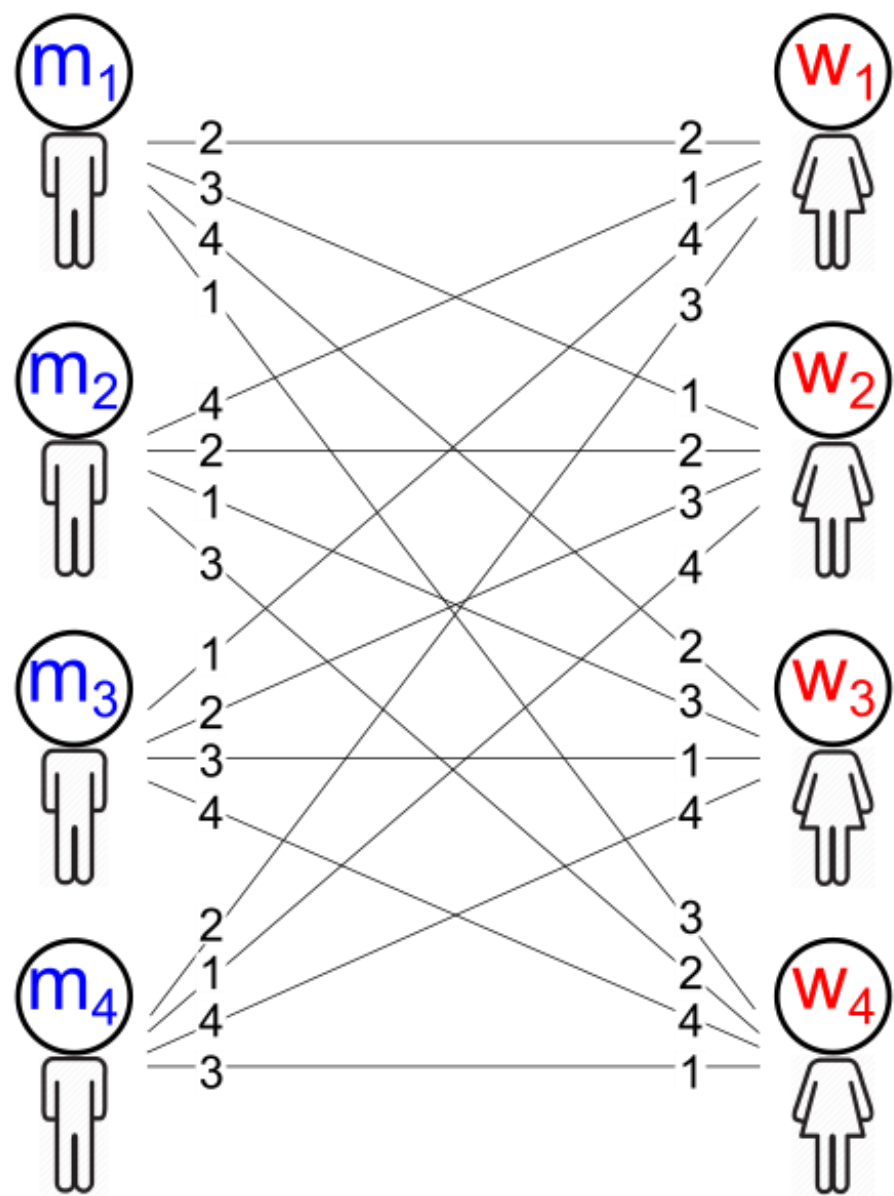
Men-pessimal

3,4,3,3 | 1,1,1,1

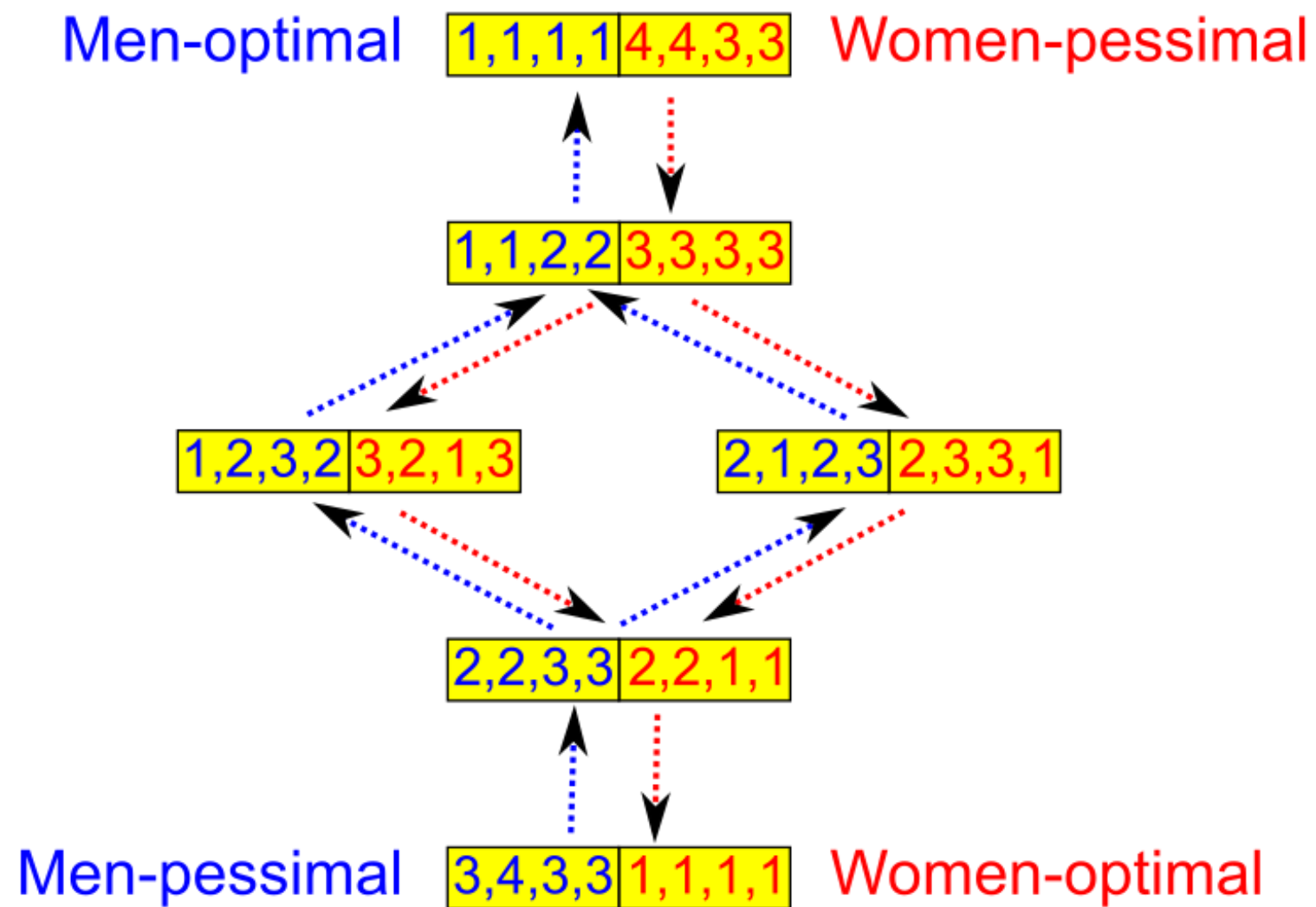
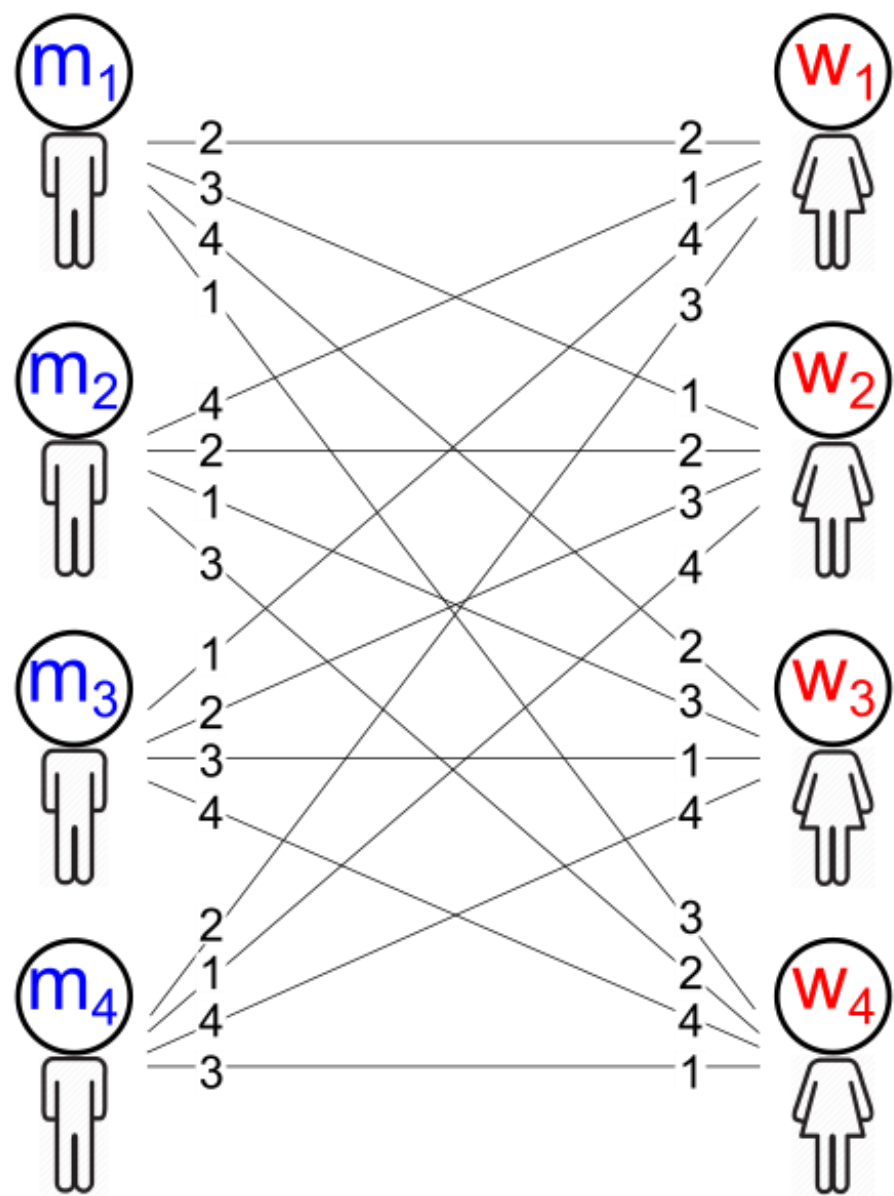
Women-optimal



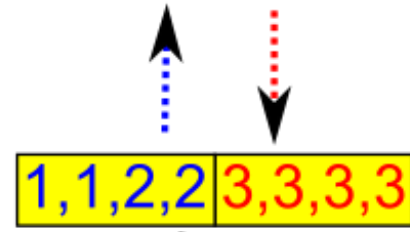








Men-optimal  $1,1,1,1$   $4,4,3,3$  Women-pessimal



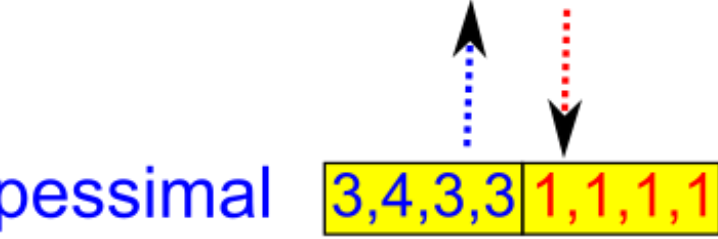
$1,1,2,2$   $3,3,3,3$

$1,2,3,2$   $3,2,1,3$

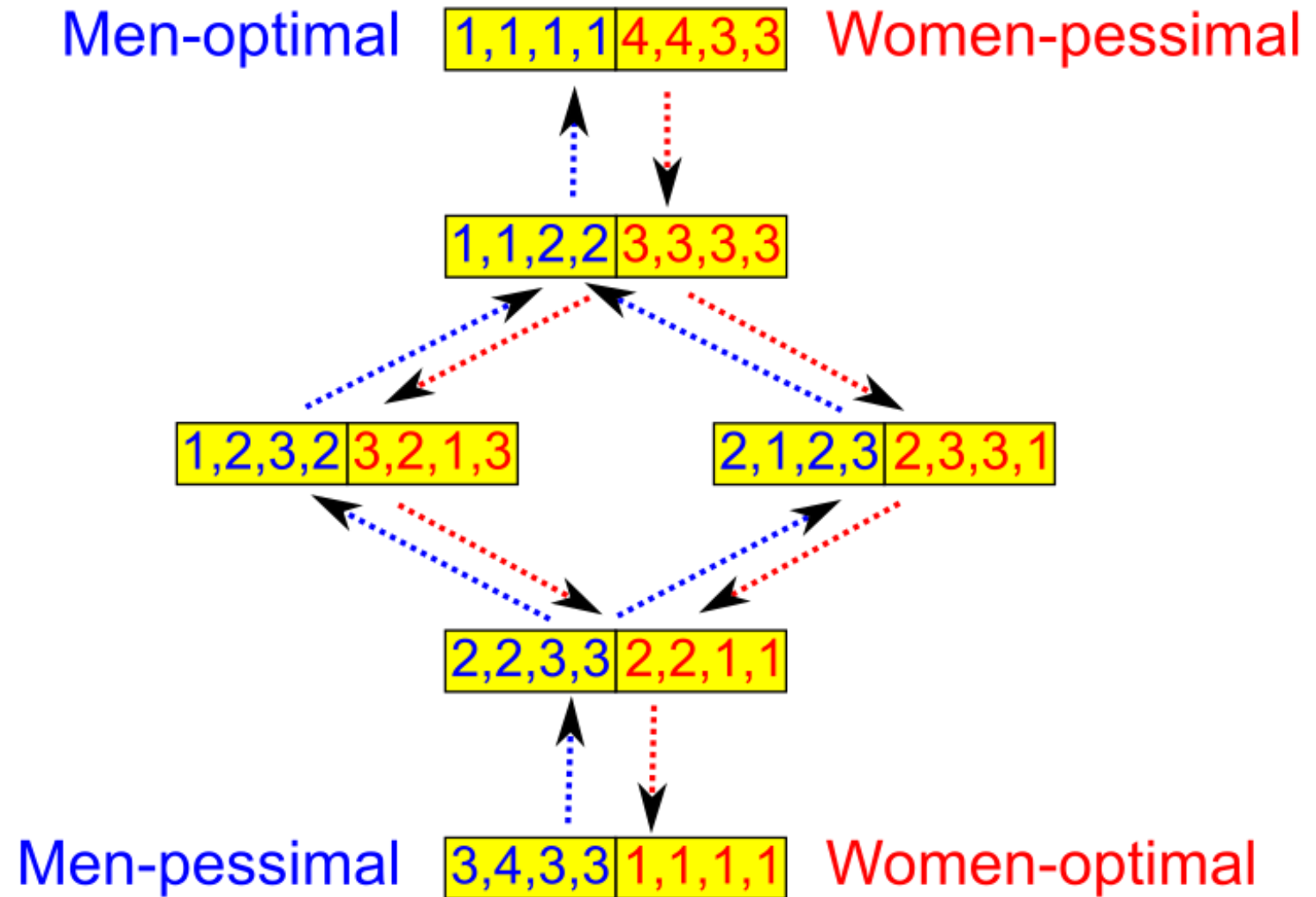
$2,1,2,3$   $2,3,3,1$

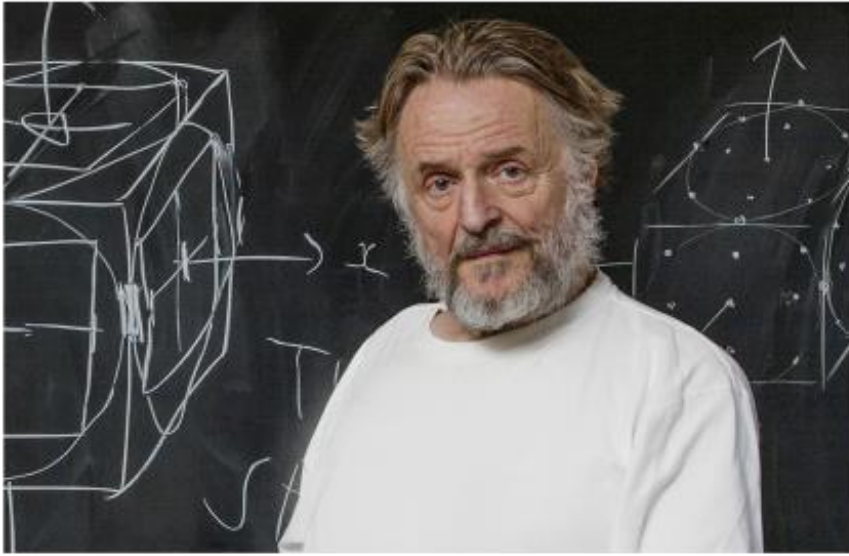
$2,2,3,3$   $2,2,1,1$

Men-pessimal  $3,4,3,3$   $1,1,1,1$  Women-optimal



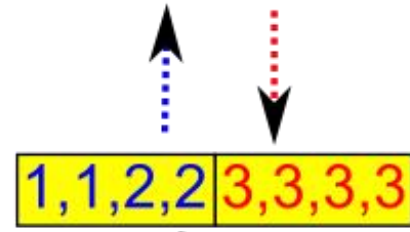
# The Lattice of Stable Matchings



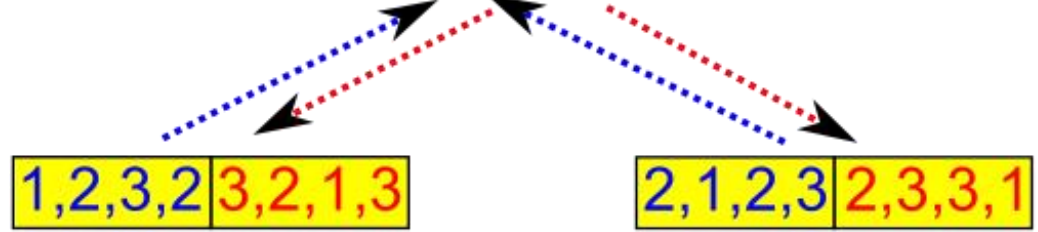


# The Lattice of Stable Matchings

Men-optimal  $1,1,1,1 \mid 4,4,3,3$  Women-pessimal



$1,1,2,2 \mid 3,3,3,3$



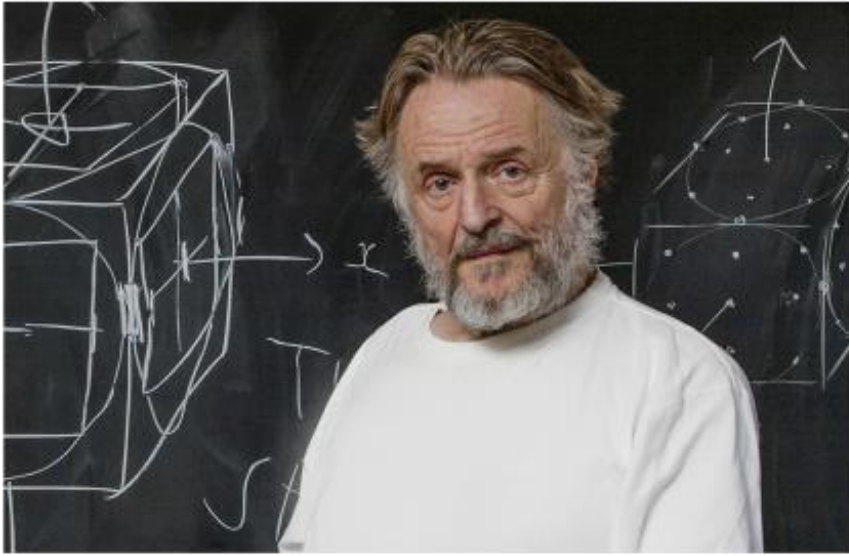
$1,2,3,2 \mid 3,2,1,3$

$2,1,2,3 \mid 2,3,3,1$

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Men-pessimal  $3,4,3,3 \mid 1,1,1,1$  Women-optimal

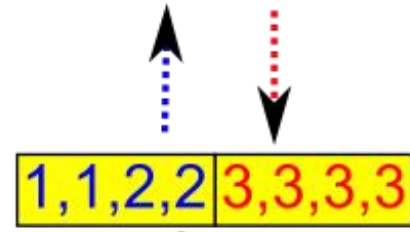




John H. Conway

# The Lattice of Stable Matchings

Men-optimal  $1,1,1,1 \mid 4,4,3,3$  Women-pessimal



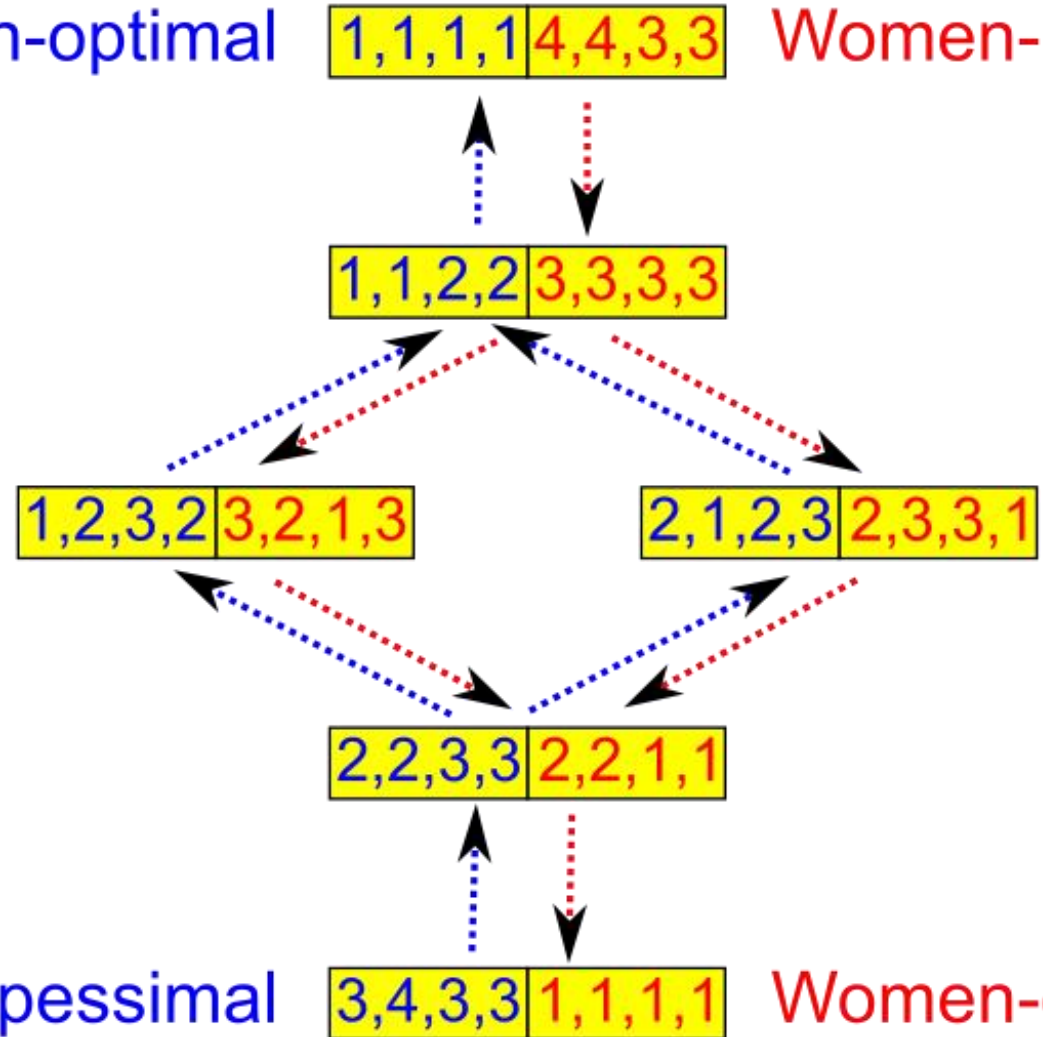
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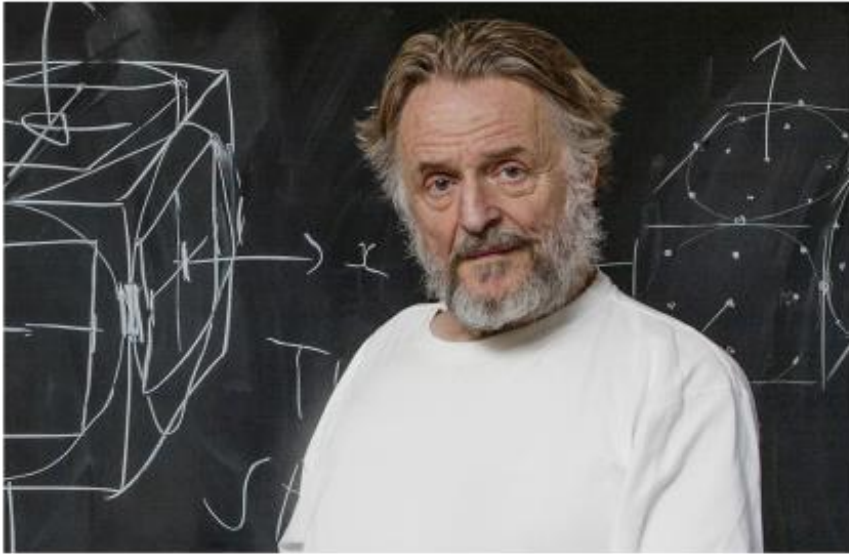
$2,1,2,3 \mid 2,3,3,1$

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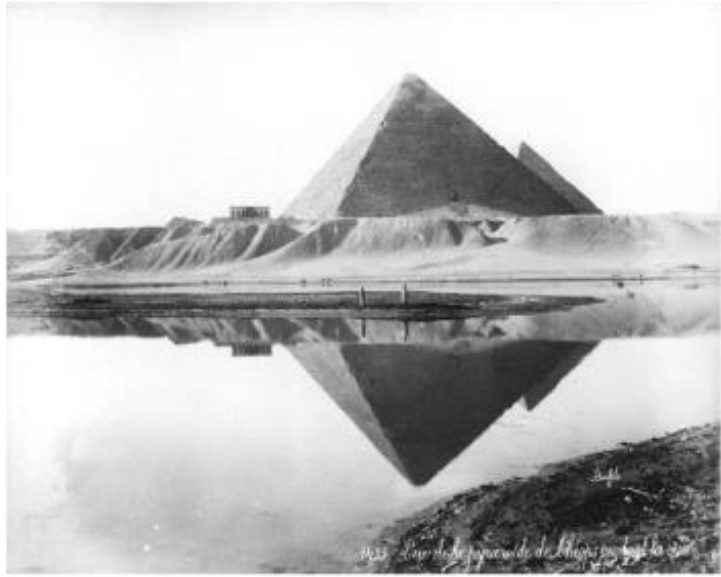
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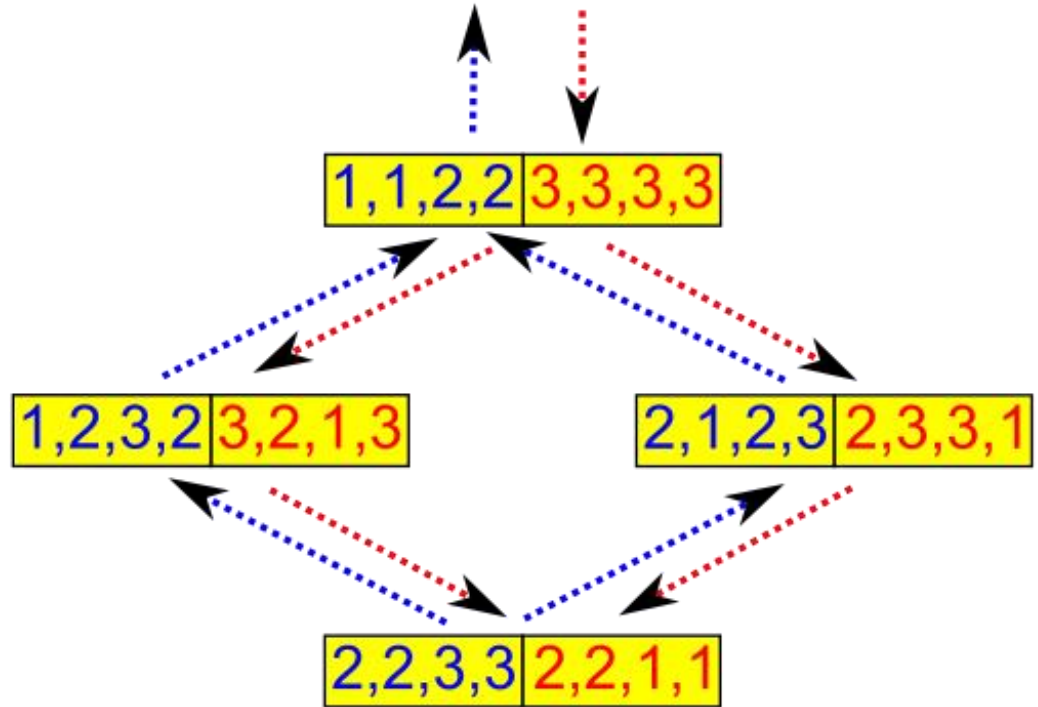


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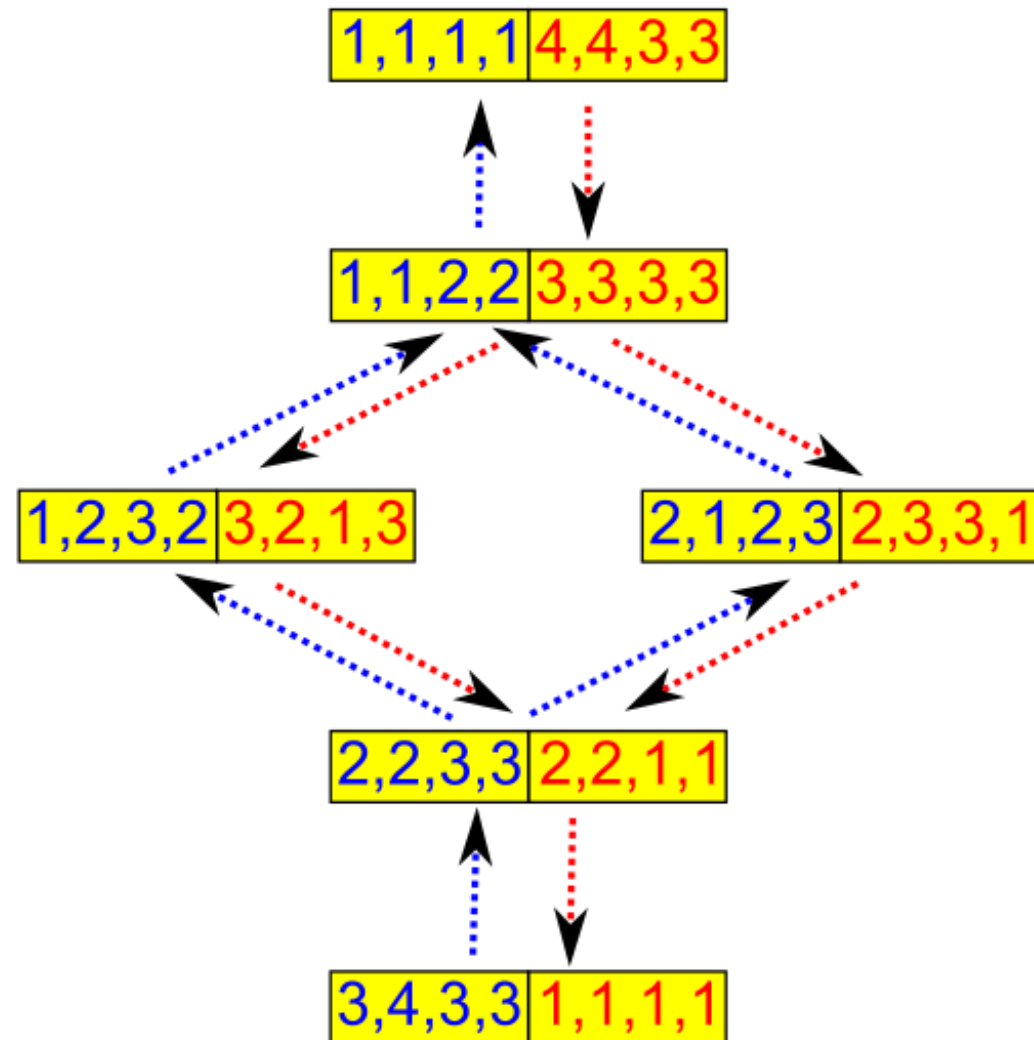
Men-optimal **1,1,1,1** **4,4,3,3** Women-pessimal



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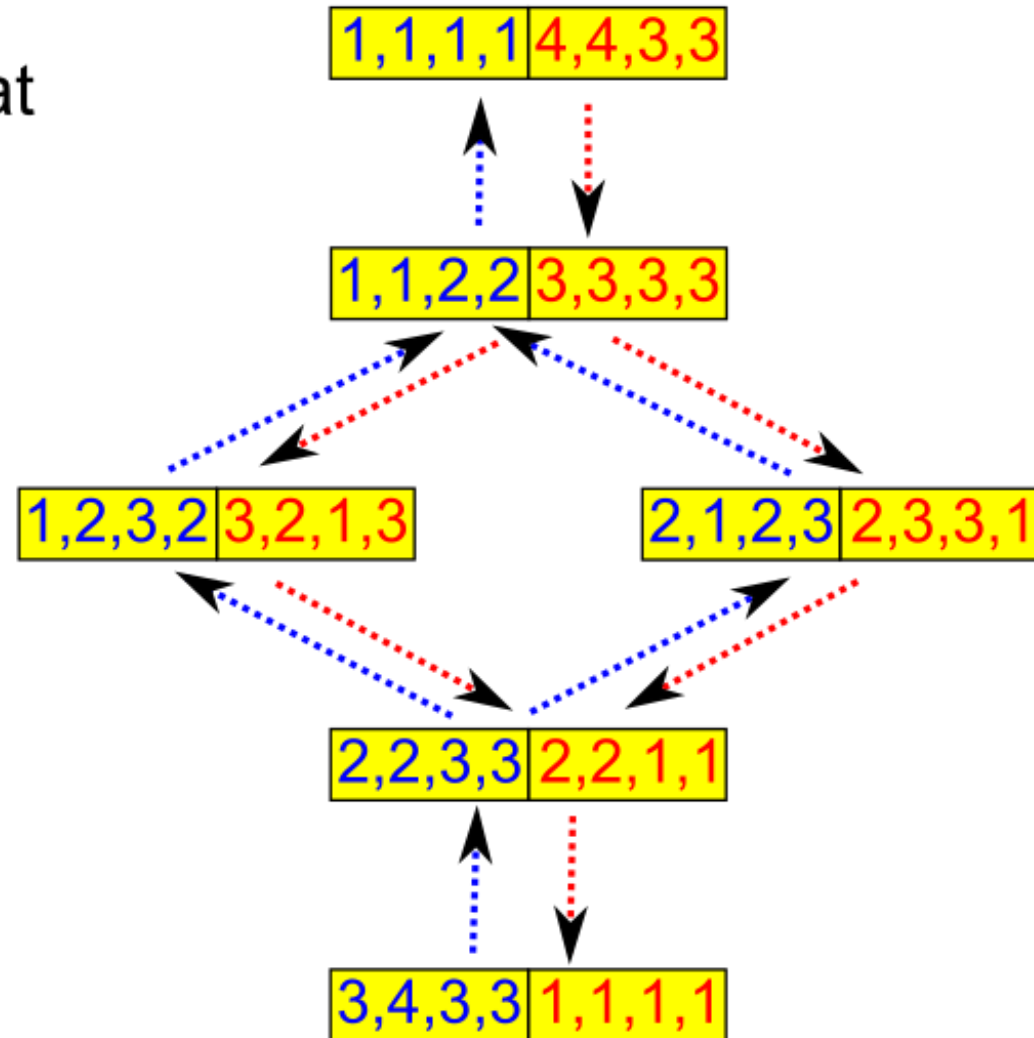




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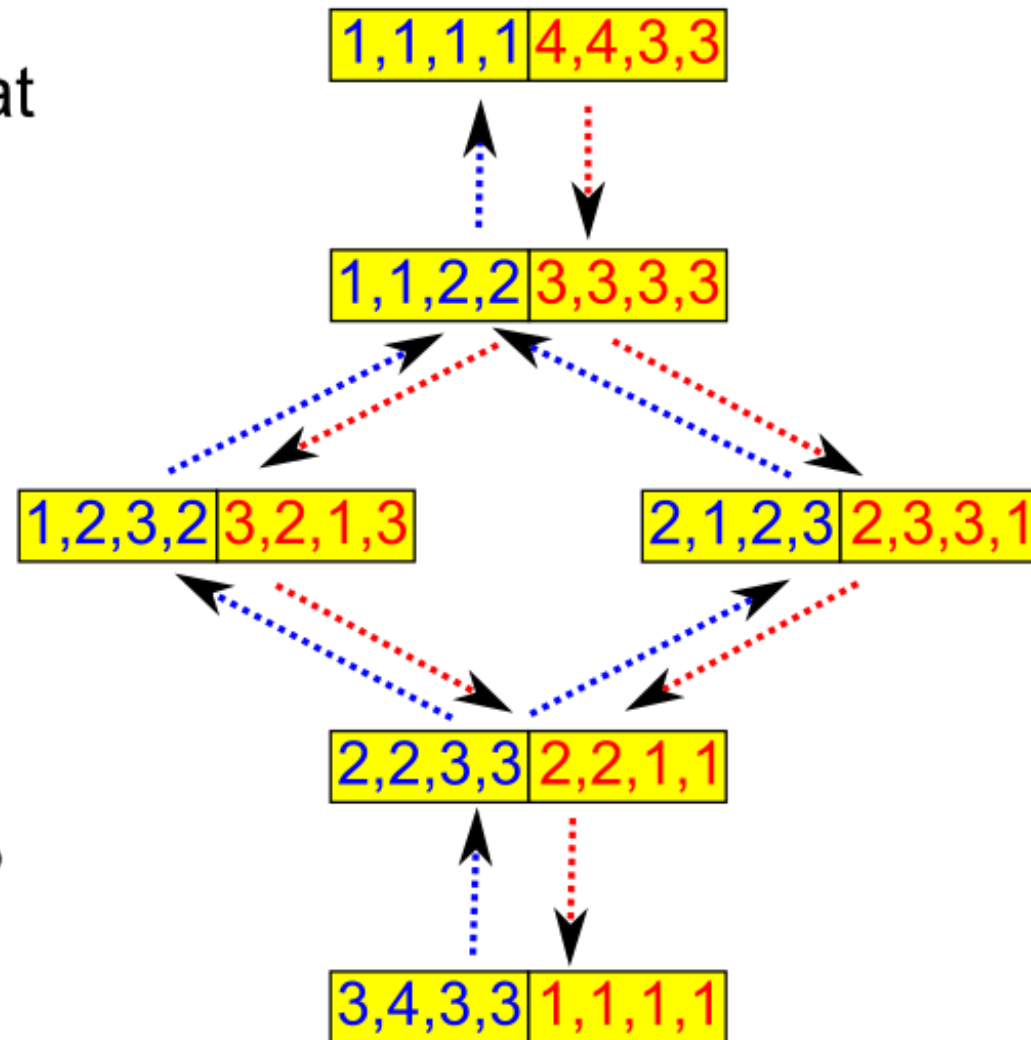
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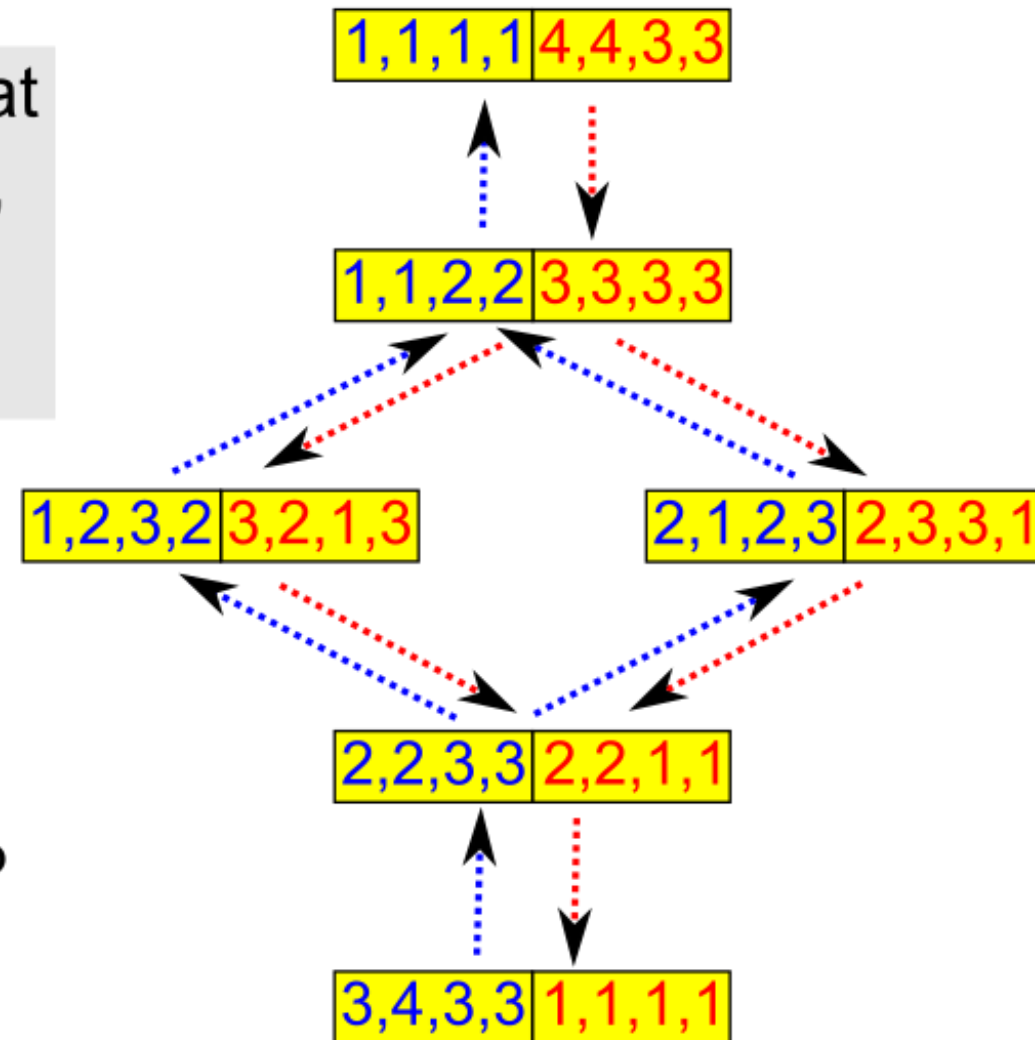
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Consider a mapping in which each man is mapped to his favorite achievable woman (**men-optimal**), and another mapping in which each woman is mapped to her favorite achievable man (**women-optimal**).

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We will show that men/women-optimal mappings are actually *matchings*.

[Gale and Shapley, 1962]

Given any preference profile, the matching computed by the men-proposing deferred-acceptance algorithm is men-optimal. Similarly, a women-optimal matching is obtained when women propose.



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When  $m'$  proposes to  $w$ , his past rejections (if any) must all have been from women that are *unachievable* for him.

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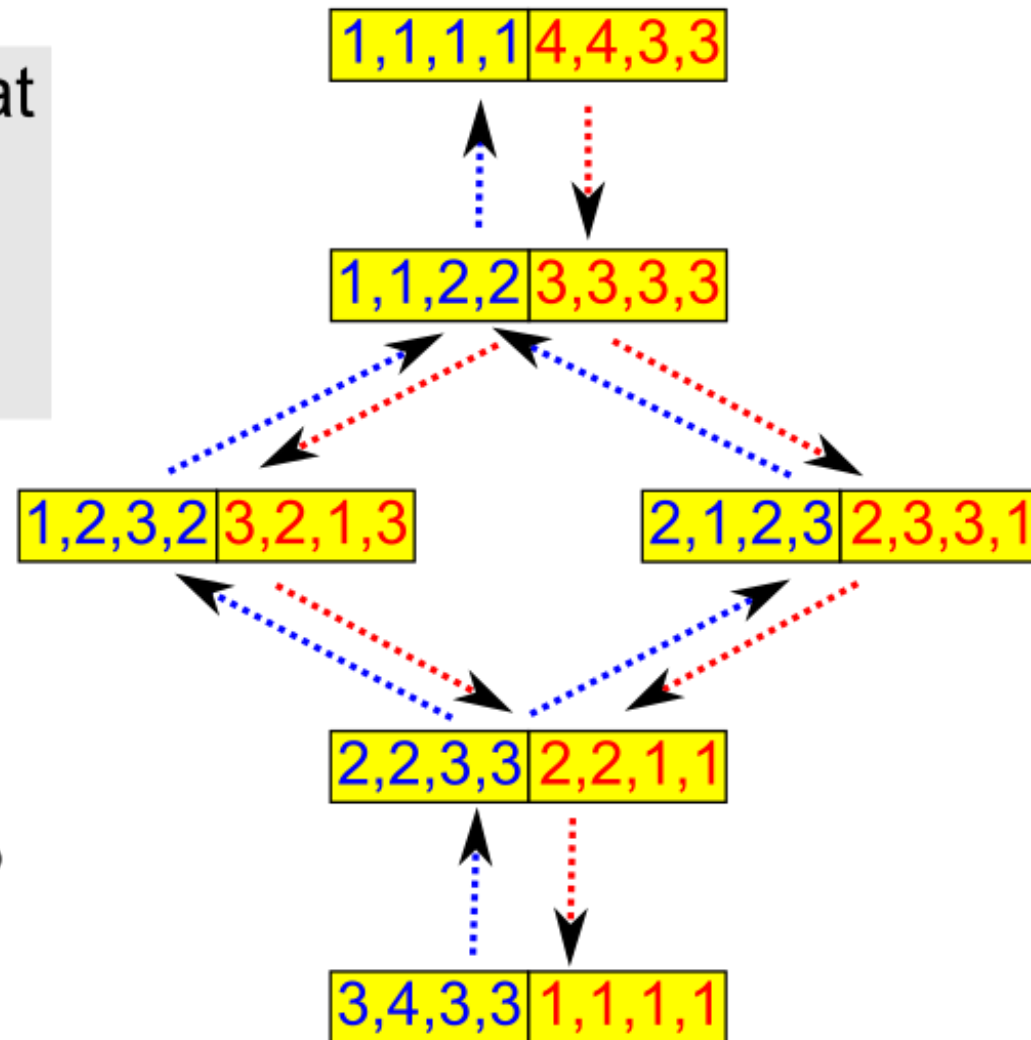
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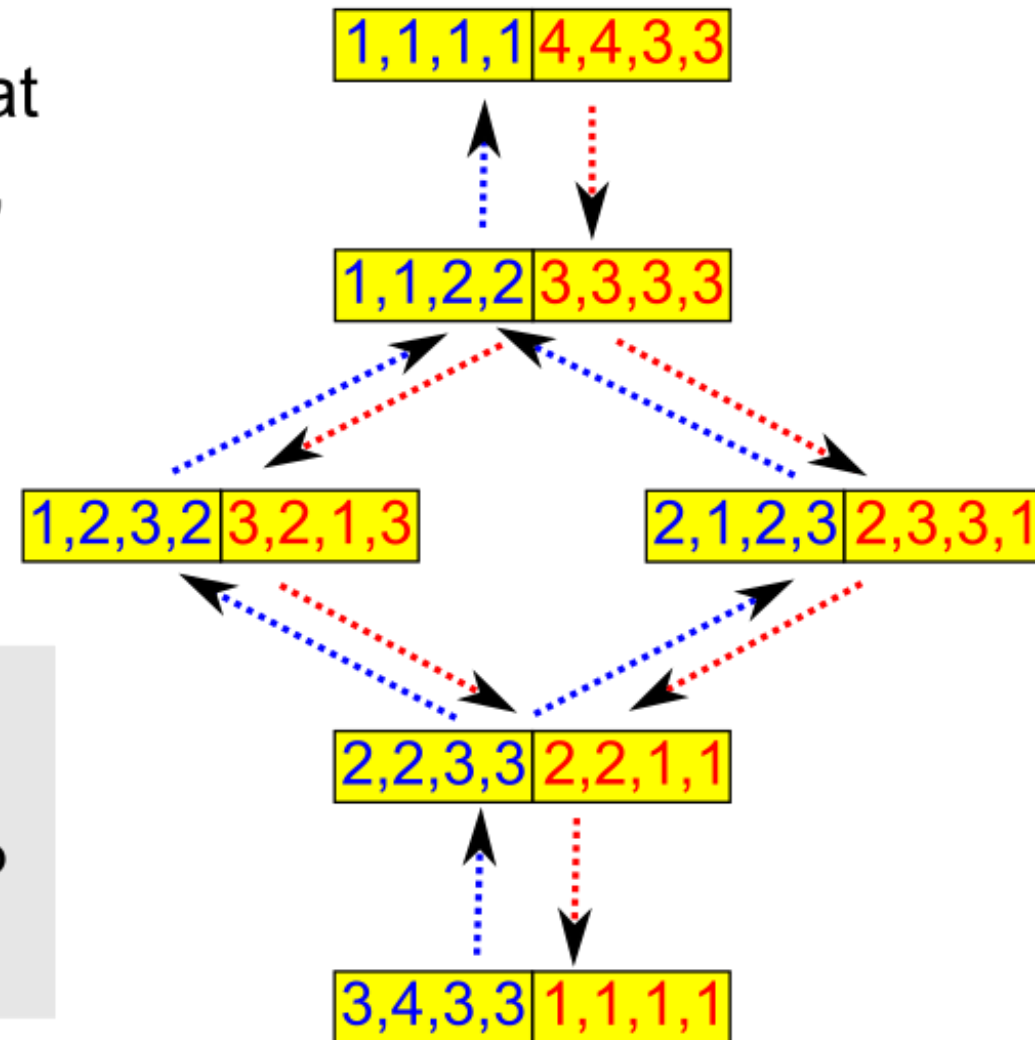
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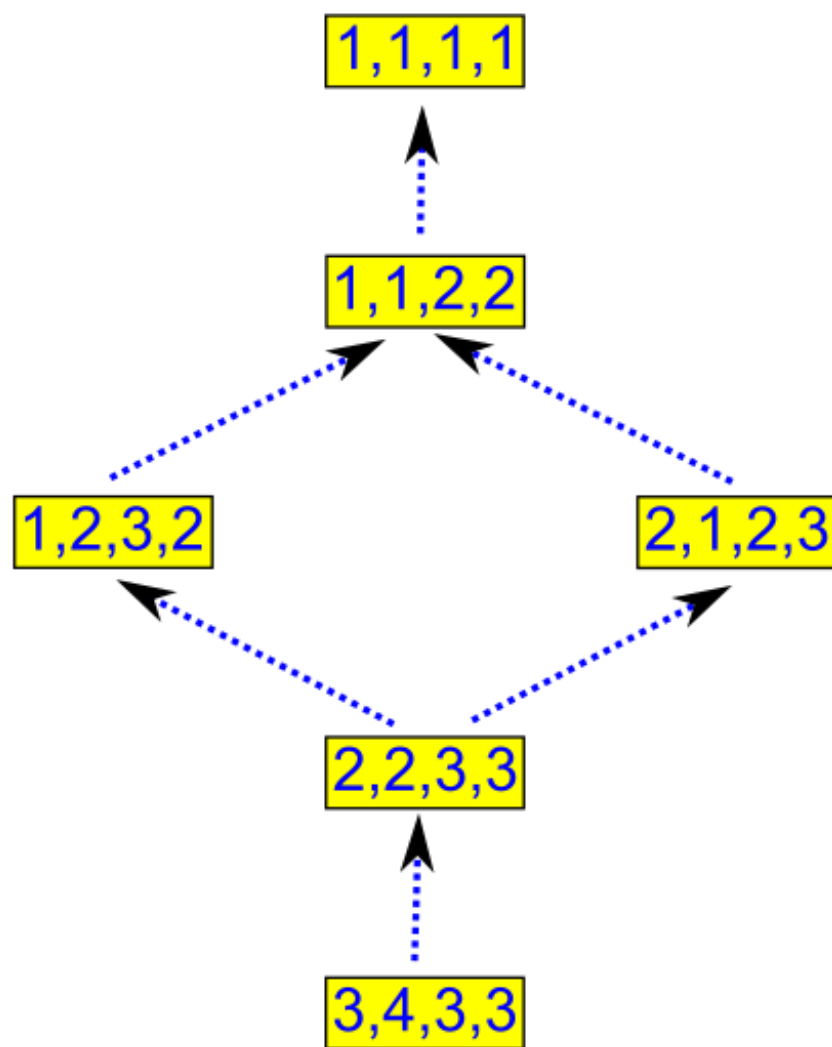
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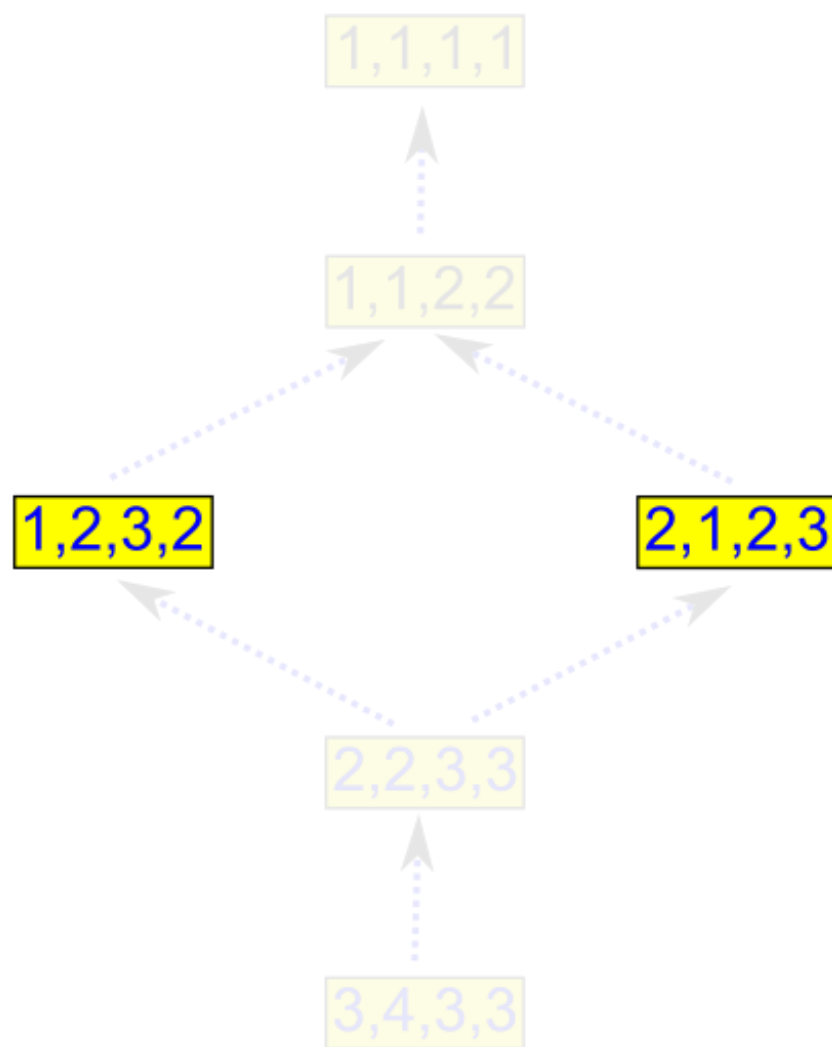
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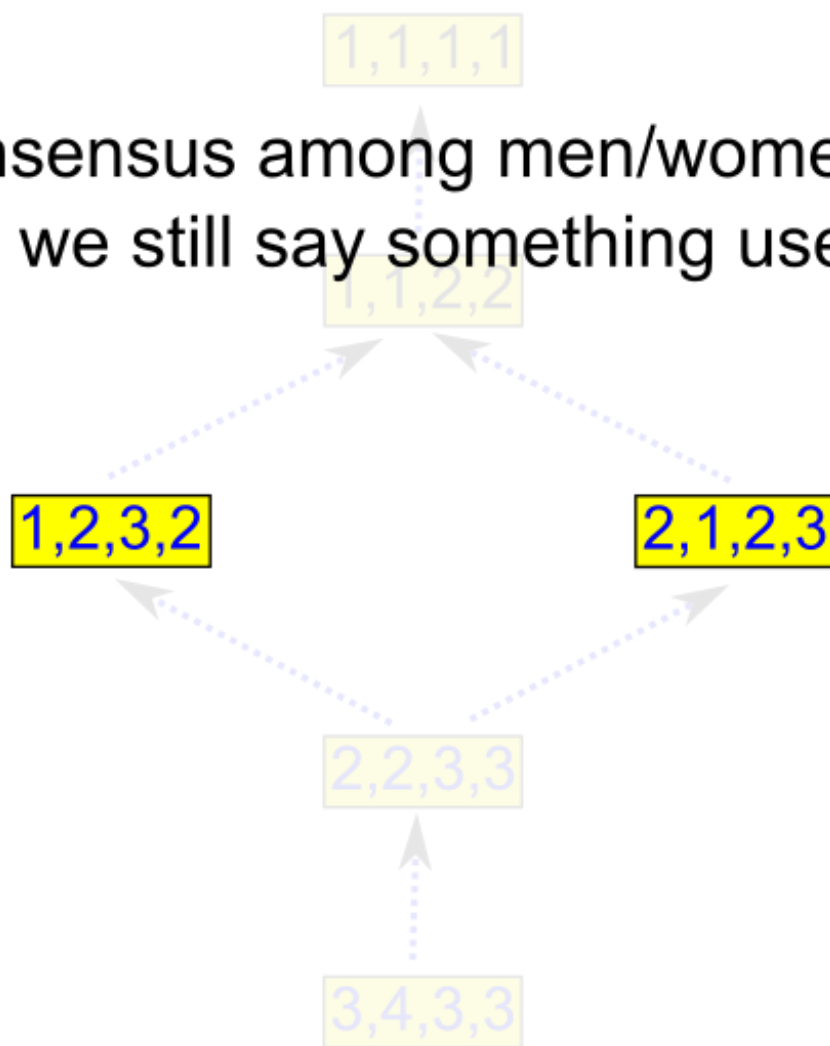
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When there isn't a consensus among men/women w.r.t. two matchings, can we still say something useful?



Recall that when each man points to his favorite achievable woman,  
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Let's generalize this idea to arbitrary pairs of stable matchings.

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$$\max_{P,Q}(m) = \begin{cases} P(m) & \text{if } m \text{ prefers } P(m) \text{ over } Q(m) \\ Q(m) & \text{otherwise} \end{cases}$$

$$\max_{P,Q}(w) = \begin{cases} Q(w) & \text{if } w \text{ prefers } P(w) \text{ over } Q(w) \\ P(w) & \text{otherwise} \end{cases}$$

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Suffices to show that for any  $m$  and  $w$ ,  $\max_{P,Q}(m) = w \Leftrightarrow \max_{P,Q}(w) = m$ .

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But we know that every man is the worse partner of a unique woman.

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Suffices to show that for any  $m$  and  $w$ ,  $\max_{P,Q}(m) = w \Leftrightarrow \max_{P,Q}(w) = m$ .

" $\Leftarrow$ "

Suppose  $\max_{P,Q}(w) = m$  but  $\max_{P,Q}(m) = w' \neq w$ .

From the " $\Rightarrow$ " direction, we must have  $\max_{P,Q}(w') = m$ .

But we know that every man is the worse partner of a unique woman.



[Knuth (attributed to Conway), 1975 (Lectures) → 1976 (French) → 1997 (English)]

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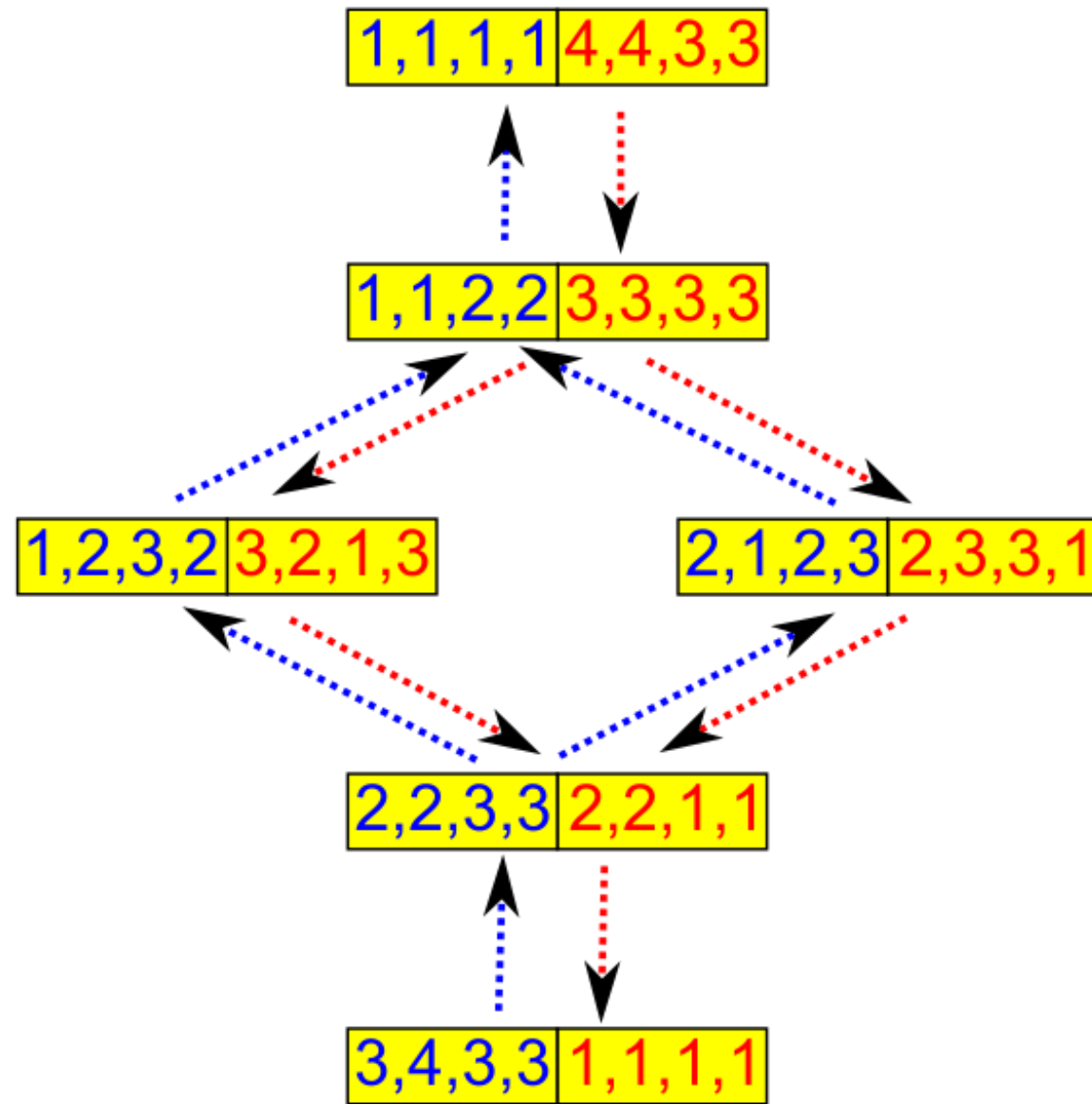
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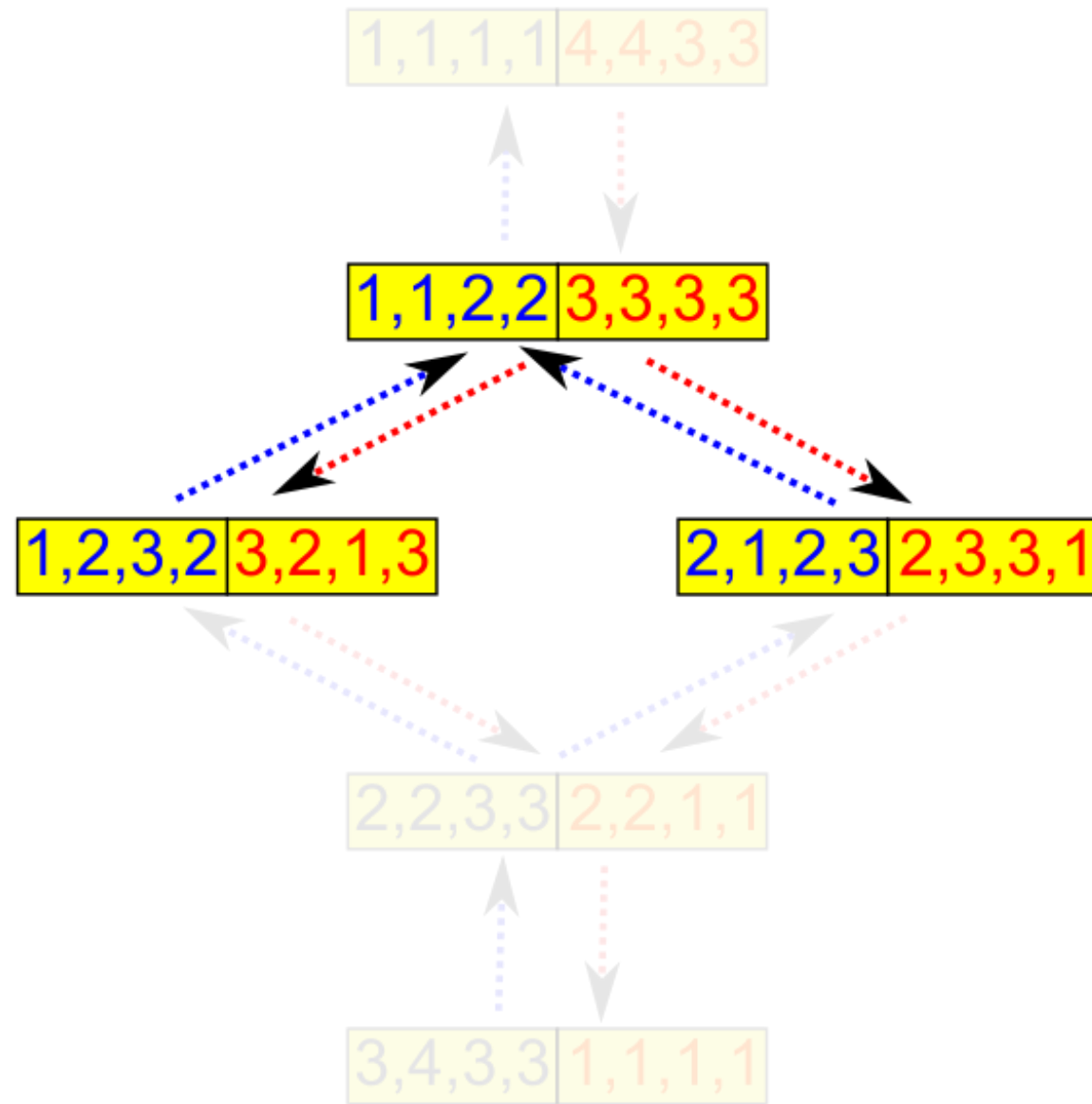
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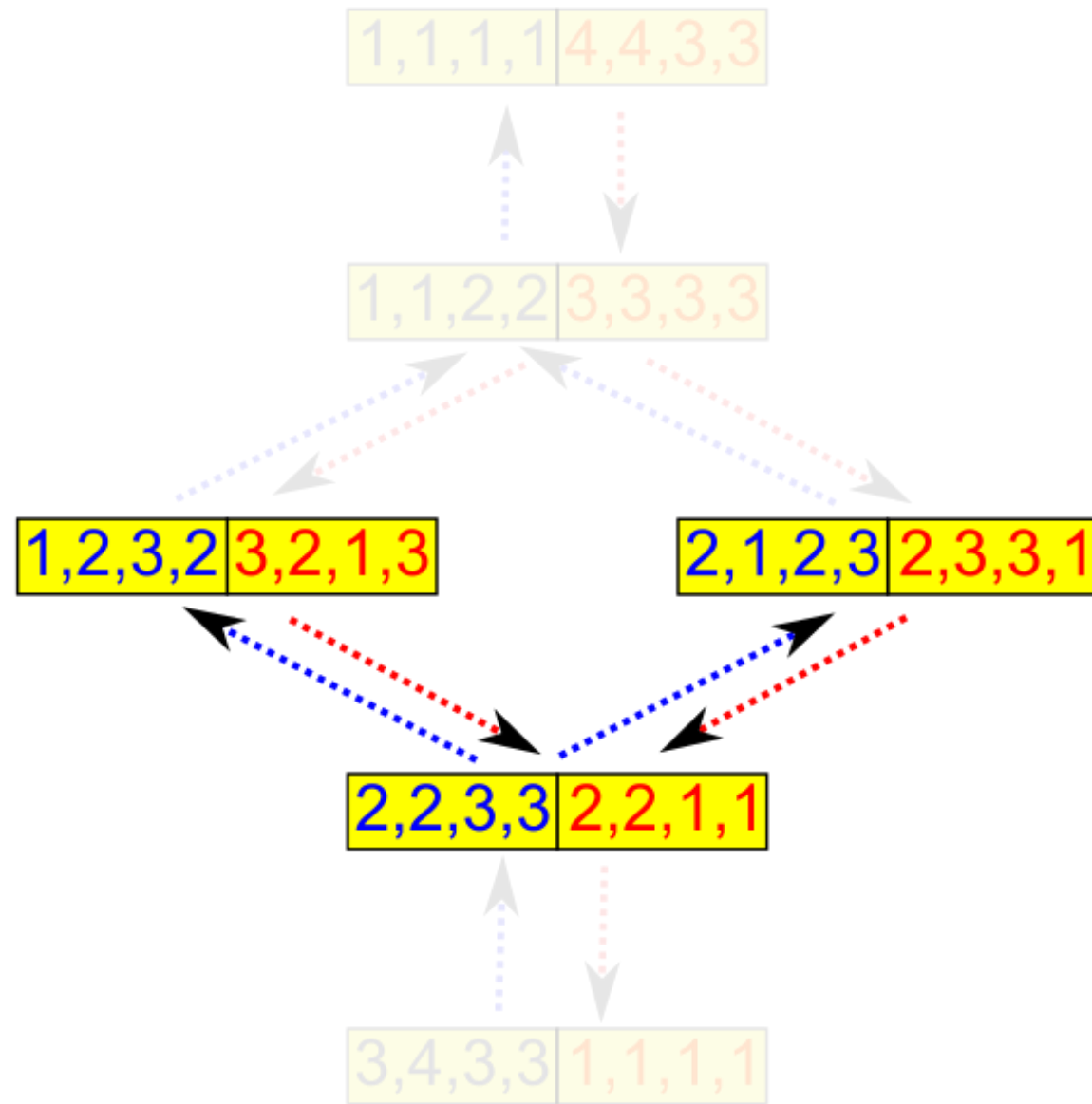
- (a) each **man** to his **less preferred** partner between P and Q
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$$\min_{P,Q}(m) = \begin{cases} Q(m) & \text{if } m \text{ prefers } P(m) \text{ over } Q(m) \\ P(m) & \text{otherwise} \end{cases}$$

$$\min_{P,Q}(w) = \begin{cases} P(w) & \text{if } w \text{ prefers } P(w) \text{ over } Q(w) \\ Q(w) & \text{otherwise} \end{cases}$$







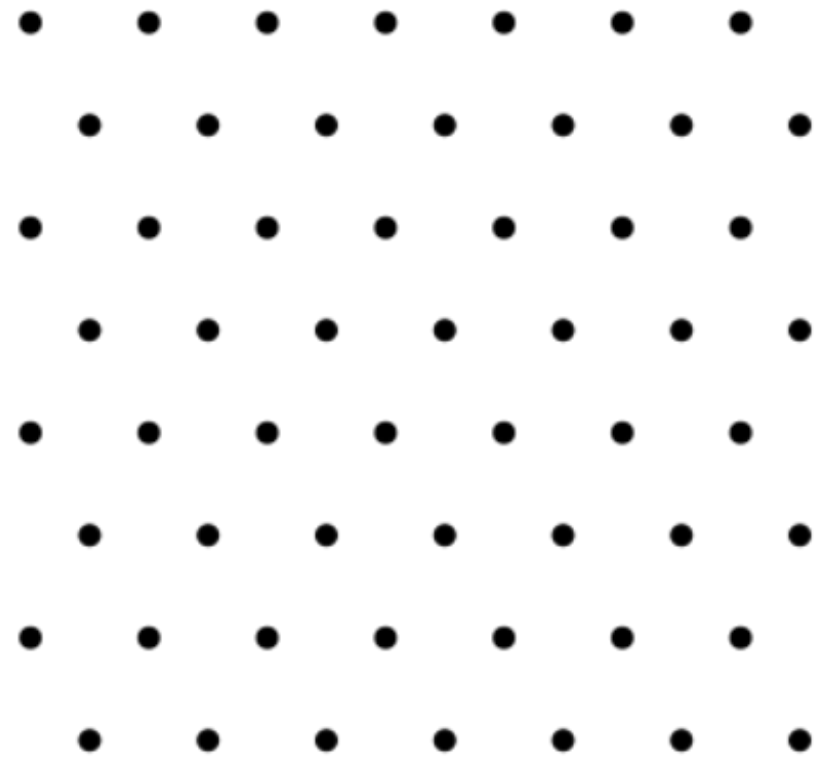
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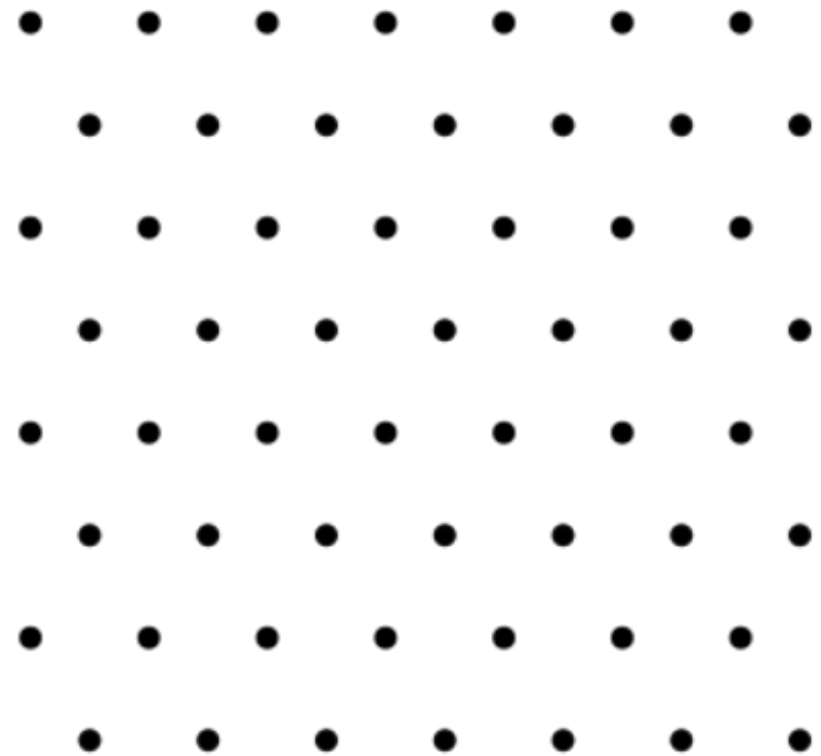


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- Existence of men/women-optimal and men/women-pessimal matchings.

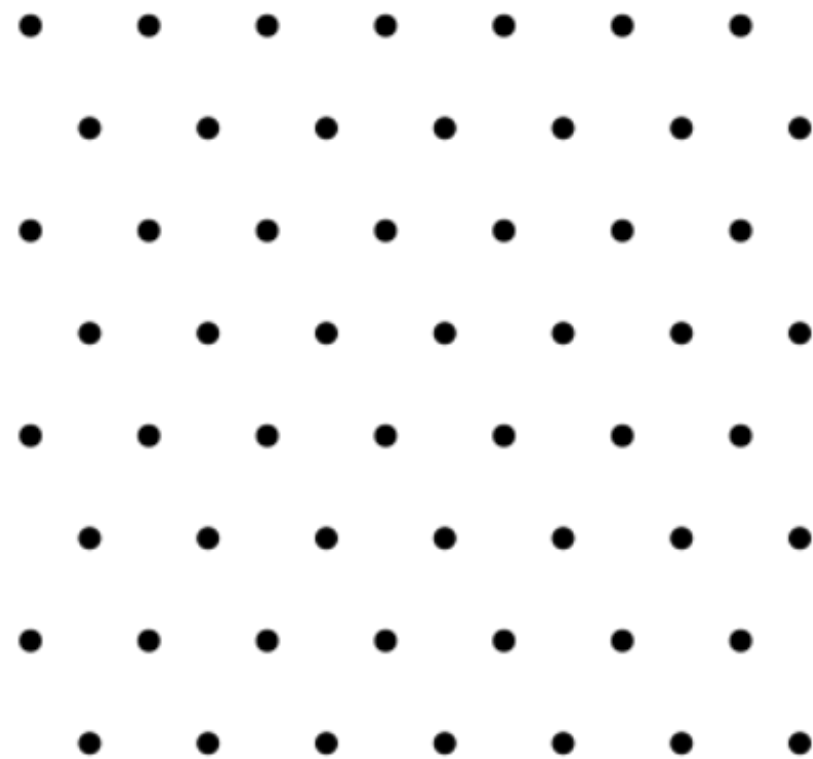


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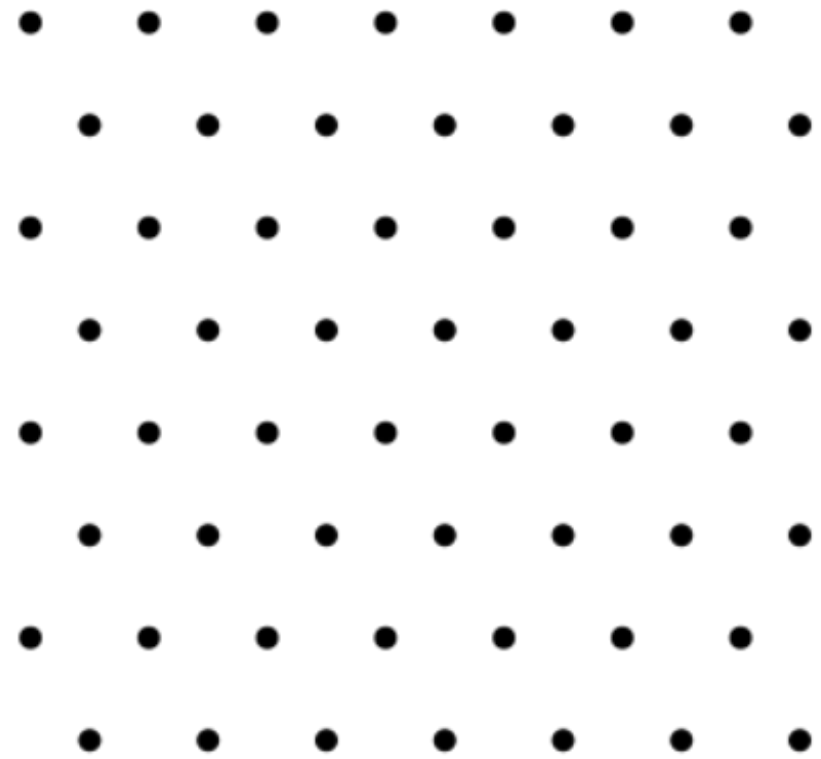
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**The Rural Hospitals Theorem**

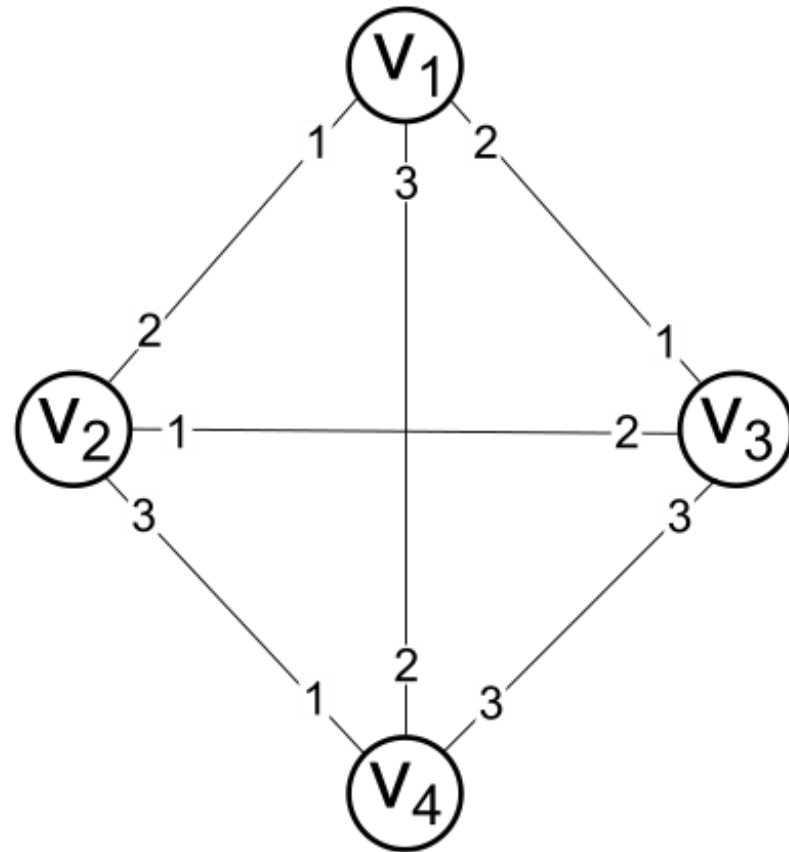


# Stable Roommates

[Gale and Shapley, 1962]

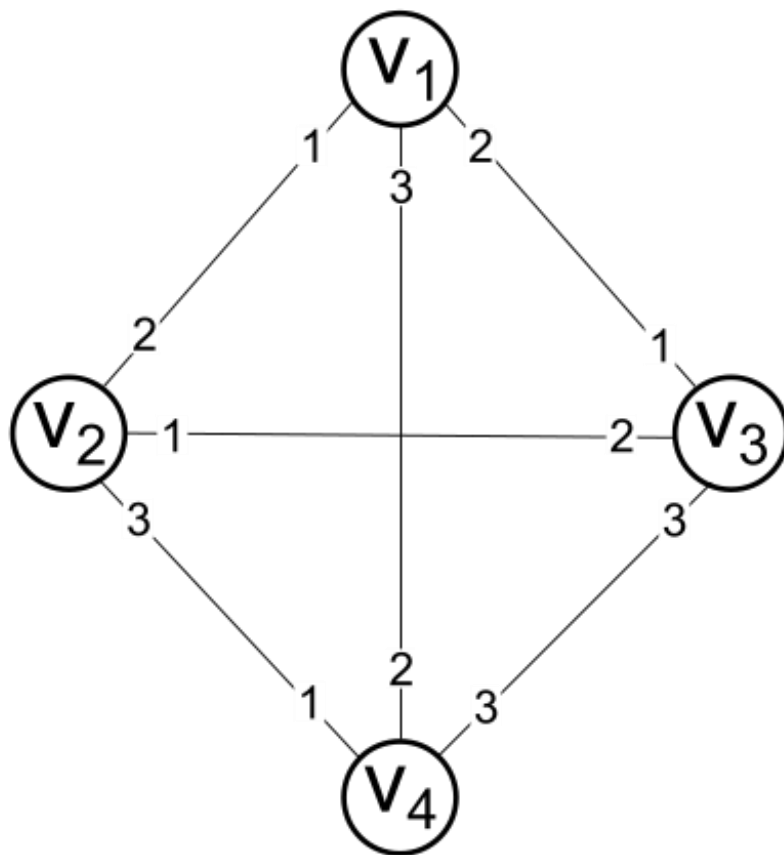
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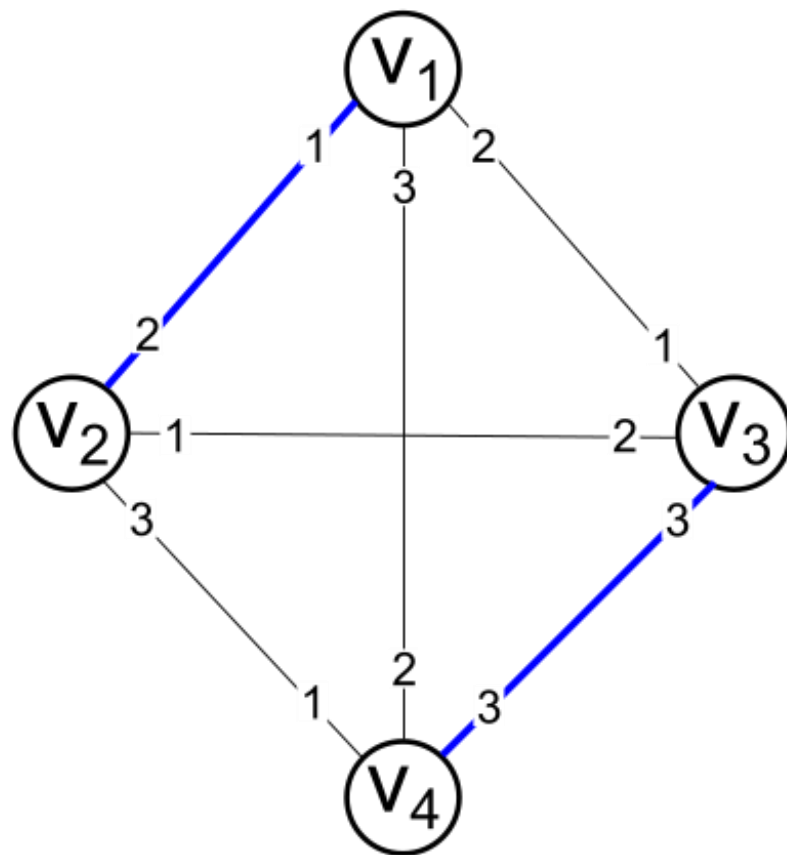
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A matching is **stable** if there is no **blocking** pair of vertices that prefer each other over their assigned partners ("self-partnered" if unmatched).

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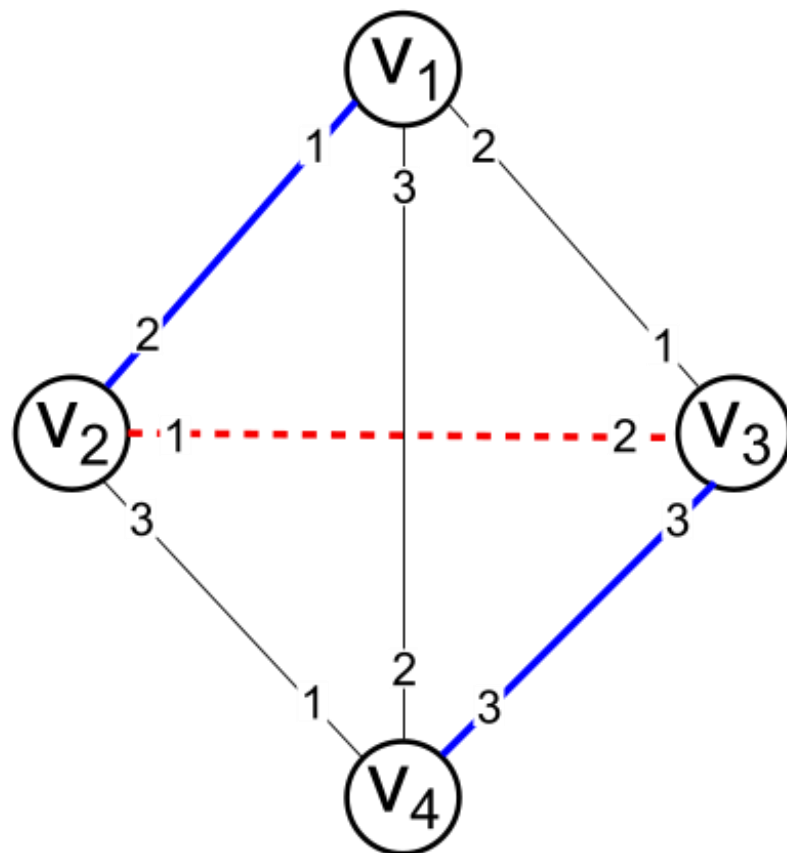
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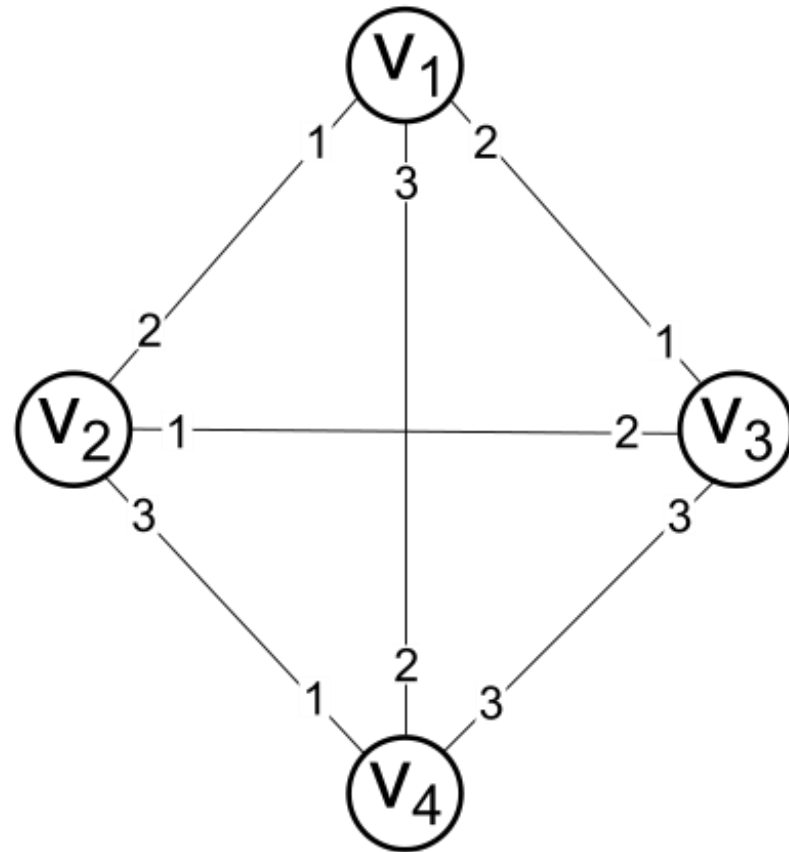


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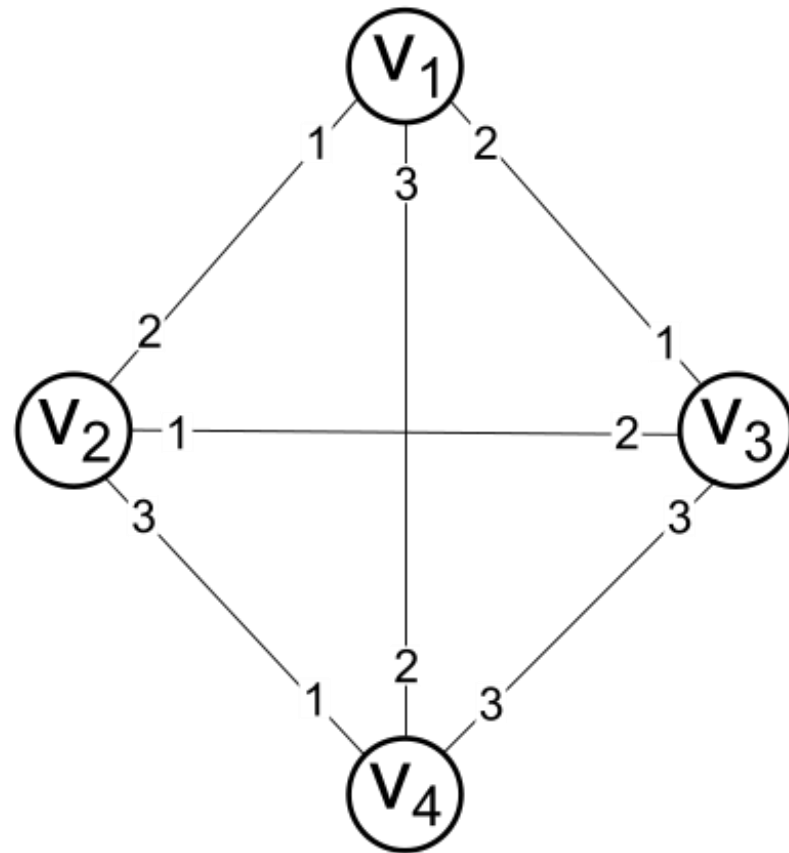
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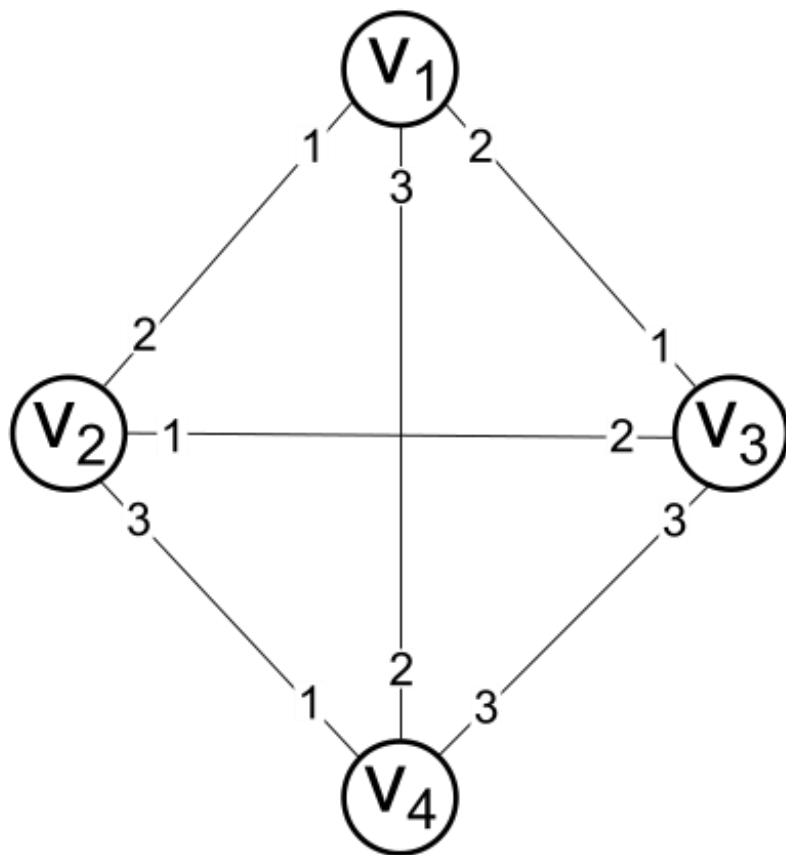
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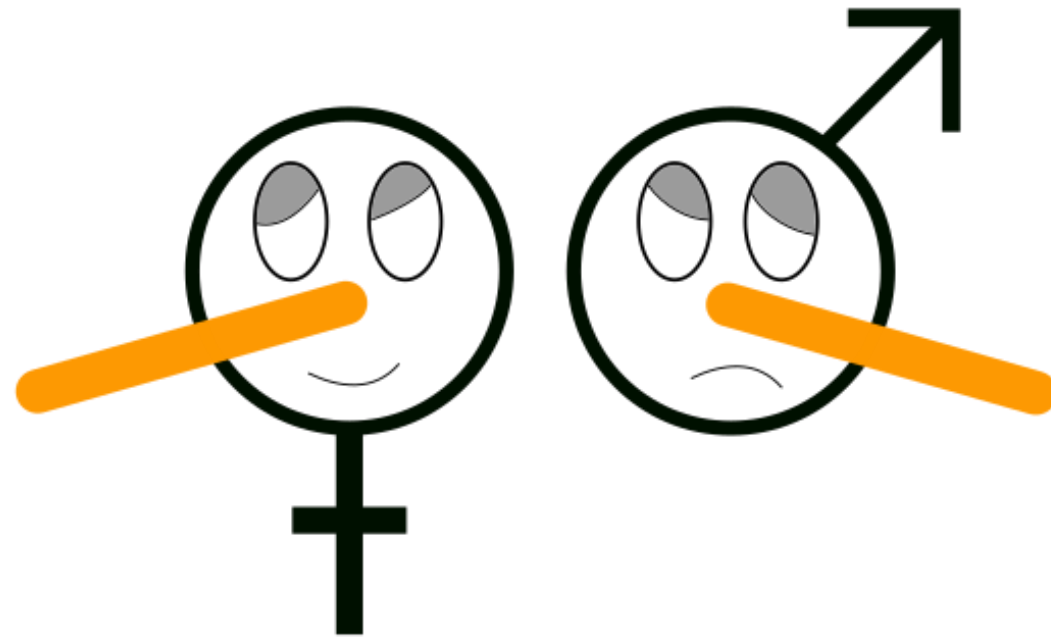


There is no stable matching in the above instance.

Whoever is matched with  $v_4$  will block with one of the other two agents.

Next Time

## Incentives in the Stable Matching Problem



# References

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